

Risk factors for the acquisition of extended-spectrum beta-lactamase-producing *Enterobacteriaceae* in hospitalized children

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Background

Infections by extended-spectrum Beta-lactamases (ESBL)-producing *Enterobacteriaceae* (EPE) are an increasing problem in pediatrics and are usually associated with higher hospital costs, failure of the empirical antibiotic treatment, and higher mortality rates [1-4].

EPE usually include resistance to aminoglycosides or quinolones, mediated by genes such as *aac(6')Ib-cr* and *qnr* alleles [5-7].

Data concerning prevalence and risk factors (RF) for EPE infections in the general pediatric population are scarce, mostly associated with bacteremia, and only a few include molecular identification of ESBLs [2,8].

The study

We performed a case-control study (1:2 ratio) in the Hospital Pediátrico Centro Hospitalario Pereira Rossell (HP-CHPR), Montevideo, Uruguay, enrolling patients admitted into the pediatrics wards (PW) and the intensive care unit (ICU) between 1 May 2009 and 30 April 2010.

Patients with clinical isolates of EPE and non-EPE were defined as cases and controls, respectively. Both groups were paired according to the origin of the sample to ensure similar epidemiological conditions. Due to the proportion of EPE infections within the

ICU, we extended the collection period to gather the required number of controls.

Only one isolate per patient was taken into consideration for this study.

Identification and antibiotic susceptibility testing were performed using the Vitek2 Compact system (bioMérieux, Marcy l'Etoile, France) and interpreted following CLSI recommendations [9].

ESBL genes (*bla*_{CTX-M}, *bla*_{TEM}, *bla*_{PER-2} and *bla*_{SHV}) and *qnrA*, *qnrB*, *qnrS* and *aac(6')Ib/aac(6')Ib-cr* were identified by PCR and sequencing [5].

Patient data was obtained from clinical records (age; gender; ward; total length of stay; length of stay since acquisition of infection; presence of nosocomial infection [10]; hospitalization in PW or ICU in the previous six months; use of third-generation cephalosporins (TGC) during the last month; presence of underlying chronic illnesses). We also registered the empirical antibiotic therapy (EAT), adjusted therapy according to the susceptibility report, and noted the success of the empirical and/or adjusted therapy (*i.e.*, apyrexia or clinical improvement after 72 hours of antibiotic therapy, and/or negative bacterial cultures).

Numeric variables were compared using the T-test; χ^2 or Fisher's exact test were used for variables divided into categories.

A multivariate analysis was performed using logistic regression including variables with a P value

less than 0.2 on the univariate analysis. A two-tailed P value less than 0.05 was considered statistically significant. Statistical analyses were performed using SPSS 17.0 software (IBM SPSS Inc., Chicago, IL, USA).

This study was approved by the Direction Board of the HP-CHPR.

A total of 104 *Enterobacteriaceae* strains were isolated from patients admitted into the PW and the ICU. Fifteen strains were identified as EPE, 6 out of 82 (7%) in the PW and 9 out of 22 (40%) in the ICU.

Distribution of strains from 15 cases and 30 controls is shown in Table 1.

EPE acquisition was associated with underlying chronic illnesses (11/6 EPE/non-EPE, OR: 11; CI95%: 2.57-47.01); admission to the ICU within the last six months (12/4 EPE/non-EPE, OR: 75; CI95%: 7.54-745.72); use of third generation cephalosporins during the previous month (9/2 EPE/non-EPE, OR: 20.25; CI95%: 3.45-118.79) and nosocomial

infections by *Enterobacteriaceae* (13/16 EPE/non-EPE, OR: 5.68; CI95%: 1.09-29.69). Two of 15 cases of EPE (children younger than 30 days old) did not present any of the aforementioned risk factors.

The multivariate regression analysis indicated that only hospitalization in the ICU within the last six months was associated with EPE infections (OR: 8.39; IC 95%: 1.33-53.11).

The mean of hospitalization for detection of *Enterobacteriaceae* was 11 days for controls versus 23 days for cases (P = 0.007).

The number of different antibiotic therapies used was significantly higher for cases than for controls (P < 0.0001). As shown in Table 2, meropenem, ciprofloxacin and amikacin were used mainly in the case group (OR: 10.3; CI95%: 2.1-49.2; OR: 9.33; CI95%: 1.6-54.7; OR: 7; IC 1.17-42, respectively).

In 11/15 patients with EPE infections, the EAT did not cover the infecting microorganism. Clinical failure was observed in six cases: five with an incorrect EAT

Table 1. General characteristics of the study patients and strains

Variable	EPE (n = 15)	Non EPE (n = 30)	P-value
Mean age (months)	25	30	- ^a
Gender			
Female	6	14	-
Samples			
- Urine	9	8	0.05
- Blood	4	11	-
- Skin	0	8	0.04
- Respiratory secretions	0	3	-
- Synovial fluid	1	0	-
- Bloodstream catheter	1	0	-
Strains			
- <i>Escherichia coli</i>	3	13	-
- <i>Klebsiella pneumoniae</i>	8	6	0.04
- <i>Enterobacter cloacae</i>	2	4	-
- <i>Serratia marcescens</i>	2	1	-
- <i>Shigella spp</i>	0	3	-
- <i>Salmonella spp</i>	0	2	-
- <i>Morganella morganii</i>	0	1	-
Site of hospitalization			
- Pediatrics wards	6	12	-
- Intensive care unit	9	18	-

a: non significance

Table 2. Antimicrobial resistance and antimicrobials used for treatment in case and control groups treatment and antibiotic susceptibility profile

Variable	EPE (n = 15)	Non EPE (n = 30)	OR (CI 95%)
Antibiotic treatment			
- Number of antibiotics indicated ^a	34	39	
- Ampicillin	2	7	- ^b
- Sulbactam-ampicillin	0	3	-
- Cefalosporins 2 nd gen	2	8	-
- Cefalosporins 3 th gen	7	11	-
- Meropenem	8	3	10.3 (2.1-49.2)
- Ciprofloxacin	6	2	9.33 (1.6-54.7)
- Amikacin	4	2	7 (1.17-42)
- Gentamicin	2	6	-
- TMP-SMX ^c	1	1	-
Inadequate initial treatment	11	2 (n = 24)	3.7 (1.35- 7.46)
Antibiotic resistance			
- TMP-SMX	10	4	12 (2.66-54.2)
- Gentamicin	13	2	84.5 (10.6-669.6)
- Amikacin	8	0	2.1 (1.25-3.68)
- Ciprofloxacin	5	0	1.5 (1.05-2.15)

a: antibiotics indicated for initial or adjusted therapy

b: non significance

c: TMP-SMX: trimethoprim- sulfamethoxazole

and one with the correct EAT. In contrast, three patients with urinary tract infections (two *K. pneumoniae* and one *E. coli*) responded favorably to the EAT regardless of being infected by antibiotic-resistant microorganisms.

The EAT was more successful for the control group ($P = 0.009$); globally, antibiotic resistance was higher in EPE than in non-EPE (Table 2).

The following ESBL genes were detected by PCR: four *bla*_{CTX-M-2} (two in *E. coli* and two in *K. pneumoniae*); four *bla*_{CTX-M-9} (two in *E. cloacae* and two in *K. pneumoniae*); three *bla*_{SHV-5} (two in *S. marcescens* and one in *E. coli*); two *bla*_{CTX-M-8} (two *K. pneumoniae*); one *bla*_{CTX-M-15} (in *K. pneumoniae*); and one *bla*_{SHV-2} (*K. pneumoniae*).

Seven of the eight amikacin-resistant isolates harbored the *aac(6')-Ib* gene.

Plasmid-mediated quinolone resistance (PMQR) genes were detected in four strains, one *K. pneumoniae* strain with *qnrB* along with *bla*_{CTX-M-8}, and three *bla*_{CTX-M-9}-producing strains carrying *qnrA* (two *E. cloacae* and one *K. pneumoniae*).

Different from previous reports, we observed an increase in the relative frequency of CTX-M enzymes, other than CTX-M-2, accompanied by PMQR genes [5-7].

EPE acquisition has been linked to hospitalization, presence of severe illnesses, presence of prosthetics, and prior exposure to antibiotics, especially TGC [2,11,12].

Multivariate analysis showed that previous hospitalization in the ICU was the only independent RF for EPE acquisition. However, to improve the detection of patients with EPE infection, the four predisposing factors detected by univariate analysis should be kept in mind. The presence of three or more of these predisposing factors (for children older than 30 days), has a sensitivity to detect patients with EPE ~84.6% and a specificity ~96.4%. RF for EPE infections in newborns involves different aspects, mostly related to the perinatal period [13].

The acquisition of EPE in this study was associated with an inadequate EAT and to the usage of

wide-spectrum antibiotics such as ciprofloxacin, amikacin and meropenem.

Concomitantly, EPE were significantly more resistant to ciprofloxacin and aminoglycosides, leading to failure of the EAT, regardless of the administration of TGC. Although quinolone usage is restricted in the pediatric population [14], five out of fifteen EPE showed co-resistance to ciprofloxacin.

Conclusion

Our work provides clinical and epidemiological information that may facilitate the characterization of EPE acquisition in the HP-CHPR. The risk factors detected in this work can be used to optimize both diagnosis and treatment of patients with probable EPE infections, regardless of hospital ward or type of infection.

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