# Analysis of wake flow models performance in an operating wind farm

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## 8 Abstract

9 An open-source framework has been developed to design and analyze wind farms. This framework can describe the operation of each wind turbine and their interaction with each other through their 10 wakes. The framework must be specified with site topography, wind turbine locations, and roughness 11 length. It must also incorporate hourly or ten-minute meteorological time series, including wind 12 speed, direction, and temperature at two heights. As a result, a production time series is obtained for 13 each wind turbine with the same time interval as the meteorological data. The flow in the wake 14 produced by each wind turbine is described using different analytical models. In this work, the 15 16 framework is used to analyze the performance of several wake models and compare it with the commercial code WAsP. Actual production data from an operating wind farm located in the northern 17 region of Uruguay is considered for the study. The results show that the correlation between actual 18 19 and calculated production was slightly lower than 0.6 for each wake flow model considered in the 20 analysis. The empirical probability density function of the difference between actual and calculated power had a mean and mode value of zero but a standard deviation close to 29% of the wind farm 21 power. The calculated annual production of each wind turbine was approximated to the actual 22 production using any of the wake models considered, as well as with WAsP. Although, the best 23 24 performance was obtained using the open-source framework and the wake model proposed by 25 Jensen.

## 26 1 Introduction

27 The design of a wind farm implies determining the specific locations of wind turbines through a micro-siting study. Such locations are obtained from a trade-off between land use and annual energy 28 production optimization while minimizing the mechanical loads on wind turbines, especially those 29 associated with turbulence. As a result, the wind farm design is an iterative process to obtain 30 31 maximum power installation and annual energy production and maintain reduced mechanical loads on wind generators (Zhang, 2015). This procedure considers constraints, such as the mean velocity 32 33 and turbulence intensity at hub height, and the performance curves of the wind turbines. In addition, it is necessary to model the wind turbine wake flow to consider the interaction between wind turbines 34 35 and the airflow.

36 This interaction is a multi-scale problem. As the air flows around the wind turbine rotor, interaction

- 37 forces are applied to the flow, creating a reaction on the blades. This interaction process relies on
- 38 various factors such as wind speed, air density, and blade geometry. Additionally, turbulence levels

- 39 and the scale of vortices embedded in the airflow can also impact it. This process is commonly
- 40 analyzed using tools based on BEM and CFD, as presented in (Burton et al., 2011; Martínez-Tosas et
- 41 al., 2014), among others.
- 42 Due to the forces applied to the flow, a wake is developed downstream of the wind turbine rotor.
- 43 Several analytical models were developed to describe this region, from the early straightforward
- 44 model presented by Katic et al. (1987) which modelled only the velocity deficit, through the model
- 45 introduced by Crespo et al. (1999) where turbulence is described, to more complex models such as
- 46 those presented by Bastankhah and Porté-Agel (2014) and Grebaad et al. (2014) where the deviation
- 47 of the wake and the flow in different wake sub-regions is described. A performance analysis of each
- 48 of these models is presented in (Campagnolo et al., 2019). This paper calibrates the wake models
- 49 using velocity measurements taken downstream of a wind turbine model in a wind tunnel. The
- analytical wake flow models are characterized by a low computational cost and ease of use while accurately describing the interaction between the wind and the wind turbine rotor.
- 52 The wind farms are designed as clusters of wind turbines to produce power from wind at a large
- 53 scale. The distance between machines follows a trade-off between wind power availability, wind
- 54 power directions, topography, and terrain availability. Modelling the interaction between the wake
- 55 and the wind turbine is relevant at this scale, but accurately estimating the wind farm's annual energy
- 56 production is the main objective. The micro-sitting tools must include the effect of the terrain on the
- 57 flow, a wake model and wind turbine performance curves. Examples of such tools include the widely
- used commercial software WAsP, (2022) and Wind Pro. (2023).
- 59 This paper presents an open-source tool to design wind farms and analyze their performance in
- 60 section 3. In this section, the commercial code WAsP is also introduced. Several wake flow models,
- 61 tested in the open-source tool, are described in section 2. In section 4, we present results obtained
- 62 using different models, and in section 5, we analyze their performance.
- 63 2 Methods

## 64 2.1 Wake flow models

## 65 2.1.1 General aspects

- 66 When the flow develops around a body, a region with high turbulence and low momentum, named
- 67 wake, is produced downstream. For a uniform flow with velocity U, it is possible to describe the flow
- 68 characteristic in a wake based on a theoretical concept as it is presented in (Lesieur, 1997). When a
- 69 wake with a circular cross-section is produced, as when air flows orthogonally to a circular disk, the
- 70 momentum deficit distribution could be presented as in Figure 2.1.
- 71 In Figure 2.1, the transverse wake dimension is  $2\delta(x)$  and the velocity deficit is  $\Delta V(x,y) = U-V(x,y)$ ,
- 72 where U is the velocity upstream of the rotor and V(x,y) is the velocity at the wake. The velocity
- 73 deficit in the centerline is defined as  $\Delta V_0(x) = U V_0(x)$ . As it is shown in (Lesieur, 1997) for a
- 74 circular wake, the transverse dimension increases as  $x^{1/3}$  and the velocity deficit in the centerline
- decreases as  $x^{2/3}$ . Further downstream of the turbine, the flow recovers the characteristics of the free
- 76 flow.
- 77 The transverse distribution of the velocity deficit at a distance x downstream of the turbine could be
- 78 modelled as shown in equation 2.1.

82

89

$$\Delta V(x, y) = \Delta V_0(x) e^{-\left(\frac{y}{\delta(x)}\right)^2}$$
(2.1)

- 80 The velocity deficit is a result of the thrust force (T) applied to the flow. The non-dimensional
- 81 expression of this force is called the thrust coefficient, which is defined in equation 2.2.

$$C_T = \frac{T}{\frac{1}{2}\rho A U^2} \tag{2.2}$$

- 83 where  $\rho$  is the air density and A the rotor swept area.
- 84 Different models have been developed to describe the flow in the wake and the force the rotor
- 85 produces on the flow based on the previous theoretical results.

#### 86 2.1.2 Model JENSEN

- 87 Katic et al. (1987) present a wake flow model assuming a uniform velocity distribution in the wake,
- as shown in equation 2.3. In the context of this paper this model is named JENSEN.

$$\frac{\Delta V}{U} = 1 - \frac{V}{U} = \frac{1 - \sqrt{1 - C_T}}{\left(1 + 2k\frac{x}{D}\right)^2}$$
(2.3)

- 90 The velocity deficit is calculated, according to equation 2.3, as a function of the distance x
- 91 downstream the rotor with diameter D, the thrust coefficient  $C_T$ , the upstream velocity U, and a
- 92 coefficient k. The value of this coefficient differs for the second line of the wind farm (0.075) and the
- 93 downstream lines (0.11).

#### 94 2.1.3 Model CRESPO

- 95 According to Crespo et al. (1999), the calculation for velocity deficit can be determined using
- 96 equation 2.4, which is referred to as the CRESPO model.
- 97  $\Delta V = 2. a. U \qquad \text{if } \frac{x}{D} < 2 \text{ or } 3$
- 98

99

$$\Delta V = k. a. U \left(\frac{D}{x}\right)^n$$
 if  $\frac{x}{D} > 2$  or 3

100 where U is the mean velocity upstream of the wind turbine, D the rotor diameter and a is de the axial

- 101 induction velocity coefficient. k and n are parameters that vary between 2 and 4 and between 0.75
- and 1.25, respectively.
- 103 This model proposes to evaluate the turbulence intensity increment as shown in equation 2.5.

104 
$$I_{ad} = 0.725 a$$
 if  $\frac{x}{D} < 2 \text{ or } 3$   
105 (2.5)

106 
$$I_{ad} = 0.73 \ a^{0.8325} I_{u,eje}^{-0.0325} \left(\frac{D}{x}\right)^{0.32}$$
 if  $\frac{x}{D} > 2 \text{ or } 3$ 

(2.4)

107 where  $I_{u,eje}$  is the turbulence intensity upstream the wind turbine.

#### 108 **2.1.4 Model PORTE**

- 109 Bastankhah et al. (2014) present a model, which in this paper is referred to as PORTE. This model
- 110 calculates the velocity deficit using equation 2.6.

$$\frac{\Delta V}{U} = \left(1 - \sqrt{1 - \frac{C_T \cos \gamma}{8 \cdot \frac{\sigma_y \cdot \sigma_z}{D^2}}}\right) \cdot e^{-\frac{(y-\delta)^2}{2\sigma_y^2}} \cdot e^{-\frac{(Z-Z_h)^2}{2\sigma_z^2}}$$
(2.6)

112 The parameters in equation 2.6 are defined in equation 2.7.

113

111

114 
$$\frac{\delta}{D} = \theta_{C0} \frac{x}{D} + \frac{\theta_{C0}}{14 \cdot 7} \sqrt{\frac{\cos \gamma}{k_y \cdot k_z \cdot c_T}} (2, 9 + 1, 3 \cdot \sqrt{1 - c_T} - c_T) \ln \frac{(1.6 + \sqrt{c_T}) \cdot \left(1.6 \sqrt{\frac{8\sigma_y \cdot \sigma_z}{D^2 \cdot \cos \gamma}} - \sqrt{c_T}\right)}{(1.6 - \sqrt{c_T}) \cdot \left(1.6 \sqrt{\frac{8\sigma_y \cdot \sigma_z}{D^2 \cdot \cos \gamma}} + \sqrt{c_T}\right)}$$

115

$$k_y = k_Z = k_a \cdot I_0 + k_b$$

118 
$$\theta_{C0} = \frac{0, 3 \cdot \gamma}{\cos \gamma} \cdot \left(1 - \sqrt{1 - C_T \cdot \cos \gamma}\right)$$
(2.7)

119 
$$\frac{\sigma_y}{D} = k_y \frac{(x - x_0)}{D} + \frac{\cos \gamma}{\sqrt{8}}$$

120 
$$\frac{\sigma_Z}{D} = k_Z \frac{(x - x_0)}{D} + \frac{\cos \gamma}{\sqrt{8}}$$

121 
$$\frac{x_0}{D} = \frac{\cos \gamma \cdot (1 - \sqrt{1 - C_T})}{\sqrt{2} \left( \alpha * I_0 + \beta * (1 - \sqrt{1 - C_T}) \right)}$$

122 This model assumes a Gaussian velocity deficit for the wake transverse distribution, similar to the

123 theoretical expression presented in equation 2.1, and a misalignment  $\gamma$  between the wake centerline

and the wind direction as it is showed in figure 2.2. Parameters  $k_a$ ,  $k_b$ ,  $\alpha^* \ge \beta^*$  must be adjusted in

125 relation to wind exposure.

## 126 2.1.5 Model FLORIS

127 Gebraad et al. (2014) presented a wake model identified in this paper as FLORIS, composed of three

regions: near wake, far wake and the mixed layer. Figure 2.3 shows a sketch of the proposed wake model

129 model.

130 This model proposes the evolution of the wake width and the velocity deficit in each region. Also,

131 this model considers misalignment between wind direction and rotor axis. Equation 2.8 presents the

 $\delta_2 = D + 2 k_{e} m_{e2} Y_i$ 

132 growth of the wake width, shown in figure 2.3.

133 
$$\boldsymbol{\delta}_1 = \boldsymbol{D} + \boldsymbol{2} \cdot \boldsymbol{k}_{e} \cdot \boldsymbol{m}_{e1} \cdot \boldsymbol{Y}_i$$

135

145

136 
$$\delta_3 = D + 2. k_e \cdot m_{e3} \cdot Y_i$$

137 Equation 2.9 shows the velocity deficit proposed in FLORIS model.

138 
$$\Delta V = U_{\infty} - U_{w} = 2. a. C_{k}$$
(2.9)

139 The coefficients  $C_k$  are defined in equation 2.10.

140  
141  
141  
142  
142  
142  
143  
If 
$$|X_i| < \frac{\delta_1}{2}$$
  
 $|X_i| < \frac{\delta_2}{2}$   
 $C_1 = \left(\frac{D}{D + k_e \cdot m_{U1} \cdot Y_i}\right)^2$   
 $C_2 = \left(\frac{D}{D + k_e \cdot m_{U2} \cdot Y_i}\right)^2$   
 $C_3 = \left(\frac{D}{D + k_e \cdot m_{U3} \cdot Y_i}\right)^2$   
 $C_1 = 0$   
(2.10)

143 If 
$$|X_i| > \frac{o_3}{2}$$

144  $m_{Uk}$  parameters are defines as equation 2.11.

$$m_{Uk} = \frac{M_{Uk}}{\cos(a_{U})}$$
(2.11)

The parameters ke, me1, me2, me3, MU1, MU2, MU3 y au must be selected to adjust the model. 146

#### 147 2.1.6 Models adjustment

148 Each model detailed previously includes parameters to be determined. The value of those parameters could be associated with wind exposure, but they could also depend on the wind farm geometry, the 149 topography, or the incident turbulence level. Furthermore, it is important to note that wind turbines 150 151 experience varying wind characteristics, such as velocity distribution and turbulence, depending on 152 the direction of the wind.

153 While models JENSEN and CRESPO are applied to analyze wind farms' performance, Campagnolo

et al. (2019) show the performance of models PORTE and FLORIS to describe the wake flow 154

155 downstream of a wind turbine model in a wind tunnel. Although the authors obtained these results for

- different turbulence intensities upstream of the wind turbine, they could differ from the actual 156
- conditions in a wind farm. 157

(2.8)

- 158 Campagnolo et al. (2019) present wind speed measurement in the wake flow and a methodology to
- 159 optimize the different models' performance and obtain the parameters' values. The following sections
- 160 analyze the performance of the different wake models to describe the production of an operating
- 161 wind farm, using a methodology inspired by the work of Campagnolo et al. (2019).

## 162 2.2 Micro-sitting models

- 163 The performance of the wake models presented in the previous section is analyzed using a dataset
- 164 obtained from an operating onshore wind farm in Uruguay. With this aim, the models are
- 165 implemented in an in-house framework developed by the authors in MATLAB. The obtained results
- are then compared with commercial code WAsP.

## 167 2.2.1 Open-source micro sitting model

## 168 2.2.1.1 Required data

- 169 The model analyzes the performance of wind farms composed of several wind turbines. Typically,
- 170 these turbines are equal to each other, but the model can also assess different wind turbine models
- 171 simultaneously. For each analyzed machine, it is required to know the rated power, rotor diameter
- 172 and hub height (Alt), as well as the power and thrust coefficient curves as a function of wind velocity
- 173 every 0.5 m/s, between 0 and 30 m/s.
- 174 The meteorological data are measured from a mast and stored in time series with an hourly or ten-
- 175 minute time step. The evolution of the wind farm production will be computed using this data time
- 176 step. The time series include mean wind velocity (V, at one or two heights), wind direction ( $\phi$ ), root
- mean square of velocity fluctuation ( $\sigma$ ) and the temperature (T) at two heights. Also, it must be
- specified the measurement height ( $Z_{Ref}$ ), roughness length ( $Z_0$ ) and zero displacement plan height (d)
- 179 around the mast for different wind directions every  $10^{\circ}$ .
- 180 For each possible wind turbine site, the coordinates are specified in a geographically indexed frame.
- 181 The topography is described from satellite information available in the following website
- 182 <u>http://srtm.csi.cgiar.org/SELECTION/inputCoord.asp</u>. Once the Shuttle Radar Topography Mission
- 183 (SRTM) region is identified, a rectangular zone is defined where the wind farm would be located. As
- a preliminary result, the contour lines are obtained and verified from the digital information about the
- topography. Then, the altitude and slope of the terrain are calculated at each possible location of a
- 186 wind turbine. From this information, a speed-up (SU) factor is guessed for every 10° wind direction
- 187 using a model developed with this aim.
- 188 For each time series component, the temperature gradient is calculated following equation 2.12.

$$\frac{\partial T}{\partial Z} \cong \frac{T(Z_2) - T(Z_1)}{Z_2 - Z_1}$$
(2.12)

- 189
- 190 The temperature gradient is used to determine Pasquill's stability class following the criteria
- 191 specified in (Arya, 1999) and shown in table 2.1. From the stability class and the roughness length,
- an estimation of the Monin-Obukhov length scale is determined following the relationship shown in
- 193 figure 2.4 from (Golder, 1972).
- 194 In addition, if the velocity at two heights is known, the Monin-Obukhov length scale could be
- 195 estimated from the Richardson number, defined as equation 2.13, following the work of Stull (1988).

$$Ri = \frac{g}{\theta} \frac{\frac{\partial \theta}{\partial z}}{\left(\frac{\partial U}{\partial z}\right)^2}$$
(2.13)

- 196
- 197 where  $\theta$  is the potential temperature. In this work, potential temperature is approximated using the 198 temperature value.

#### 199 2.2.1.2 Model description

The production of each wind turbine is calculated for each meteorological time series component. Thus, a power time series is obtained with the same time step as the meteorological data.

When defining a wind farm layout, a first micro-sitting guess is proposed considering the wind rose, topography and wind turbine dimensions. A coordinate is then assigned to each machine in the same frame as the topography.

205 A frame rotation is made for each analyzed wind direction j, so the new frame would have the y-axis

206 pointing upstream, as shown in figure 2.5. The wind turbines are then ordered in decreasing y-value 207 so that the first one in the list, with the greatest y-value, is not affected by the wake of the other wind 208 turbines.

- 209 The wind turbines are then processed in the order of the list, from  $\ell = 1$ , the wind turbine with highest
  - 210 y-coordinate, to  $\ell = s$ , where s is the number of turbines. For each wind turbine  $\ell$ , a first
  - approximation of the turbulence intensity  $(I_x)$  and the mean velocity  $(V_{nn})$  of a flow undisturbed by
  - 212 the wakes are obtained from the hub height, the changes of roughness  $(Z_0)$  and the speed-up factor
  - 213 (SU). The extrapolation is made following equations 2.14 and 2.15.

214 
$$I_{X} = \frac{\sigma_{EM}}{V_{EM}} \cdot \frac{\ln \left(\frac{Z_{REF}}{Z_{0}(\varphi)}\right)}{\ln \left(\frac{Alt}{Z_{0,\ell}(\varphi)}\right)}$$
(2.14)

215 
$$\mathbf{V_{nn}} = \mathbf{V_{REF}} \cdot \left(\frac{\mathbf{Z_{0,\ell}}(\boldsymbol{\varphi})}{\mathbf{Z_{0}}(\boldsymbol{\varphi})}\right)^{0.0706} \frac{\ln\left(\frac{\mathrm{Alt}}{Z_{0,\ell}}(\boldsymbol{\varphi})\right) - \psi\left(\frac{\mathrm{Alt}}{\mathrm{L}}, \frac{\mathbf{Z_{0,\ell}}(\boldsymbol{\varphi})}{\mathrm{L}}\right)}{\ln\left(\frac{\mathbf{Z_{Ref}}}{Z_{0}}(\boldsymbol{\varphi})\right) - \psi\left(\frac{\mathbf{Z_{REF}}}{\mathrm{L}}, \frac{\mathbf{Z_{0}}(\boldsymbol{\varphi})}{\mathrm{L}}\right)} \cdot \mathbf{SU}_{\ell}(\boldsymbol{\varphi})$$
(2.15)

216 where  $\psi$  is a function that depends on atmospheric stability as it is presented in equation 2.16.

- 217 Unstable atmosphere  $\psi\left(\frac{\mathbf{Z}}{\mathbf{L}},\frac{\mathbf{Z}_{0}}{\mathbf{L}}\right) = \ln\left(\frac{(1+\mathbf{u}\mathbf{u}_{2})^{2}\cdot(1+\mathbf{u}\mathbf{u}_{2}^{2})}{(1+\mathbf{u}\mathbf{u}_{1})^{2}\cdot(1+\mathbf{u}\mathbf{u}_{1}^{2})}\right) 2\mathbf{atg}(\mathbf{u}\mathbf{u}_{2}) + 2\mathbf{atg}(\mathbf{u}\mathbf{u}_{1})$
- 218 Stable Atmosphere  $\psi\left(\frac{z}{L}, \frac{z_0}{L}\right) = -4.7 \left(\frac{z}{L} \frac{z_0}{L}\right)$  (2.16)
  - 219 Neutral Atmosphere  $\psi\left(\frac{z}{L}, \frac{z_0}{L}\right) = \mathbf{0}$
  - For unstable atmosphere the magnitudes  $uu_1$  y  $uu_2$  are defined in equation 2.17.

221 
$$uu_1 = \sqrt[4]{1 - 15^{Z_0}/L}$$

223 
$$uu_2 = \sqrt[4]{1 - 15^{Z}/L}$$

For each wind turbine  $\ell$ , the wake interaction produced by the rest of the wind turbines (*m*) is computed following the ordered list. In the first place, the relative coordinates  $X_i$  y  $Y_i$  are defined

following equation 2.18 for the m wind turbine.

### 227

228

246

$$Y_i = y_m -$$

If  $Y_i$  is negative, then the *m* wind turbine does not affect the considered wind turbine  $\ell$  and no value is added to the wind deficit *DELTAC*. On the other hand, if  $Y_i$  is positive, then there is aerodynamic interference if the rotor has any part inside the wake and the produced deficit following the wake model is added to *DELTAC*. The addition would be linear or quadratic depending on the used wake model.

 $X_i = x_m - x_\ell$ 

Yℓ

234 The velocity in the turbine  $\ell$  is calculated using equation 2.19, from *DELTAC* and V<sub>nn</sub>, defined in 235 equation 2.15.

236 
$$V_P(\ell) = V_{nn}(1 - DELTAC)$$
(2.19)

Each wake model proposes the modification of the turbulence intensity defining an additional turbulence  $(I_{ad,m})$  induced by the wind turbine M on the wind turbine  $\ell$ . Finally, the turbulence intensity is calculated at each wind turbine  $\ell$  as described in equation 2.20.

240 
$$I_u(\ell) = \sqrt{I_x^2 + \sum_m I_{ad,m}^2}$$
(2.20)

241 When the calculated velocity  $V_P(\ell)$  is below zero, greater of cut out or greater than 15 m/s, and

turbulence intensity is greater than the reference value for the wind turbine class, the power is

243 considered zero. In other cases, the power is obtained from the performance curve.

If the intensity of turbulence is greater than 10 % a factor (DELTAPT) is applied to the power followingequation 2.21.

$$(1 - DELTAPT) = (1 - 1.11(I_u(\ell) - 0.1))$$
(2.21)

Although this correction is inspired by the methodology proposed in code IEC, (2017) to determine

the normalized performance curve, a simplification is proposed based on an engineering approach.

Finally, a power production time series for all meteorological time series and for each wind turbine is obtained.

## This is a preprint version.

(2.17)

(2.18)

## 251 2.2.2 WAsP model

- 252 The WAsP is a commercial code that can interpolate wind velocity vertically and horizontally across
- the entire study region where a wind farm is planned for installation. It can also calculate the annual
- 254 production of each wind turbine, giving an overall estimate of the wind farm's energy production.
- 255 WAsP is a hierarchical model consisting of a workspace with several projects. Each project contains
- 256 information about the topography, location, and dimensions of obstacles, as well as the wind turbines'
- 257 location and dimensions. It also includes meteorological data such as wind direction, velocity, and
- 258 height of measurements. The wind farm description includes the location of each wind turbine and
- the performance curves power-velocity and thrust coefficient-velocity.
- 260 The wakes are modeled in the code using the JENSEN model. The swept rotor area proportion
- affected by the wake is estimated for each wind turbine. Then, a weighting of the affected and non-
- affected swept area is considered to estimate the velocity downstream of the rotor. The model also
- 263 accounts for atmospheric stability by adjusting a parameter proportional to the sensible heat from
- 264 ground to air and applying it to the data.

## 265 **2.3 Test case**

266 The model's performance was evaluated for a wind farm named JPT, located in the north of Uruguay,

- composed of 28 NORDEX wind turbines, model N117/2400. Figure 2.6 shows the location and
- 268 micro-sitting of this wind farm, while in figure 2.7, probability density distribution and wind rose are 269 described.
- 270 Production data from each wind turbine was available from SCADA from 2015 to 2019, every 10
- 271 minutes, as meteorological data from a nearby mast. Depuration data was made considering: all
- turbines in operation, operation of all turbines with non-error, and meteorological data available.
- After this depuration, a time series of 1.37 yearlong was obtained. The capacity factor was 51.09 %.

## 274 **3** Results

## 275 **3.1 Open-source model calibration**

- 276 A model optimization methodology has been developed based on three performance indices. These
- indices are the capacity factor, a second index called *DifAero*, which is defined in equation 3.1 and
- evaluates the difference between wind turbine production at each time step, and a third
- 279 index *DifFarm*, defined in equation 3.2, which assesses the difference between wind farm production
- at each time step.

281

282

$$DifAero = \frac{\sqrt{\sum_{j=1}^{j=L} \sum_{i=1}^{i=N} \left(\frac{P_i(\tau_j) - \widehat{P_i}(\tau_j)}{P_i(\tau_j)}\right)^2}}{N_{Aero} \cdot L}$$
(3.1)

$$DifFarm = \frac{\sqrt{\sum_{j=1}^{j=L} \left( \frac{\left(\sum_{i=1}^{i=N} P_i(\tau_j)\right) - \left(\sum_{i=1}^{i=N} \widehat{P_i}(\tau_j)\right)}{\sum_{i=1}^{i=N} P_i(\tau_j)} \right)^2}{L}$$
(3.2)

- where N is the number of turbines (28) and L the length of the time series (72061).  $P_i(\tau_i)$  is the 283
- actual production of each wind turbine for each time  $\tau_i$ , while  $\widehat{P}_i(\tau_i)$  is the production estimated by 284 285 the model.
- 286 The three indices Capacity Factor, DifFarm and DifAero are calculated for each parametrization of 287 each wake model.
- 288 Each wake model presents several parameters  $a_i$ , expressed as a vector  $\kappa$  (see equation 3.3).
- 289

290 The vector dimension is dependent on the model. While for the JENSEN model the vector has

- dimension one, for the FLORIS model the dimension is eight. 291
- 292 During calibration, the objective is to obtain the parameter vector for each wake model that produces

 $\kappa = (a_1, a_2, a_3, \dots, a_1)$ 

- 293 the best fit between the model and the actual production. The optimal model parameterization is
- 294 obtained by first getting the same capacity factor deduced from the production and then minimizing
- 295 the DifFarm and DifAero indices.
- 296 A first parameter values guess was obtained from the bibliography (Katic et al., 1987; Crespo et al., 297 1999; Campagnolo et al., 2019). Such values are presented in table 3.1.
- After applying the procedure mentioned above to calibrate the models, the parameter values obtained 298 are presented in table 3.2. 299

#### 300 3.2 WAsP calibration

WAsP model version 11.6 was run using the sensible heat mean value of -60 W/m<sup>2</sup> and a dispersion 301 of  $100 \text{ W/m}^2$ . Table 3.3 presents the results obtained. 302

#### 303 4 Discussion

304 Results presented in tables 3.1 and 3.2 for models JENSEN, CRESPO and FLORIS show that the

305 obtained parameter values are close to the initially guessed ones (given in the bibliography). In

306 contrast, for PORTE model the difference was between three and one order of magnitude. Based on

- 307 the values presented in table 3.2, the annual production was calculated using the different wake flow
- 308 models.
- 309 Correlation analysis between actual and calculated production for the wind farm and wind turbines 1
- and 12 was made. Figure 2.6 shows that wind turbine 1 is on the wind farm EAST bound, where 310
- aerodynamic interference is low while wind turbine 12 is in the middle of the wind farm. Table 4.1 311
- 312 presents the correlation coefficient for each case.
- 313 In general, the coefficient correlation was relatively low, with the highest value being obtained for
- 314 the wind farm. Wind turbine 1 had a slightly lower value, and wind turbine 12 had the smallest value.
- 315 However, the correlation coefficient was not particularly sensitive to the model.
- 316 Figure 4.1 shows, for each model, the empiric probability density function of the difference between 317 production and calculated value. The difference was calculated for each time step.

(3.3)

- 318 The mean value and the mode value are both zero or very close. An asymmetry was observed with a
- 319 kurtosis coefficient of -0.1. However, a very high standard deviation was obtained, about 29 % of wind
- 320 farm power rate.
- However, figure 4.2 shows similar annual production calculated with any model and the actual production. The performance evaluation of the model was made with three indices.
- The first index consists in the relative difference between the production of each wind turbine and is defined in equation 4.1.

Relative Diference = 
$$\frac{1}{N} \sum_{i=1}^{i=N} \frac{P_{cal,i} - P_i}{P_i} x 100$$
(4.1)

326 where  $P_{cal,i}$  is the calculated annual production for the wind turbine *i*,  $P_i$  is the annual production of the 327 same wind turbine and N is the number of wind turbines.

328 Second index, similar to the previous one but using the absolute difference according to equation329 4.2.

330 Absolute Relative Diference = 
$$\frac{1}{N}\sum_{i=1}^{i=N} \frac{|P_{cal,i}-P_i|}{P_i} x100$$
 (4.2)

331

325

A third index is the relative difference between the calculated wind farm production and actualproduction defined as equation 4.3.

334 Wind Farm Relative Difference = 
$$\frac{\sum_{i=1}^{i=N} P_{cal,i} - \sum_{i=1}^{i=N} P_i}{\sum_{i=1}^{i=N} P_i} x100 \qquad (4.3)$$

Table 4.2 presents the index values obtained with the different wake models and WAsP.

336 In conclusion, an open-source framework for wind farm design and analysis has been developed that 337 accurately describes the operation of each wind turbine and their interaction with one another through 338 their wakes. The calculated annual production of each wind turbine was approximated to the actual production using any of the wake models considered, as well as with WAsP. Although, for annual 339 wind farm production assessment, the JENSEN model shows the best performance. This model 340 341 obtained the smallest values for Relative Difference and Wind farm relative difference indices. While 342 the Absolute Relative Difference had the smallest value for the PORTE model, the other models 343 showed values of similar order.

## **344 5 Author Contributions**

345 The first author, José Cataldo, was responsible for writing the original draft, directing the research,

346 developing the methodology, and creating the essential software tools for the study. On the other

347 hand, the second author Bruno López conducted extensive revisions and edits to the manuscript,

348 contributed to the research process, and effectively managed the project administration tasks.

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### 384 8 Figure captions

- 385 Figure 2.1. Wake flow sketch.
- 386 Figure 2.2. Misalignment wake centerline sketch.
- Figure 2.3. FLORIS wake model sketch, composed of three regions: near wake, far wake and the mixed layer.
- Figure 2.4. Relation between roughness length, stability class and Monin-Obukhov length scale(Golder, 1972).
- 391 Figure 2.5. Wind farm sketch, frame, and rotation.
- 392 Figure 2.6. Location and micro-sitting JPT Wind farm.
- 393 Figure 2.7. Wind velocity pdf and wind rose.
- 394 Figure 4.1. Probability density curve of difference between production and calculation.
- 395 Figure 4.2. Net annual production.

#### 396 9 Tables

397 Table 2.1. Pasquill's stability class (Arya, 1999).

Stability class	Temperature gradient (°K/km)
А	< -19
В	-19 to -17
С	-17 to -15
D	-15 to -5
Е	-5 to 15
F	15 to 40
G	> 40

398

399 Table 3.1. Initial guess parameter for the different wake models, obtained from bibliography.

Model	Parameters Vector									
JENSEN	k = 0.11									
CRESPO	k = 3	n = 1								
PORTE	$k_a = 0.054$	kb=0.025	$\alpha^* = 2.32$	$\beta^* = 0.154$						
FLORIS	$k_e = 0.0655$	$m_{e1}$ =-0.5	me2=0.22	$m_{e3}=1$	M <sub>U1</sub> =0.5	M <sub>U2</sub> =1	M <sub>U3</sub> =5.5	$a_U=5$		

400

401 Table 3.2. Optimized parameters for each model.

JENSEN	k = 0.23							
CRESPO	k = 2	n = 1.25						
PORTE	$k_a = 0.5$	k <sub>b</sub> =0.4	$\alpha^* = 4.97$	$\beta^* = 0.1$				
PORTE	$k_a = 0.5$	k <sub>b</sub> =0.1	$\alpha^* = 4.94$	$\beta^* = 0.4$				
FLORIS	$k_e = 0.028$	$m_{e1} = -0.7$	$m_{e2}=0.5$	me3=0.843	Mu1=0.4	Mu2=0.9	M <sub>U3</sub> =4	a <sub>U</sub> =10

402

403 Table 3.3. Results obtained with WAsP.

	<i>X</i> [ m]	Y[m]	Elev. [m]	Velocity [m/s]	Gross Annual Production [GWh]	Net Annual Production [GWh]	Wake loss [\%]
Met mast	0	0	165.3	7.72	-	-	-
JP 1	1362	-1950	153.5	7.49	11,336	10,967	3.26
JP 2	1398	-2341	163.6	7.58	11,532	11,083	3.90
JP 3	1451	-2730	167.0	7.54	11,441	11,156	2.49
JP 4	1575	-3739	182.2	7.71	11,876	11,794	0.69
JP 5	468	-3193	182.7	7.77	11,976	11,538	3.65
JP 6	-217	-2731	170.7	7.68	11,810	11,219	5.01
JP 7	-401	-2204	180.0	7.79	12,063	11,395	5.54
JP 8	-498	-1811	174.1	7.69	11,813	11,080	6.21
JP 9	-589	-1451	169.7	7.65	11,721	10,984	6.29
JP 10	-672	-1021	164.9	7.64	11,677	11,034	5.51
JP 11	-770	-595	158.2	7.58	11,524	10,897	5.44
JP 12	-821	-110	159.0	7.63	11,646	11,054	5.08
JP 13	-851	293	154.6	7.61	11,605	11,008	5.14
JP 14	-879	700	156.5	7.67	11,773	11,251	4.43
JP 15	-1000	1100	147.7	7.58	11,556	11,150	3.52
JP 16	-2079	-1641	169.8	7.68	11,775	11,024	6.38
JP 17	-2193	-1264	163.1	7.61	11,618	10,695	7.95
JP 18	-2243	-561	152.0	7.52	11,383	10,587	6.99
JP 19	-2184	1932	145.9	7.59	11,566	11,073	4.26
JP 20	-2211	2284	147.2	7.59	11,566	10,950	5.33
JP 21	-2250	2676	144.5	7.55	11,447	10,839	5.31
JP 22	-2300	3073	147.4	7.62	11,644	11,081	4.84
JP 23	-2346	3467	152.4	7.74	11,926	11,493	3.64

JP24	-3678	3193	141.8	7.52	11,412	10,629	6.87
JP 25	-3736	2797	159.4	7.79	12,045	11,070	8.10
JP 26	-3875	2441	151.0	7.6	11,590	10,578	8.73
JP 27	-3983	2029	159.1	7.71	11,830	10,934	7.58
JP 28	-4209	1662	155.7	7.65	11,681	11,001	5.82

405 Table 4.1. Correlation coefficient between time series.

	Wind Farm	Wind turbine 1	Wind turbine 12
JENSEN	0.580	0.561	0.497
CRESPO	0.589	0.556	0.542
PORTE	0.581	0.565	0.544
FLORIS	0.581	0.559	0.504

407 Table 4.2. Performance model indices.

Model	JENSEN	CRESPO	PORTE	FLORIS	WAsP
Relative difference (%)	0.08	2.80	0.20	0.12	3.07
Absolute relative diff (%)	4.90	3.69	3.15	4.67	4.05
Wind farm relative diff (%)	-0.01	2.70	0.15	0.05	2.92