Secular evolution of resonant small bodies: semi-analytical approach for arbitrary eccentricities in the coplanar case

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ABSTRACT
We study the secular evolution of a particle in deep mean motion resonance (MMR) with a planet in the planar elliptic restricted three body problem. We do not consider any restriction neither in the planet’s eccentricity $e_p$, nor in the particle’s eccentricity $e$. The methodology used is based on a semi-analytical model that consists on calculating the averaged resonant disturbing function numerically, assuming for this that in the resonant scale of time all the orbital elements of the particle are constant. In order to obtain the secular evolution inside the MMR, we make use of the adiabatic invariance principle, assuming a zero-amplitude resonant libration. We construct two-dimensional surfaces (called $F$ surfaces) in the three-dimensional space $(\sigma, e, \sigma)$ that allow us to predict the secular evolution of these three variables. The 2:1 MMR is used as example to show some results. We found four apsidal corotation resonance (ACR) families, two symmetric and two asymmetric. One of the symmetric families exists for almost any $e_p$ value. The other one for $e_p > 0.3$ and the asymmetric ones for $e_p > 0.44$. We corroborate the secular variations in $e$ and $\sigma$ predicted by the model through numerical integrations even when the initial conditions are displaced from those ACR. Some peculiar examples are presented for the 3:1 and 2:2 MMR showing large excursions in eccentricity. As an application, the Planet 9 is investigated as a possible responsible of high eccentric distant TNOs.

Key words: methods: numerical – celestial mechanics – planets and satellites: dynamical evolution and stability.

1 INTRODUCTION
Resonant motions, in the celestial mechanics context, have been object of intense study for many decades due to the diverse dynamical evolution they can produce. The initial efforts on analytical theories developments resulted in the first and second fundamental resonant models (Garfinkel 1966; Henrard & Lemaître 1983) which were applicable to a particle in mean motion resonance (MMR) with a circular perturber. They had the advantage of being fully integrable as they are Hamiltonian systems of one degree of freedom. For non-zero low eccentricity of the perturber $e_p$ and of the particle $e$, several analytical expansions in $e_p$, and $e$ for the disturbing function $\mathcal{F}$ exist. Some classical examples of these are in Wisdom (1982, 1985). Naturally, these expansions are valid for small eccentricities which constitutes their main limitation. As an alternative, there are other type of expansions called asymmetric expansions (Ferraz-Mello 1987) that are implemented around a general $e > 0$ value. If the variation of $e$ is too large, another expansion can be done to continue studying the secular evolution of the particle. There are some other works, for instance Moons & Morbidelli (1993, 1995), that only expanded in $e_p$, allowing an application to any high value of $e$, with the restriction $e > e_p$. Sidorenko (2006) also used only a Laplacian expansion in $e_p$ but without any restriction for $e$. That method was based on a double numerical average that allow to study the secular evolution of asteroids inside the 3:1 MMR with Jupiter. In the restricted case there are also works that did not use analytical expansions at all, as for example in Yoshikawa (1989) where the long-term changes of asteroid’s eccentricities in several MMR with Jupiter were calculated. There, it was considered all Jupiter’s parameters fixed except for its longitude of perihelion, assumed to change linearly with time. With a similar methodology, Beust & Morbidelli (1996) presented various phase portraits in the $(e, \sigma)$ domain for some MMR. More recently Pichiri, Morbidelli & Lai (2017) studied the elliptic restricted problem also without analytical expansions and developed a similar approach as we will present here. Our work is in the same line of disregarding analytical expansions in order to have a valid method for extreme variations of $e$ and arbitrary values of $e_p$. We are also interested in the long-term evolution of the libration centre. Variations of the resonant libration centre have been already observed in systems with mutual inclination, for example, in Gallardo (2006a). In this work, we show the same phenomenon can occur for coplanar high eccentricity systems.

With respect to pure numerical techniques, there are various works with different methodologies, for example, Antoniadou & Libert (2018) analysed several MRs through stability maps using a chaos indicator, Haghighipour et al. (2003) studied the problem via searching resonant periodic orbits with the differential continuation method, Celletti et al. (2002) solved numerically the differential equations looking for stable mirror configurations, etc. These are interesting works with the disadvantage that sometimes are computing consuming and could be difficult to reveal global dynamical features.

All these studies are somehow complementary and contribute to the understanding of different dynamical aspects of the MMR. In this work, we extend the approach of Gallardo (2020) studying the secular evolution of the restricted coplanar resonant case for any eccentricity of both bodies and find the long-term evolution of the equilibrium points in the space $(e, \sigma, \sigma)$. Our model is validated through the

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2 THEORETICAL FRAMEWORK AND METHODOLOGY

2.1 Semi-analytical model

In the rest of the article every orbital element without sub-index refers to the particle whereas sub-index ‘p’ refers to the perturbing planet (massive body). The ‘s’ index is reserved for the star. The method we will devise is valid for arbitrary resonances but in this work, we focus on internal resonances, i.e. the semi-major axes satisfy \( a < a_p \) always.

As we are assuming a coplanar configuration, only three orbital elements are relevant which are \( a \), the eccentricity \( e \), and the longitude of the pericenter \( \sigma \) (formally would be \( \Delta \sigma = \sigma - \sigma_p \) but we will assume \( \sigma_p = 0 \)). Besides these, due to the resonant hypothesis, the critical angle \( \sigma \) is also a relevant parameter, which is defined as follows:

\[
\sigma = k\lambda - k_p\lambda_p + (k_p - k)\sigma
\]

where \( \lambda, \lambda_p \) are the mean longitudes and \( k, k_p \) are positive integers. The planet’s orbital elements are evidently fixed since we are in the restricted case.

Following, for example, Nesvornỳ et al. (2002) or Saillenfest et al. (2016) the semi-secular Hamiltonian obtained eliminating the short-period terms depending on \( \lambda, \lambda_p \), but no \( \sigma \), is:

\[
\mathcal{H}(a, e, \sigma, \sigma) = -\frac{\mu}{2a} - n_p \frac{k_p}{k} \sqrt{4a^3} - \mathcal{R}(a, e, \sigma, \sigma)
\]

where \( \mu = Gm_0 \) and \( n_p \) is the planet’s mean motion.

The Hamiltonian of equation (2) has three terms, the first one is the Keplerian term, the second one corresponds to the expanded phase space term in order to have an autonomous \( \mathcal{H} \) and the third term is the averaged disturbing function. To calculate it, we follow the idea given by Schubart (1968) with the approach used by Gallardo (2006b, 2019, 2020) where the resonant disturbing function is calculated by an averaging method in \( \lambda_p \), considering that \( e \) and \( \sigma \) are fixed. This results in a great simplification since the problem becomes of one degree of freedom.

Using the canonical variables \( \Sigma = \sqrt{12a}/k \) and \( \sigma \), the equilibrium points have to satisfy the following conditions:

\[
\frac{\partial \mathcal{H}}{\partial \Sigma} = 0; \quad \frac{\partial \mathcal{H}}{\partial \sigma} = 0
\]

The first one gives the nominal semi-major axis:

\[
a = \frac{a_p}{(1 + m_p/m_a)^{1/3}} \left( \frac{k}{k_p} \right)^{2/3} \equiv a_0
\]

where it was neglected the contribution of \( \frac{\partial \mathcal{R}}{\partial \sigma} \) as it is proportional to \( m_p < m_a \). This simplification does not change significantly the value of \( a_0 \).

We can rewrite the second condition in 3, which gives \( \sigma_0 \), known as the equilibrium centre of libration:

\[
\frac{d \mathcal{H}}{d \sigma} = 0
\]

Strictly speaking this is correct but we are interested in the stable equilibrium points. They occur when \( \sigma \) minimizes (at least locally) the function \( \mathcal{R} \). If we consider a constant semi-major axis for the particle, then is totally equivalent finding \( \mathcal{R} \) minimums to finding \( \mathcal{H} \) maximums. From here on, we will refer to stable equilibrium points just as equilibrium points. We are going to disregard those equilibrium points obtained when an encounter occurs. The criteria used to detect an encounter is given by the next inequality:

\[
\Delta < \eta \mathcal{R}_H = \eta a_p \left( \frac{m_p}{3m_a} \right)^{1/3}
\]

where \( \mathcal{R}_H \) is the Hill’s radius, \( \Delta \) is the minimal distance between the bodies and \( \eta \) is a tolerance factor with typical values between 2 and 4. We use \( \eta = 3 \) for the examples presented in this work.

In the Fig. 1, there is a \( \mathcal{R}(\sigma) \) example in the 2:1 resonance, where \( \sigma = \sigma_p = 20^\circ, \epsilon = 0.73 \) and \( e_p = 0.01 \). Is easy to see that there are two equilibrium points, one at \( \sigma = 0^\circ \) and the other at \( \sigma = 180^\circ \). The second one is surrounded by two high spikes due to encounters between the bodies. In general, these equilibrium points found with this method are always stable in relatively short scales of time (\( t \sim 20–200 \) P being \( P \) the orbital period) when numerical integrations are carried out. However, if we check their stability at higher scales of time (\( t \sim 2 \times 10^4 \) to \( 2 \times 10^6 \) P) some points remain stable whereas others not.

To overcome this issue and find the long-term stable points, we use the adiabatic invariance principle which has been applied in MMR dynamics at least since Peale (1976). In that work, the author applied it to study the capture process in MMR due to tidal interactions. A recent example where the principle is applied to study secular evolutions in MMR can be found in Batygin & Morbidelli (2017). This principle states that the adiabatic invariant of the dynamics \( J \) remains constant as long as the resonant libration periods are much
more shorter than the secular periods (Henrard 1993). The definition of this quantity is as follows:

$$J = \oint \Sigma \sigma$$  \hspace{1cm} (7)

For the sake of simplicity we are going to work in a negligible resonant amplitude of libration regime ($J = 0$), which means that $a = a_0$ and $\sigma = \sigma_0$ are essentially constants in resonant time-scales, with zero-amplitude resonant librations. This approximation was used for example by Kozai (1985) and subsequent works. In secular time-scales $a$ will continue to be constant (because of the commensurability between orbital periods) but the centre of resonant libration could slowly change.

In practice this means that some of the orbital elements are slow varying and could be treated as constants to do the averaging of $R$, which is carried out in a shorter time-scale. Therefore, when the secular evolution of $e$ and $\sigma$ is much slower than the resonant libration periods of $\sigma$, we can apply the adiabatic invariance principle and do the averaging for all the possible $(e, \sigma)$ pairs. This allow us to construct contour maps of the type $R(\sigma, e) = C$, $R(\sigma, \sigma) = C$ or $R(e, \sigma) = C$ being $C$ constant (from now on, $C$ will always refer to an arbitrary constant) that will help with the understanding of the secular evolution. In each one of these maps, the missing variable has to be at least constant enough so they remain valid when compared with long-term numerical integration. The allowed variation to ensure this map’s validity will depend on each particular case.

Another more general way of studying the evolution and very useful in more complicated cases is searching for all the equilibrium points in the $(\sigma, e, \sigma)$ space using equation (5). Then, using the fact that $H$ is a constant of motion and the adiabatic invariance principle, a kind of three dimensional contours curves in the mentioned space can be constructed that will predict the complete secular evolution of $(\sigma, e)$, and $\sigma$. We will call them Hamiltonian 3D maps or simply $H$ surfaces.

### 2.2 Methodology

For all the cases, is assumed a central star of mass $m_1 = 1M_\odot$ and a unique planet with $a_p = 5.2$ au, i.e. same as Jupiter, but with 10 per cent of its mass. Without losing generality, both, the planet’s longitude of the pericenter and the mean anomaly were set to zero, so, $\sigma = M_p = 0$. On the other hand, $\sigma$ could vary and the particle’s mean anomaly $M$ is defined from the critical angle $\sigma$ through the following relation (deduced from equation 1):

$$M = \frac{\sigma - k_p \sigma}{k}$$  \hspace{1cm} (8)

This equation seems to tell us that only one mean anomaly could produce the deep resonant behaviour. In fact this is true, but only for $k = 1$. If $k \neq 1$, we could increase $\sigma$ by $\pi$ and we would obtain two different mean anomalies $M_1$ and $M_2$ such that $|M_1 - M_2| = \pi$. In general, for any arbitrary $k$ value, there will be $k$ different mean anomalies that will satisfy the resonant condition.

We introduce the angle $\theta$ which is defined as follows:

$$\theta = k\lambda - k_p \lambda_p + (k_p - k)\sigma_p$$  \hspace{1cm} (9)

Since we are supposing $\sigma_p = 0$, then $\theta = k\lambda - k_p \lambda_p$, i.e. just the linear combination of mean longitudes. This angle is simply another resonant argument which could be more relevant to analyse the resonant motion in those cases where $e_p > e$.

In order to be rather exhaustive, given a resonance $k_p; k$, we explore the $(\sigma, e, \sigma)$ space for $e_p$ in the range (0.01, 0.85). We analysed more

in detail the cases with the following specific values: $e_p = (0.01; 0.3; 0.5)$. Once $k, k_p$, and $e_p$ are fixed, the space $(\sigma, e, \sigma)$ can be explored in different ways, detailed in the following sections. In the Section 2.2.1 is described a first method to explore and search for secular equilibrium points, i.e. points where all the variables are static for long periods of time. In fact, these points have been widely studied in the planetary case (Beaugé & Michchenko 2003; Zhou et al. 2004; Michchenko, Beaugé & Ferraz-Mello 2008) and are called apsidal corotation resonances (ACR from here on). In Section 2.2.2 is described a method to predict the secular behaviour of $e$ and $\sigma$ in those cases where the centre of resonant libration is constant, despite $e$ and $\sigma$ changing in time. Finally, in the Section 2.2.3 is presented the technique developed to study the secular evolution in the more general case of a variable centre of libration.

#### 2.2.1 $R(\sigma, e)$ and $R(\sigma, \sigma)$ maps

$R(\sigma, e)$ maps can be made assuming some fixed value for $\sigma$. Alternatively, if $e$ is assumed fixed, $R(\sigma, \sigma)$ maps can be constructed. These plots are just the contour curves of $R$ and are useful to find ACRs as long as a minimum in the $(\sigma, e)$ space matches with a minimum in the $(\sigma, \sigma)$ space, resulting in a minimum in the entire $(\sigma, e, \sigma)$ space. A faster approach could be to sweep $\sigma$ from 0 to 360° obtaining in each step the min $\{R(e, \sigma)\}$. After this, a curve as the one in the Fig. 2 can be plotted, revealing for example the absolute min $\{R(e, \sigma, \sigma))$ or other local minima. We will show that if a numerical integration is set in the absolute minimum of $R$, the orbit freezes and does not show any change over time.

A problem with this first method arises when a variation of $e$ or $\sigma$ implies a topological change in one of this two-dimensional $R$ contour curves. These variations could exist depending if $\frac{\partial^2 R}{\partial e^2} \neq 0$ and/or $\frac{\partial^2 R}{\partial \sigma^2} \neq 0$. If both are zero, no variation will exist. But, for example, if a variation in $e$ implies that the map $R(\sigma, \sigma)$ changes topologically, then it means that is no longer always valid, so, numerical integrations cannot be contrasted with this map in all the numerical integration time interval.

#### 2.2.2 $R(e, \sigma)$ maps

If we assume that the centre of libration is fixed in the secular timescale for any $e$ and $\sigma$ values, the numerical integrations should follow the contour curves of $R(e, \sigma)$. To test this hypothesis, a double sweep in $e$ and $\sigma$ can be made, registering the $\sigma$ equilibrium values in each step. This allows to plot something like the graphic shown in Fig. 3. As can be seen there, there are always equilibrium points very close to $\sigma = 0^\circ$. This means that no topological change (or at least near $\sigma = 0^\circ$) exists for $R$. The equilibrium points near
\[ \sigma = 180^\circ \] (coloured in green) are other family of equilibrium points which appear at high \( e \) values. Thoroughly speaking, the mentioned sweep to check if the centre of libration is fixed, would be required only for the range of variation of \( (e, \sigma) \) that the secular evolution would induce. Nevertheless, is important to have in mind if the entire \( \mathcal{R}(e, \sigma) \) map is valid and describes the correct dynamics or if only is valid a subregion of it.

For \( e \to 0 \) there is a small dispersion from \( \sigma = 0^\circ \). In that zone, the model’s validity is compromised for first order MMR, as we mentioned, because \( \sigma \) is too high invalidating the calculation of \( \mathcal{R} \) assuming that \( (a, e, \sigma) \) are fixed during the considered averaging period. Besides, the adiabatic invariant principle cannot be applied since \( \sigma \) circulates too fast and its frequency could be comparable with \( \sigma \) libration frequency. This is associated with the undefined intrinsic characteristic of \( \sigma \) when \( e \to 0 \). In those low \( e \) equilibrium points the resonant strength is usually low (Gallardo 2019).

As in the previous section, we will show that if a numerical integration is set in the absolute minimum of \( \mathcal{R} \), every orbital element will be constant. The interesting result comes out when the initial conditions are not in the ACR point, for example, changing the initial \( e \) value, and finding out that the secular evolution of \( e(t) \) and \( \sigma(t) \) follows almost exactly the contour curves of \( \mathcal{R}(e, \sigma) \). As we mentioned, this would be the case if the asteroid is locked in the same resonant libration centre with zero-amplitude libration \( (\sigma = 0^\circ \) and \( J = 0 )\).

### 3 RESULTS

#### 3.1 The 2:1 MMR

In this section are presented some examples for \( k = 1 \) and \( k_p = 2 \). In the appendix are presented two short examples for the 3:1 and 3:2 MMR. As we have stated before, in this work we only consider internal resonances but this method can be applied to exterior resonances and even for the 1:1 MMR. From here on, we are going to use sub-index ‘i’ referring to ‘initial’ and sub-index ‘0’ for the ACR points.

#### 3.1.1 Quasi-circular planet \( (e_i = 0.01) \)

In the Fig. 4 we show the \( \mathcal{R}(\sigma, e) \) and \( \mathcal{R}(\sigma, \sigma) \) maps for \( e_p = 0.01 \) compared with a numerical integration of the exact equations of motion which initial conditions are those of the ACR point, i.e. \( (\sigma_i, e_i, \sigma_0) = (\sigma_0, e_0, \sigma_0) = (0^\circ, 0^\circ) \). The maps is a red cross indicating the initial condition and in pink is the numerical integration itself, which in this case is barely visible because, as expected, no secular variations in the orbital elements occur. The highest values of \( \mathcal{R} \) (from red to white colours) corresponds to the encounter zone. The corotational solution for \( e_p \approx 0 \) in this MMR has been well known since Ferraz-Mello, Tsuchida & Klaufke (1993).

In order to study what happens around the ACR, we are going to change \( e_i \) from \( e_0 \) but maintaining \( \sigma_i = \sigma_0 \) and \( \sigma_i = \sigma_0 \). The Fig. 5 shows what happens if this is done. We have plotted the numerical integrations in the time domain, the \( \mathcal{R}(e, \sigma) \) maps compared with the numerical integration in pink and the contour curves of \( \mathcal{H}(a, \sigma) \) calculated from equation (2) for the initial \( (e_i, \sigma_i) \) of the numerical integration, which is in black.

Note how the secular evolution of the eccentricity and the longitude of the pericenter matches pretty well with the \( \mathcal{R}(e, \sigma) \) map’s contour curves. Moreover, the change in behaviour of \( \sigma \) between oscillating and circulating also agrees with the predicted by these contour curves, occurring in this case for \( e \simeq 0.6 \). From these results, we can conclude that a small variation in \( e_i \) from \( e_0 \) can produce large-amplitude oscillations in \( \sigma \). The variations induced in \( e \) itself are
also significant. Let be $\Delta e = e_{\text{max}} - e_{\text{min}}$. In the $\sigma$ libration regime, it is satisfied $\Delta e \simeq 2(e_0 - e)$. When $\sigma$ circulates, the variations in $e$ are smaller compared to the example where the particle was in the edge of the $\sigma$ libration regime (cases c versus d in fig. 5).

At this point an important remark regarding the Hamiltonian must be done. When $e$ and/or $\sigma$ changes, the global topology of the $\mathcal{H}(a, \sigma)$ contour levels could change. If we compare, for example the a) map with the d) one, is evident how the $\sigma = 180^\circ$ libration centre disappears. This is in accordance with the graphic in Fig. 3. In general some new families of equilibrium points could (dis)appear, and also the libration centre value (i.e. $\sigma$ itself) of some of these families could change, as we will show. But in this case there is always an equilibrium point in $\sigma = 0^\circ$, regardless of $e$ and $\sigma$ values. Therefore, the analysis via the $\mathcal{R}(e, \sigma)$ contour levels in the Fig. 5 is valid and sufficient, as we are supposing that the adiabatic invariance principle is correct. As we mentioned, there will be some cases that large variations of $e$ and/or $\sigma$ would imply great modifications in the centre of resonant librations. The next case is an example of this.

### 3.1.2 High-eccentricity planet ($e_\rho = 0.3$)

Following the procedure described in 2.2.1, a main ACR in $(\sigma_0, e_0, \sigma_0)_0 = (0^\circ, 0.7, 0^\circ)$ can be found when $e_\rho = 0.3$. In the top of Fig. 6, we present the results of three numerical integrations where the initial conditions were gradually being put further away from this ACR (always with $J = 0$). The result is that the centre of libration starts to have a long-period oscillation that in the extreme case reaches almost $180^\circ$ of amplitude. Besides, $e$ and $\sigma$ also have an important secular evolution. Therefore, this dynamical behaviour cannot be completely understood by means of the maps $\mathcal{R}(e, \sigma)$, $\mathcal{R}(\sigma, e)$ or $\mathcal{R}(e, \sigma)$ because in all these maps, one of the three variables is considered fixed and besides, the topology of the contour curves changes whenever one of this magnitudes has a considerable variation. Hence, in this example we explore the entire $(\sigma, e, \sigma)$ space at once, plotting all the equilibrium points found with equation (5) and assigning different colours according the Hamiltonian’s value of each point. The colour assignment is vital to differentiate the $\mathcal{H} = C$ curves that will predict the secular evolution. This can be seen in the bottom of the Fig. 6 where the comparisons where carried out between the numerical integrations (black curve) and the three-dimensional $\mathcal{H}$ surfaces. Some points of the surface’s edges were removed in order to have a better visualization. This does not interfere with the analysis so far.

It is remarkable how good is the agreement between the numerical integrations and the model’s surface for all the cases. Contrary to the $e_\rho = 0.01$ case, here $\Delta e$ is much bigger when $e_i$ is displaced from $e_0$. Also $\sigma$ presents large secular variations when $e$ is far away from $e_0$. With this method, we can observe which are the pathways that could increase greatly the asteroid’s eccentricity when is exactly in the 2:1 MMR with an eccentric perturber. An example of this is precisely the right one in the Fig. 6 where the initial $e_i = 0.32$ but after 200kyrs it reaches values extremely close to 1. The surface bifurcation at low $e$ and $\sigma = 0^\circ$ is related to a separatrix we will analyse later in this section.

Another surface exists (in the same panel) with an ACR peeking out in $(\sigma_0, e_0, \sigma_0)_0 = (180^\circ, 0.99, 180^\circ)$, but due to the high eccentricity value, all numerical integrations reached $e = 1$ (as the contour curves do) in thousands of years, except if the initial conditions were exactly in the mentioned triplet. Consequently, is not a really important equilibrium point family from the practical point of view in this case. Nevertheless, we will see in the next example that this ACR point comes down in $e$ when $e_\rho$ increases and in fact, is the beginning of an entire ACR family.

There is another zone that is worth of being analysed. It is topologically in the same surface as the main ACR in $(\sigma_0, e_0, \sigma_0)_0 = (0^\circ, 0.7, 0^\circ)$ but is beyond of a separatrix, so the behaviour is quite different. In the Fig. 7, we show three different numerical integrations in green and the separatrix in black together with the $\mathcal{H}$ surfaces. In the first numerical integration the initial condition (yellow marker) is such that the contour curve still closes on itself and surrounds $(\sigma_0, e_0, \sigma_0)_0$ (i.e. is still the first zone studied in fig. 6). The second initial condition is on the separatrix. The third one is beyond the separatrix, laying on a contour curve that suddenly vanishes for a higher $e$ value, therefore, is not a closed curve but an open one. In both last cases the amplitude of resonant libration is not zero anymore, being more pronounced in the third one. In this case, the numerical integration is more uncorrelated with the $\mathcal{H}$ surface, behaving more chaotically than the other two. Let’s call this family of contour curves beyond the separatrix by open curve family. In order to explain this behaviour, we have selected three points in the open curve family and plot $\mathcal{R}(\sigma)$ for each of them. The idea is to inspect what happens when $e$ increases in a single contour curve, approaching to the edge of this family (or surface’s edge). This can be seen in the Fig. 8 where the points are coloured differently. At the right of that figure are the $\mathcal{R}(\sigma)$ functions for each $(e, \sigma)$ pair, where an arrow with the same point’s colour indicates where is the resonant
Figure 5. 2:1 MMR with $e_p = 0.01$ LEFT: $a(t)$, $e(t)$, and $\sigma(t)$ (black), and $\sigma(t)$ (blue) from the numerical integrations. CENTRE: $\mathcal{R}(e, \sigma)$ map for $\sigma = 0^\circ$ Vs. numerical integration in pink. The white-dashed curve corresponds to the separatrix. RIGHT: $\mathcal{H}(a, \sigma)$ contour curves for the $(e_i, \sigma_i)$ pair Vs. numerical integration in black. From top to bottom the difference is in the $e_i$: a) 0.73. b) 0.68. c) 0.61. d) 0.60.
equilibrium point drawn in the $\mathcal{H}$ surface. Note how the resonant equilibrium point disappears ($R_{\sigma \theta}$ seems to decrease) as we move towards the edge. This happens when $e = 0.75$ in this example. In that point, the system came from evolving adiabatically with $J = 0$, but suddenly there is no more resonant equilibrium point, so, the 'initial' condition in the green point is of $J > 0$ because the particle now will librate around the nearest equilibrium point which is marked with a blue circle in that figure. This phenomenon of disappearing libration centres was already observed by Saillenfest et al. (2016) where some conveniently fragmented maps were presented to understand the secular evolution of a particle in the 1:11 MMR with Neptune.

Finally, we show in the Fig. 9 how the evolution is greatly modified if we move the particle from being in the exact MMR (in the closed curve family). We achieve this by just considering three numerical integrations with the same initial conditions except for $\alpha$, which is displaced from the nominal value in two of them. The initial conditions for the particle are $e_i = 0.21$, $\sigma_i = 180^\circ$, and $\sigma_j = 102^\circ$ with the following three $\alpha$: 3.275 (nominal value), 3.26, and 3.24 au. In the mentioned figure it can be observed the resonant structure in the $(\alpha, e)$ plane, where the resonant width was calculated using the formula derived in Gallardo (2020). In the same plane, there are three symbols indicating the initial values for the numerical integrations. We also show the temporal evolution of $e$, $\alpha$, and $\sigma$. In the first case the particle is in exact MMR with a similar evolution of the third case shown in Fig. 6, where the eccentricity is excited almost to 1. The centre of libration evolves in the secular time-scale but the resonant libration amplitude is zero. In the second case, there is a different evolution for $e$ with higher frequency and a lower secular amplitude. As expected, $\alpha$ is centred in the nominal value but with a non-zero resonant amplitude of libration. $\sigma$ also has a non-zero amplitude of libration with higher secular frequency (as $e$). In the third case, $\sigma$ enters in a stickiness behaviour proper of being at the edge of the resonance, $\sigma$ alternates between circulating and librating whereas $e$ has a completely different evolution with much lower secular amplitude.

In this example (2:1 MMR with $e_p = 0.3$), we notice how different could be the secular evolution between being in deeply resonant motion and in the edge of the resonance or in non-resonant motion. In particular, this mechanism (of being in deep MMR) could be responsible for generating extremely high eccentricity orbits. As a final observation, we should emphasize the importance of the $J = 0$ hypothesis in order to the model predict reliably the dynamical evolution.

3.1.3 Very high-eccentricity planet ($e_p = 0.5$)

The third example we present for this resonance is with $e_p = 0.5$. In the Fig. 10(a) can be seen the min [$R(e, \sigma) \vert (\sigma)$ where this time there are two minima, one in $\sigma = 0^\circ$ and the other in $\sigma = 180^\circ$. The first one occurs for $(e, \sigma) = (0.8, 0^\circ)$ whereas the second one for $(e, \sigma) = (0.88, 180^\circ)$, as can be observed in the same Fig. 10(b) and (c). The red star in these $R(e, \sigma)$ maps marks the minimum. If we compare numerical integrations with these $R(e, \sigma)$ maps when the initial conditions are in the $\sigma$ libration zone, we have good agreement. In these zones, $e$ presents moderate variations whereas $\sigma$ presents low variations.
In the $\sigma = 0^\circ$ case, if the initial condition is $(e_i, \sigma_i) = (0.610^\circ)$, it means that the particle is on the separatrix. In that situation, the centre of resonant libration starts to circulate after approximately 30 kyr and the map loses validity. To explain this behaviour, we should make use of the $\mathcal{H}$ surfaces described in the Section 2.2.3. Nevertheless, due to the complexity of this example, several snapshots of the $\mathcal{H}$ surface were taken from different view angles which can be observed in the appendix (Fig. A1) together with the numerical integration. This is another example of high eccentricity variations. Note how a particle with $e_i \sim 0.2$ could reach values close to 1, if $\sigma_j$ and $\sigma_i$ are properly selected. Observe how despite the intricate behaviour of the evolution, the numerical integration follows this three-dimensional contour curves in the $\mathcal{H}$ surface. In practice is convenient to use an interactive 3D graphic manipulator (for example ipyvolume from python library) to inspect the dynamical structure more easily.

Finally, in the top of the Fig. 11 is shown a case where $\sigma$ is librating in the asymmetric angle of $227^\circ$, which is very interesting because for this interior resonance, it was thought that these asymmetric librations did not exist or exists but for $m_p/m \sim 1$ (Beaugé, Ferraz-Mello & Michtchenko 2003). In Beaugé, Michtchenko & Ferraz-Mello (2006) they extended the search of corotational solutions for higher eccentricities values but did not appear asymmetric points for

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**Figure 7.** 2:1 MMR with $e_p = 0.3$. Three numerical integrations (in green) are compared with the $\mathcal{H}$ surfaces to illustrate the breakdown of $J = 0$ hypothesis. The black curve is the separatrix. $(\sigma_i, e_i, \sigma_j) = \text{LEFT}: (106^\circ, 0.35, 195^\circ), \text{CENTRE}: (123^\circ, 0.35, 215^\circ), \text{RIGHT}: (140^\circ, 0.35, 235^\circ)$. No filtration of equilibrium points has been done here to construct the $\mathcal{H}$ surface.

**Figure 8.** 2:1 MMR with $e_p = 0.3$. \textit{LEFT}: $\mathcal{H}$ surface with three points in the open curve zone marked with different colours but laying in the same curve. \textit{RIGHT}: The 3 functions $\mathcal{R}(\sigma)$ for the 3 coloured points. $(e, \sigma)_{\text{black}} = (0.35, 235); (e, \sigma)_{\text{yellow}} = (0.55, 226); (e, \sigma)_{\text{green}} = (0.75, 220).$
$m_p > m$ in the masses range they explored. Despite this, in the fig. 2 of their work there is a zone near $e_p = 0.5$ and $e = 0.1$ where this point could asymptotically exist. In the Fig. 11 is also shown the $R(\sigma, \varpi)$ map and the 3D $H$ surface, both with the numerical integration overlapped. Both maps predict this asymmetric ACR as can be seen. Moreover, they predict another asymmetric ACR point located symmetrically opposite with respect to the origin in the $(\sigma, \varpi)$ plane. Despite this interesting feature discovered in the dynamics, it is noteworthy that this ACR seems to be pretty weak because of its limited extension in the space (contrary to the main ACR point found in the $e_p = 0.3$ case) which can be proved if we see the bottom part of the Fig. 11. Here the initial conditions are slightly changed, resulting in a completely different behaviour of both, $\sigma$ and $\varpi$. They circulate, as the contour curves on the $H$ surface predicts, whereas $\theta$ is approximately fixed. Note how in both numerical integrations $e$ does not change considerably, so, with the $R(\sigma, \varpi)$ map would have been enough for explaining the encountered behaviour.

If we compare the $H$ surface’s topology between this case and the $e_p = 0.3$ case, we can point out for example that in the $e_p = 0.5$ case, the bifurcation occurs for a higher $e$ value, making it bigger. Secondly, the surface has a more complicated topology than in the $e_p = 0.3$ case. This implies that in the former case, $\sigma$ secular variations will be bigger when the initial conditions are displaced from the ACRs. Finally, a more eccentric perturber produced a second main equilibrium point in $\sigma = \varpi = 180^\circ$ with more dynamical relevance (recall the ‘instability’ surrounding this point when $e_p = 0.3$). In the Table 1 are summarized all the ACRs found in the 2:1 MMR for the particular $e_p$ values studied.

### 3.1.4 ACR families

In order to be aware of the entire families where the ACR points of the Table 1 belongs, we explore the space for any $e_p$ value up to 0.85. The result can be seen in Fig. 12. For $e_p < 0.3$ only exist the $\sigma = \varpi = 0^\circ$ symmetric ACR family. From that point, appears another symmetric family at $\sigma = \varpi = 180^\circ$. At $e_p \approx 0.44$ starts the third and fourth families, which are asymmetric. In this family, not only $e$ changes for different $e_p$ values, but also $\sigma$ and $\varpi$.

### 3.2 Application: the Planet 9

In this section, we apply the method to the hypothetical Planet 9, using for this its canonical orbital elements values and a mass of $m_p = 5 m_0$ (Batygin et al. 2019), but taking, without losing generality, $\sigma_p = 0^\circ$ and $i_p = 0^\circ$, since we are not considering the Solar system planets. The objective here is to confirm that low-to-high eccentricity pathways can exists and could be a partial explanation of those high eccentric distant TNO observed nowadays, assuming that they are in MMR with the Planet 9. First of all, we select the 2:1 MMR and construct the 3D $H$ surface for the Planet 9. Then we do a projection of it in the $(e, \varpi)$ plane which can be seen in the Fig. 13 (observe the similarity with the map of Fig. 6, due to the $e_p$ values are pretty similar). To do this, we had to filter some of the equilibrium points to have a more complete visualization of the region of interest. Observe how this projection could be very tricky if not impossible when the $H$ surface become more complicated, as in the $e_p = 0.5$ case. There, the projection would deprive of the necessary information to understand the secular behaviour of $\sigma$.
In the same Fig. 13, we compare with a numerical integration of 5 Gyrs, where a particle suffer extreme changes in eccentricity. This confirms that low-to-high eccentricities pathways exists with really stable orbits, assuming no inclination respect Planet 9’s orbit, which for TNOs could be a bit restrictive hypothesis given the different inclinations and longitudes of nodes these objects have. This application study does not pretend to explain exhaustively the high eccentric orbits present in these distant (a>250 au) TNO population but just illustrate that at least a secular evolution inside this MMR could be partially responsible for some of the orbital characteristics. In our example, the particle has a = 314.98 au (in order to be in the exact MMR), a value close to the semi-major axis of the high-eccentricity objects 2015 GTS0, 2004 VN112, and 2014 SR349.

Historically was proposed that there should exist an anti-alignment between distant TNO and Planet 9 peri-centres (Batygin & Brown 2016). However, we found that a peri-centre alignment could be another option, as the ACR is in $\sigma = 0$. Furthermore, the $H = C$ curves shrinks toward $\sigma = 0$ when $e \rightarrow 1$, which could result in the following scenario: a set of fictitious initial low-eccentric particles with non-aligned pericenter (the lack of equilibrium points there is due to some filtering that was done in order to avoid bifurcation zone, see Fig. 6) could increase $e$ and converge to approximately the same $\sigma$, producing the known perihelion clustering (Trujillo & Sheppard 2014).

As we mentioned, this example does not pretend to be an exhaustive and deep study of the Planet 9 hypothesis. It is just to illustrate that, in case of more distant TNO being discovered, it would be expected a peri-apsis alignment in those objects locked in the 2:1 MMR. Naturally, other MMR should be considered to make a more complete analysis. Nevertheless, no enough distant TNO have been observed to obtain a clear signature, in the semi-major distribution, that suggest that the resonant mechanisms are dominant in this population. Some extra analysis is required to understand up to what extent is important the secular mechanisms inside MMR in relation with these mechanisms outside MMR. This is not fully understood as both mechanisms are capable of producing

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**Table 1. Summary of ACRs in the 2:1 MMR for the three cases investigated.**

<table>
<thead>
<tr>
<th>$(\sigma_0, \sigma, \sigma_0)$</th>
<th>1</th>
<th>2</th>
<th>3, 4</th>
</tr>
</thead>
<tbody>
<tr>
<td>$e_p = 0.01$</td>
<td>(0°, 0.73, 0°)</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>$e_p = 0.3$</td>
<td>(0°, 0.7, 0°)</td>
<td>(180°, 0.99, 180°)</td>
<td>–</td>
</tr>
<tr>
<td>$e_p = 0.5$</td>
<td>(0°, 0.79, 0°)</td>
<td>(180°, 0.88, 180°)</td>
<td>(+133°, 0.08, ±155°)</td>
</tr>
</tbody>
</table>
similar orbital excitations. In Beust (2016), there is an interesting discussion about this issue where the different (dis)advantages of both mechanisms are commented.

4 DISCUSSION AND CONCLUSIONS

We have developed a simple but useful technique, based on Gallardo (2020), that allow us to obtain the secular evolution of any zero-amplitude resonant asteroid being perturbed by a coplanar massive body for arbitrary values of $e$ and $\sigma$. In particular predicts the secular evolution of $e$, $\sigma$, and $\epsilon$, i.e. the resonant libration centre. Some of the advantages of this method are listed below:

(i) Allows to find every ACR in the entire $(\sigma, e, \epsilon)$ space.
(ii) Predicts correctly the secular evolution of $e$, $\sigma$, and $\epsilon$ in the zero-amplitude libration regime, for any initial condition, including those far away from ACRs.
(iii) Allows to seek for dynamical paths which could increase greatly $e$ (see Fig. 6 for example).
(iv) Allows to find the separatrices that trigger a behaviour change in $\epsilon$ between librating and circulating when the centre of libration is fixed [see (c) and (d) examples in Fig. 5].
(v) Allows to find separatrices in the $(\sigma, e, \epsilon)$ space, that could delimit open contour curves (unstable) from closed contour curves families (stable).
(vi) There are no limitations for $k$, $k_\sigma$, $e$, and $e_p$.

In general the complexity of the dynamical behaviour increased for larger $e_p$ values, requiring the utilization of the 3D H surfaces. Basically, on hand, if the centre of libration has negligible variations, with the contour curves in the $(e\sin \sigma, e\cos \sigma, \epsilon)$ plane is possible to analyse the secular evolution. On the other hand, when $e_p$ is large enough, $\sigma$ could start to vary considerably in the secular time-scale, requiring a more sophisticated way of representing the phase space.

With respect to the examples examined here, there are some important remarks to be done. First of all, for the 2:1 MMR quasi-circular case, it was found an ACR point in $(\sigma_0, e_0, \epsilon_0) = (0^\circ, 0.73, 0^\circ)$ which is a similar result as the obtained in Pichierri et al. (2017) (see fig. 3a in their work). In this case, the secular evolution of $e$ and $\epsilon$ was correctly predicted by our model as long as the $\epsilon$ librating/circularizing regime limit. In particular, we note that the maximum $e$ variation in the $\epsilon$ libration regime is $\Delta e = 0.22$ whereas in the $\epsilon$ circulation regime, $e$ variations are at most of $\Delta e \approx 0.1$, in agreement with the model.

In the high eccentricity case ($e_p = 0.3$) things get more interesting. The centre of resonant libration starts to evolve in secular time-scale, following the contour curves of the H surface. As in the previous case, there is one main ACR, almost in the same place but with the slightly different eccentricity of $e = 0.7$. There is also another ACR at $e = 0.99$ and due to this extreme value, any minimal displacement from that point results in a short evolution because the particle reaches $e = 1$ (as the contour curves predict) rapidly. Both ACR points also seems to be present in the results of Pichierri et al. (2017) (see fig. 6 b in their work).

In the very high eccentricity case ($e_p = 0.5$) of this MMR, there are two main ACR points. The first one at $(\sigma, e, \epsilon) = (0^\circ, 0.8, 0^\circ)$ whereas the second one at $(\sigma, e, \epsilon) = (180^\circ, 0.88, 180^\circ)$.

There are also two weaker asymmetric ACR points which are at $(\sigma, e, \epsilon) = (227^\circ, 0.08, 155^\circ)$ and $(\sigma, e, \epsilon) = (155^\circ, 0.08, 227^\circ)$. Numerical integrations are contrasted with this asymmetric point and we conclude that they exist but with a really narrow secular libration width because separatrices are too close. Therefore, they are less relevant than the others.

ACRs complete families were determined with a full exploration varying continuously $e_p$ up to 0.85. The higher $e_p$ is, the more families coexist. In addition to the location of all the ACRs, some pathways that increase $e$ greatly have been found and tested, in the specific cases of moderate and high eccentric perturber ($e_p = 0.3$ and $e_p = 0.5$).

We made a detailed analysis to understand the bifurcation at low $e$ (present almost in all the MMR for $e_p > 0$) in the H surface. This bifurcation coincides with a separatrix that divides two different contour curve families, one with closed contour curves that surrounds the main ACR and other with open contour curves. The last one is related to the $J = 0$ hypothesis breakdown, due to the discontinuity in the contour curves formed by the resonant equilibrium points.

We also numerically compared the evolutions between being in the exact MMR and displaced from it. The results (Fig. 9) allow...
In the future some applications of this method could be used for understanding the secular evolution of resonant exocomets and exoasteroids perturbed by eccentric exoplanets. It could be useful also in the understanding of high eccentric exoplanetary systems where one of the planets has negligible mass compared to the other.

An extension of this method to the spatial problem could be done without any extra theoretical limitations. The unique drawback is the impossibility of having all the dynamical features in one single plot, as we have in the planar case with the $\mathcal{H}$ surfaces. A possible approach to overcome this issue could be generate several $\mathcal{H}$ surfaces for different $(i, \Omega)$ pairs and obtain results from there. Another option could be to implement an algorithm to extract relevant information (without doing a single plot) as for instance the location of the ACRs, the number of equilibrium points families, separatrixes information, other libration islands, etc.

Some extra examples in the 3:1 and 3:2 MMRs where documented in the appendices just to illustrate interesting secular evolutions which can also be explained with this technique.

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DATA AVAILABILITY

The data underlying this article is available in astronomy department website of Facultad de Ciencias, UdelA at http://www.astronomia. uedu.uy/repositoryPonsGallardo, and can be accessed through the link.

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Figure A1. 2:1 MMR with $e_p = 0.5$. LEFT: $a(t)$, $e(t)$, $\sigma(t)$ (black), $\sigma_i(t)$ (blue), and $\theta(t)$ (red) from the numerical integration with $(\sigma_i, e, \sigma) = (0^\circ, 0.61, 0^\circ)$. RIGHT: $\mathcal{H}$ surfaces from different angles. In green is the numerical integration.
**Figure B1.** 3:1 MMR with $e_p = 0.3$. TOP: Numerical integration which initial conditions are: $(\sigma_i, e_i, \omega_i) = (143^\circ, 0.40, 104^\circ)$. BOTTOM: $H$ surface with the integration in green.

**APPENDIX C: 3:2 MMR EXAMPLE**

In this appendix section, we also present an example just to illustrate an interesting behaviour found in $\sigma$. This behaviour can be observed in the Fig. C1. In this example, the perturber has $e_p = 0.1$ and in this situation exists a main ACR point in $(\sigma, e, \sigma) = (0^\circ, 0.15, 180^\circ)$. In this case, the initial conditions are such that the secular behaviour of $\sigma$ results in a rectangular wave in time. This could be interpreted as a bi-stable situation because for the most of the time, $\sigma$ seems to librate in $\sim -25^\circ$ and then changes rather fast to librate in $\sim 25^\circ$, similar to the behaviours found by Gallardo (2006a). However, there are not asymmetric libration points here but the behaviour is due to the $H$ surface’s shape in the $(\sigma, e, \sigma)$ space and the particular initial conditions chosen. Once more we can see the bifurcation for low $e$ and other two ACR at $\sigma = \sigma = 180^\circ$, one for $e \approx 0.35$ and the other in $e \approx 0.95$, both being pretty close to the edge of this second surface.

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