

1           **Integrating the coproduction of cellulose nanofibers and biobutanol from**  
2                           **eucalyptus pulp using an environmentally friendly process**

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10          **ABSTRACT**

11          The combination of enzyme-mediated pretreatment with mechanical fibrillation has  
12          become an environmentally friendly and low-energy strategy to extract cellulose  
13          nanomaterials (CNM) from lignocellulosic biomass. The use of hydrolytic enzymes to  
14          produce CNM allows the coproduction of sugars that can be further converted to biofuels  
15          and/or value-added products. This work evaluated the integration of biobutanol  
16          production via fermentation of sugars released at high solid concentration with the  
17          biochemical platform of cellulose nanofibers (CNF) production. Cellulose fibers were  
18          partially hydrolyzed at low enzyme loadings (5 FPU/g<sub>solid</sub>) and high solid concentrations  
19          (4-16%) obtaining a separate sugar stream (50 g/L), which was completely converted to  
20          biobutanol and coproducts (up to 15 g/L) by *Clostridium beijerinckii* strains. The  
21          cellulosic residue was mechanically defibrillated by ball milling to produce CNF (4-  
22          14 nm width, 220-230 aspect ratio). The enzyme fractionation represents a promising  
23          strategy to integrate the coproduction of CNF and biobutanol from cellulosic pulp.

24 **Keywords:** biobutanol, cellulose nanofibers, coproduction, *Clostridium*, ball milling

## 25 **1. Introduction**

26 In modern biorefineries from lignocellulosic biomass, integrating the coproduction  
27 of biofuels and/or value-added chemicals from the different biomass components has  
28 become a target to reach economic self-sustainability as well as get an efficient  
29 exploitation of the natural resources. For instance, second generation biofuels plants  
30 commonly coproduce power and biogas using the solid residues obtained during the  
31 cellulosic biofuel production and waste treatment processes (Cesaro and Belgiorno,  
32 2015).

33 Cellulose nanomaterials (CNM) are nano-sized particles which can be obtained from  
34 any type of cellulosic feedstock such as hardwoods, softwoods, grasses, and algae, or  
35 derived from bacterial cellulose. Also, CNM can be extracted from agricultural wastes  
36 (e.g. bagasse, straw, husk) generated from industrial production of starch, sugar and juices  
37 (Cho et al., 2020). These types of materials have emerged as a promising material in many  
38 sectors due to their attractive properties such as non-toxic nature, biocompatibility, and  
39 biodegradability (Lou et al., 2020; Michelin et al., 2020). Cellulose nanofibers (CNF) are  
40 commonly isolated from cellulosic materials by mechanical fibrillation, which includes  
41 the use of equipment such as high-pressure homogenizer or microfluidizer, high-speed  
42 blender, grinder, ball milling, extruder, and ultrasonicator (Espinosa et al., 2019; Michelin  
43 et al., 2020). One of the major disadvantages of mechanical treatment is the high energy  
44 consumption associated to the process. Previous reported studies have shown a reduction  
45 on the energy requirements during mechanical fibrillation when an enzymatic and/or  
46 chemical stage was introduced to the process (Bauli et al., 2019). However, employing  
47 enzymes to obtain CNF has attracted much attention in the past years owing to their high

48 specificity and environmentally friendly condition compared to chemical pretreatment.  
49 Besides, the existing acid hydrolysis methods for CNM production results not compatible  
50 with the biorefinery process because the processing of the highly acidic hydrolysate  
51 obtained represents a major challenge.

52 Biofuels and/or value-added chemicals production strategies by fermentation from  
53 lignocellulosic biomass consist mainly of the usage of both hexose and pentose sugars  
54 extracted from the biomass carbohydrate components. Hydrolysis of lignocellulosic  
55 biomass breaks down the cellulose fraction into glucose and the hemicellulose fraction  
56 into pentoses (e.g. xylose, arabinose) and hexoses (e.g. glucose, galactose, mannose).  
57 Since these sugars can be fermented to biofuels or other value-added chemicals using  
58 various microorganisms, the integration of CNM production along with coproducts  
59 implies that the recovery of fermentable sugars during CNM extraction should be  
60 addressed. Despite of this, reported data on efficient integration of CNM production with  
61 other value-added chemicals are still scarce. Generally, endoglucanases are the hydrolytic  
62 cellulases mostly employed for CNF production to avoid excessive cellulose  
63 depolymerization, since they attack the amorphous cellulose chains to produce chain ends  
64 (Siqueira et al., 2019; Berto et al., 2021). Thus, enzymatic pretreatment results in low  
65 soluble sugars release which, if the integrated production of CNF and biofuels or value-  
66 added chemicals is intended, it is not desired. To overcome this, the introduction of a  
67 combined cellulase-cocktail containing endoglucanases along with  
68 exoglucanases/cellobiohydrolases and  $\beta$ -glucosidase/cellobiases followed by mechanical  
69 treatment is proposed, in which the enzymes mixture can degrade amorphous and  
70 crystalline regions without significantly compromising the cellulose fraction before the  
71 cellulose is nanofibrillated by mechanical shear. A suitable control of the enzyme loading,  
72 operational conditions, and cellulase-cocktails composition could allow a high process

73 control of the degradation level of the different solid components, especially the cellulose  
74 fraction. This would prevent an extensive cellulose hydrolysis which could negatively  
75 affect the CNF extraction yield, and also enable the possibility to recover a separate sugar  
76 stream that could be valorized.

77 Biobutanol, a downstream product of biomass-derived sugar processing, represents  
78 an important liquid biofuel. It has gained great interest as a fuel additive owing to its high  
79 energy content, good compatibility with engines, and low emissions (Cao et al., 2020).  
80 Moreover, it has recently attracted more attention because of its advantages over other  
81 bioalcohols (e.g. ethanol and methanol), such as high tolerance to water contamination,  
82 ability to blend in gasoline or diesel without phase separation, less corrosive to fuel  
83 system (Li et al., 2018). In addition to its role as an alternative to fossil fuels, butanol  
84 serves as an important platform chemical with applications such as a solvent, precursor  
85 for paints, and polymers formulation, among others (Birgen et al., 2019). It can be  
86 produced by fermentation from pentose and hexose sugars through the so-called ABE  
87 (acetone-butanol-ethanol) or IBE (isopropanol-butanol-ethanol) fermentation, in which  
88 butanol and coproducts (acetone, isopropanol and ethanol) are simultaneously produced.  
89 The most commonly wild type microorganisms employed for biobutanol fermentation  
90 include *Clostridium beijerinckii* strains (Veza et al., 2021). However, these strains may  
91 produce low butanol concentration and yields because of butanol inhibition and  
92 coproducts formation (Cebreiros et al., 2021a; Cho et al., 2020).

93 In this context, the aim of this study was to evaluate the feasibility of integrating the  
94 extraction of cellulose nanofibers (CNF) through enzyme-mediated pretreatment with the  
95 production of biobutanol by sugar fermentation from bleached eucalyptus Kraft pulp  
96 (BEKP). BEKP was selected as cellulosic feedstock in this work due to the high  
97 production and availability from the pulp and paper industry. For the CNF extraction, ball

98 milling was employed as mechanical treatment because of its ease of use, applicability to  
99 different types of materials, and relatively inexpensive equipment. Considering that CNF  
100 applications strongly depend on their properties, the effects of enzymatic pretreatment  
101 parameters on the main properties of the extracted CNF were investigated. Also,  
102 cellulose-derived and hemicellulose-derived sugars released during enzymatic  
103 pretreatment of BEKP using cellulase and xylanase enzymes was investigated. These  
104 fermentable sugars streams obtained as coproduct were further valorized by fermentation  
105 for biobutanol production using *Clostridium* strains. This study highlights the promising  
106 opportunity to produce value-added chemicals from the sugars generated during CNF  
107 extraction by enzyme-mediated pretreatment from cellulosic materials. To the best of the  
108 authors' knowledge, there are no previous studies on the implementation of enzymatic  
109 and microbial bioconversion of eucalyptus pulp to coproduce CNF and biobutanol.

## 110 **2. Materials and Methods**

### 111 *2.1. Raw material, enzymes, and strains*

112 Bleached eucalyptus Kraft pulp (BEKP) was supplied by UPM (Fray Bentos,  
113 Uruguay). Major chemical components determined following TAPPI standard method  
114 T222 were cellulose ( $77.3 \pm 0.6\%$ ) and xylan ( $17.6 \pm 0.1\%$ ). The enzymatic cocktail used  
115 was prepared by employing equal amounts on mass basis of cellulase Cellic CTec3  
116 (Novozymes, Davis Carolina) and xylanase Cellic HTec (Novozymes, Davis Carolina)  
117 according to Cebreiros et al. (2021b). The total protein content and filter paper activity of  
118 the enzymatic cocktail was 166 mg/mL and 183 FPU/mL, respectively. *Clostridium*  
119 *beijerinckii* DSM 6422 and *Clostridium beijerinckii* DSM 6423 were purchased from  
120 DSMZ (Leibniz, Germany) and manipulated as previously described (Cebreiros et al.,  
121 2021a).

## 2.2. Enzymatic pretreatment of BEKP

Suspensions containing 4%, 10% and 16% (w/w) of BEKP were enzyme-treated at 50°C and pH 4.8 (acetate buffer 50 mM) with an enzyme loading of 5 FPU/g<sub>solid</sub> and orbital agitation (150 rpm) during 4 h and 8 h (see Table 1). After enzymatic pretreatment, the enzyme was deactivated by heating at 100°C for 10 min. The liquid (enzymatic hydrolysate) and solid (cellulose fibers) fractions were then separated by centrifugation (5,000 rpm for 15 min). The cellulose fibers were re-suspended in distilled water and washed three times by centrifugation before storage at 4°C for further processing. Solid yield (SY, wt%) was calculated based on the amount of oven-dried mass of substrate (BEKP) and cellulose fibers recovered after enzymatic pretreatment.

**Table 1.** Enzymatic pretreatment conditions evaluated using an enzyme loading of 5 FPU/g<sub>solid</sub>.

Sample	Enzymatic hydrolysis conditions	
	Solid concentration (wt%)	Hydrolysis time (h)
HE4-4h	4.0	4
HE4-8h	4.0	8
HE10-4h	10.0	4
HE10-8h	10.0	8
HE16-4h	16.0	4
HE16-8h	16.0	8

## 2.3. Mechanical treatment for CNF extraction

Ball milling was performed at room temperature in a laboratory ball mill (MM 400, Retsch) for CNF extraction. For this, 10 mL of 1% (w/w) enzymatically pretreated cellulose fibers suspension was loaded onto a 25 mL-zirconia grinding jar containing

139 zirconia balls of 0.5 mm and 3 mm in diameter with a ball to solid ratio (BSR) of  
140 80 g<sub>balls</sub>/g<sub>dry solid</sub>. Balls of 0.5 mm and 3 mm in diameter were used at a mass ratio of 7:3.  
141 Ball milling was performed at 20 Hz for 1.5 h. After the milling process, balls separation  
142 was performed by mesh filtration. Since the slurry was gel-like and sticky, distilled water  
143 was added to the slurry until a consistency of 0.2% (w/w) to facilitate balls separation.

#### 144 *2.4. Biobutanol fermentation*

145 Fermentations assays were performed in 100-mL bottles containing 40 mL of  
146 enzymatic hydrolysate under anaerobic conditions. The fermentation media were  
147 supplemented with yeast extract (1 g/L) and P2 stock solutions (1% v/v) according to  
148 previous work (Cebreiros et al., 2021a). The bottles were inoculated with 10% (v/v) active  
149 cells from the fermentation inoculum, which was prepared as previously described  
150 (Cebreiros et al., 2021a). The initial pH of the media was adjusted to  $6.0 \pm 0.1$ . Control  
151 fermentations were also performed using semi-synthetic media containing glucose and  
152 xylose at the same concentrations to those found in the enzymatic hydrolysates.  
153 Fermentation assays were carried out at 35°C with orbital agitation (150 rpm) for 72 h in  
154 duplicate. Dry cell mass (X) was determined by optical density (OD) at 600 nm  
155 considering that one unit of OD<sub>600 nm</sub> corresponded to  $0.33 \pm 0.02$  g/L of X and  $0.38 \pm$   
156  $0.03$  g/L of X for *C. beijerinckii* DSM 6422 and *C. beijerinckii* DSM 6423, respectively.

#### 157 *2.5. Analysis of enzymatic hydrolysates and fermentation samples*

158 Chemical analysis of enzymatic hydrolysates from enzymatic pretreatment was  
159 performed following NREL protocol (NREL/TP-510-42623) for liquid fractions.  
160 Monomeric sugars were determined by HPLC (Shimadzu, Kyoto, Japan) equipped with  
161 a refractive index detector (RID) and an Aminex HPX-87H column (Bio-Rad  
162 Laboratories Ltd., USA), which operated at 45°C and 0.6 mL/min using 5 mM H<sub>2</sub>SO<sub>4</sub> as

163 mobile phase. Butanol, acetone, isopropanol, ethanol, acetic acid, and butyric acid were  
164 quantified by GC (Shimadzu GC-2010) using a flame ionization detector and a fused  
165 silica column (RTX®-Wax, 0.5 µm film thickness, 30 m long, and 0.32 mm ID, Restek).

## 166 *2.6. Characterization of cellulose fibers from enzymatic pretreatment*

167 Water retention value (WRV) was used to determine the fiber fibrillation extent and  
168 swelling in cellulose fibers after enzymatic pretreatment using the TAPPI UM256 as  
169 described elsewhere (Cebreiros et al., 2021b). Adsorption experiments using Direct Blue  
170 dye (DB) were performed as previously described (Cebreiros et al., 2021b) to estimate  
171 the total cellulose surface area in solid fractions. The degree of polymerization (DP) of  
172 BEKP and cellulose fibers after enzymatic pretreatment was determined according to  
173 TAPPI standard method T230 by viscosity of fiber solution in 0.5 M  
174 cupriethylenediamine (CED) solution as previously described (Cebreiros et al., 2021b).  
175 Conductometric titration was performed to determine the BEKP and cellulose fibers  
176 acidic groups content according to Katz and Beatson (1984). Briefly, 50 mL of 0.001 M  
177 NaCl solution was added to 0.25 g (oven-dry basis) of sample and the pH was adjusted  
178 to  $3.0 \pm 0.1$  using 0.1 M HCl. Then, titration of the suspension was performed using 0.05  
179 M NaOH, and the conductivity values were recorded. X-ray diffraction (XRD) analysis  
180 was performed in a Philips PW1700 diffractometer with  $\text{CuK}\alpha$  radiation operated at  
181 30 kV and 40 mA, with a scanning rate of  $0.02^\circ/\text{s}$  and  $2\theta$  range of  $0^\circ$  to  $60^\circ$ , to determine  
182 the cellulose fibers crystallinity index (CrI) according to Segal et al. (1959).

## 183 *2.7. Characterization of CNF suspensions*

184 Zeta Potencial (ZP) and Dynamic Light Scattering (DLS) analysis of CNF colloids  
185 were performed using a Malvern Zetasizer Nano-ZS (Malvern Instruments Inc.),  
186 previously diluted to 0.01 wt%. The light transmittance of CNF suspensions (0.1 wt%)



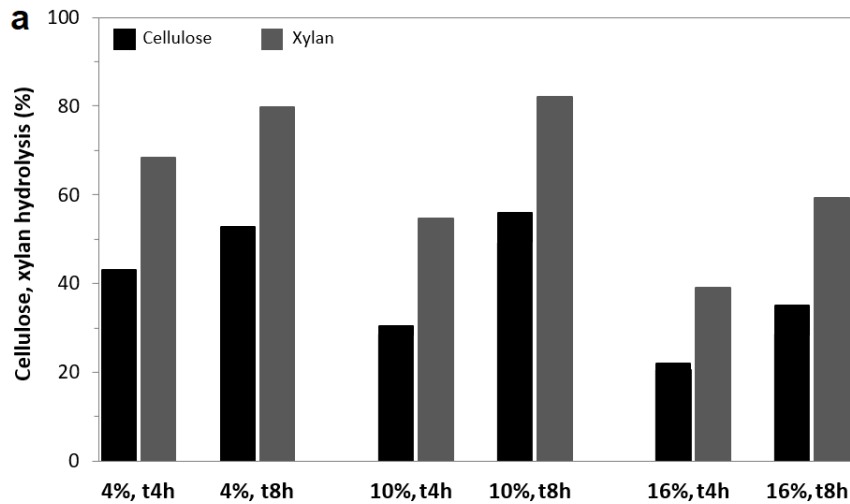
187 was measured in the range of 300-800 nm using Genesys 10S UV-Vis spectrophotometer.  
188 CrI of CNF samples was determined by XRD analysis, as described above. TEM analysis  
189 was performed using a Jeol JEM 1010 transmission electron microscope operated at  
190 100 kV. Briefly, 10  $\mu$ L of 0.01 wt% CNF suspension was deposited onto carbon-coated  
191 copper grid with formvar/carbon film and, after ambient drying, 10  $\mu$ L of 2% uranyl  
192 acetate solution was deposited on the grid to negatively stain the sample. ImageJ software  
193 was used to estimate CNF widths from over 100 individual CNF. Sedimentation  
194 experiments of CNF suspensions were performed to calculate the relative aspect ratios of  
195 the CNF samples from gel point concentrations. Briefly, a series of diluted CNF  
196 suspensions of 0.15, 0.1, 0.05, 0.025 and 0.01% (1.5, 1.0, 0.5, 0.25 and 0.1 kg/m<sup>3</sup>) were  
197 prepared and allowed to settle for 48 h. Photographs were taken before and after  
198 sedimentation to determine the sedimentation ratio ( $h_s/h_o$ ) as the ratio of sediment height  
199 ( $h_s$ ) to initial suspension height ( $h_o$ ) using ImageJ software. An estimated gel point  
200 concentration was calculated by plotting fiber concentration against sedimentation ratio  
201 and determining the derivative of the trendline equation, following the methodology  
202 reported by other authors (Raj et al., 2016; Varanasi et al., 2013). The Crowding Number  
203 theory described by Martinez et al. (2001) was used to calculate the aspect ratio, which  
204 determines the gel point and aspect ratio of cellulose pulp fibers assuming a density of  
205 fibers around 1500 kg/m<sup>3</sup> (Varanasi et al., 2013).

### 206 **3. Results and discussion**

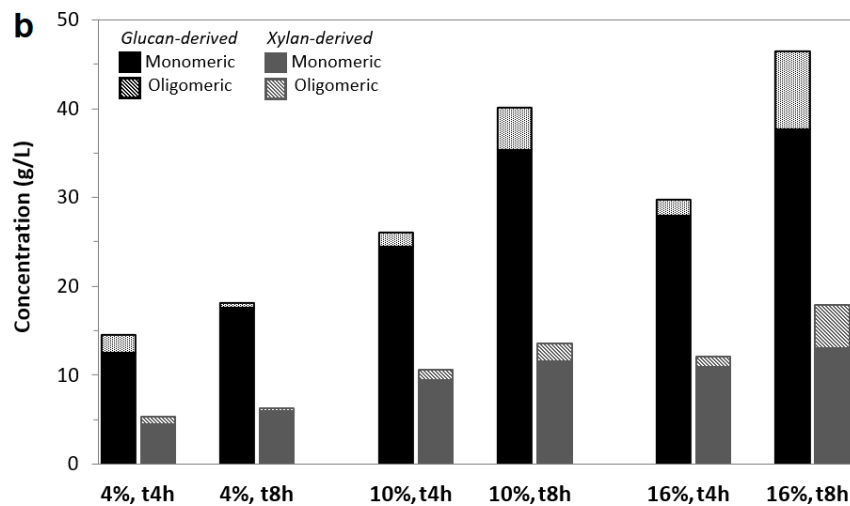
#### 207 *3.1. Enzymatic hydrolysis and sugar yields*

208 Fig. 1 shows the results obtained after enzymatic pretreatment for the conditions  
209 evaluated (Table 1). It was clearly observed that the cellulose and xylan conversion yields  
210 decrease with increasing solid loadings, which was also reported in several previous

211 studies (Cebreiros et al., 2021b; da Silva et al., 2020; Pereira and Arantes, 2020).  
212 However, this may be alleviated with an increased reaction time. For instance, higher  
213 cellulose and xylan conversions of the cellulose-rich BEKP were reached at 4% solid  
214 loading when hydrolysis was performed for 4 h (43% and 68%, respectively) and 8 h  
215 (53% and 80%, respectively). Even though lower cellulose and xylose conversions were  
216 achieved at 10% solid loadings after 4 h of hydrolysis (30% and 55%, respectively), these  
217 results were further increased when hydrolysis was performed for 8 h (56% and 82%,  
218 respectively). Thus, comparable results were achieved both at 4% and 10% solid loadings  
219 when performing enzymatic hydrolysis for 8 h. On the other hand, enzymatic hydrolysis  
220 at higher solid loading (16% w/w) for 8 h resulted in cellulose and xylan conversions of  
221 35% and 59%, respectively, which represents a decrease of 26% to 37% on conversion  
222 rates.



223



224

225 **Fig 1.** Cellulose (as glucan) and xylan hydrolysis efficiency (a), and glucan-derived and  
 226 xylan-derived sugars concentrations in monomeric and oligomeric forms (b) obtained  
 227 after enzymatic pretreatment of BEKP under the different conditions evaluated.

228 Despite cellulose and xylan conversion decrease with higher solid loadings, higher  
 229 glucan-derived and xylan-derived sugars concentrations were achieved under these  
 230 conditions. For instance, glucose (15-20 g/L) and xylose concentrations (5 g/L) reached  
 231 at 4% solid loading resulted two-fold lower than glucose (30-45 g/L) and xylose (10-  
 232 15 g/L) concentrations achieved at 16% solid loading. However, by increasing the solid  
 233 loading from 10% to 16% only an increase of 20% was observed on the glucose and

234 xylose concentrations, while an increase of 120% was observed by increasing the solid  
235 loading from 4% to 10% (Fig. 1). This may be explained by the significant reduction on  
236 the carbohydrate conversion rates by further increasing the solid concentration of the  
237 slurry.

238 High monosaccharides (e.g. glucose, xylose) concentrations are desirable for a  
239 biochemical platform process converting cellulosic feedstocks into high value-added  
240 chemicals. Because of this, enzymatic hydrolysates samples containing a total sugar  
241 (glucose and xylose) concentration higher than 20 g/L were subsequently utilized to  
242 produce biobutanol by *Clostridium* fermentation. It was also observed that the amount of  
243 sugars in oligomeric form which were released during enzymatic pretreatment was  
244 relatively low (<30%) in all cases (Fig. 1). This could be due to the efficient performance  
245 of the enzyme preparations used in this study that were specifically developed for biomass  
246 deconstruction into monomeric sugars.

### 247 3.2. Characterization of cellulose fibers after enzymatic pretreatment

248 The solid residues (cellulose fibers) recovered after enzymatic pretreatment were  
249 characterized to evaluate changes and/or modifications occurring during pretreatment to  
250 the cellulose fibers in the original BEKP (Table 2). The cellulosic substrates were  
251 characterized for cellulose accessibility using WRV and DB adsorption assays. At fiber  
252 level, the overall porosity was assessed by the WRV, which provides an estimation of the  
253 amount of water that can be retained by the inner pores of a cellulosic substrate and, thus,  
254 a relative indication of the fiber swelling capability. As fibers swell, they become more  
255 easily defibrillated, and a greater surface area is being exposed (Chen et al., 2013). The  
256 accessible surface area of cellulose was estimated by DB adsorption assays. Both the  
257 WRV and DB adsorption values of the different cellulose fibers recovered increased,

258 compared to the control BEKP (2.7 and 87.0, respectively), indicating an enhanced  
259 overall cellulose accessibility after the enzymatic pretreatment stage. During enzymatic  
260 hydrolysis, microfibrils highly ordered regions are supposed to be disrupted and  
261 delaminated, causing a porosity increase as enzyme action opened up their structure  
262 (Meng et al., 2016). Samples HE4-4h (4.1), HE4-8h (4.3) and HE10-8h (4.0) had the  
263 highest WRV, which may indicate a greater exposure of cellulose chains that improved  
264 the water retention capability. Also, the highest DB adsorption values were reached for  
265 the samples HE4-4h (130) and HE4-8h (123), which remained almost unchanged, and  
266 sample HE10-8h (122). These results correspond quite well with the higher sugar  
267 conversion rates achieved under these conditions (4% and 10% solid loadings, 8 h  
268 hydrolysis, Fig. 1). The enhanced DB adsorption and water retention could be due to a  
269 reduction in the fiber aggregation degree caused by the enzymes, which increases the  
270 external surface area and, thus, causes a greater exposure of cellulose chains. Also, since  
271 amorphous regions occur between microfibrils, cellulase pretreatment may lead to a  
272 swelling of the cellulose fibers which may cause an improved accessibility towards  
273 solvents and/or reagents, thus improving overall cellulose accessibility (Engström et al.,  
274 2006; Cebreiros et al., 2021b). It was also reported by other authors that enzyme-mediated  
275 pretreatment increases external surface area through external fibrillation, which increases  
276 fiber water retention (Gu et al., 2018). According to these results, a higher fiber  
277 fragmentation was expected for samples HE4-4h, HE4-8h, and HE10-8h, which could be  
278 related to the greater xylan hydrolysis under these conditions (Fig. 1), since the lower  
279 xylan content on the fibers surface could have facilitated the accessibility of the enzyme  
280 to cellulose (Cebreiros et al., 2021b).

281 **Table 2.** Solid, glucose, and xylose yields, and characterization of cellulose fibers after  
 282 enzymatic pretreatment.

Sample	SY (%)	Glucose yield (g/100 g <sub>BEKP</sub> )	Xylose yield (g/100 g <sub>BEKP</sub> )	WRV	DB	AG	DP	CrI
					adsorption (g/g <sub>dry solid</sub> )	(mmol/ kg <sub>dry solid</sub> )		
BEKP	na	na	na	2.7	87.0	44.2	1196	75.7
HE4-4h	na	31.9	11.6	4.1	130	65.4	332	nd
HE4-8h	52.2	43.9	15.3	4.3	123	77.3	288	79.2
HE10-4h	42.8	24.5	9.7	3.5	108	58.8	345	nd
HE10-8h	65.0	42.3	14.0	4.0	122	75.2	258	78.2
HE16-4h	39.2	17.7	7.1	3.5	88.6	48.7	524	nd
HE16-8h	74.9	24.5	8.6	3.6	98.2	66.2	458	77.4

SY: solid yield; WRV: water retention value; DB: direct blue; AG: acidic groups; DP: degree of polymerization; CrI: crystallinity index; na: not applicable; nd: not determined.

283

284 Besides, even though enzyme-mediated pretreatment contributes to the fibers  
 285 fibrillation, it was previously reported that the enhanced fiber swelling can be related to  
 286 an increased acidic groups content in the pulp (Chen et al., 2013). These acidic groups,  
 287 which are associated with the carboxyl groups of the cellulosic fibers, causes electrostatic  
 288 repulsion between the carboxylate anions and, thus, fiber swelling (Moser et al., 2015).  
 289 As shown in Table 2, the acidic groups content and WRV values present the same  
 290 changing trend, which was also reported by other authors (Chen et al., 2021; Chen et al.,  
 291 2013). One possible explanation to the increased WRV value could be the introduction  
 292 of acidic groups by enzymatic pretreatment, since the swelling of the fibers can be  
 293 enhanced with increasing fiber charge.

294 DP is also an important parameter which evaluates the cellulose chains length, as it  
 295 is defined as the number of repetition times of the monomeric unit that constitutes the  
 296 polymer chain (Meng et al., 2016). Table 2 presents the variations on cellulose DP for the

297 different cellulosic residues. Enzymatic hydrolysis resulted in a significant reduction  
298 (>50%) in DP for all the pretreatment conditions evaluated. This drastic decrease in DP  
299 was expected since it was already reported that enzymatic hydrolysis using cellulases  
300 causes shortening in the cellulose chains length and, thus, a decrease on the cellulose  
301 molecular weight distribution. For instance, Ramos et al. (1993) reported DP values of  
302 268-220 after enzymatic hydrolysis of peroxide pretreated eucalyptus, achieving a 40%  
303 DP reduction after 10 h. Moreover, it was possible to determine the CrI of cellulose fibers  
304 from XRD analysis. During enzymatic hydrolysis, amorphous or disordered segments in  
305 cellulose is generally believed to be hydrolyzed at a faster rate compared to crystalline or  
306 ordered segments in cellulose. Because of this, it was expected an increase on CrI after  
307 enzymatic pretreatment. A slight increase (2-5%) was observed compared to the control  
308 BEKP (CrI  $75.7\pm 0.5\%$ ) after 8 h of enzymatic hydrolysis (Table 2). Considering that  
309 cellulose hydrolysis extent was apparent for all the conditions evaluated according to  
310 conversion rates (Fig. 1), this result may suggest that amorphous and crystalline regions  
311 in the fibers were partially hydrolyzed at similar rates, without causing significant  
312 changes in the CrI.

### 313 *3.3. Extraction and characterization of CNF suspensions*

314 Table 3 presents the main characterization results for the CNF suspensions obtained  
315 after ball milling from each experimental condition employed during enzymatic  
316 hydrolysis. One of the main challenges in the characterization of fibrillated materials is  
317 that the fibrils size and aspect ratio are hard to determine accurately using traditional  
318 microscopy, since the fibrils form a complex and entangled network. Because of this, the  
319 DLS method was used for a more integral evaluation of the size distribution of CNF  
320 suspensions, while a relatively simple gel point determination technique was proposed to  
321 estimate the fibrils aspect ratio. Even though several studies used this method to

322 determine the approximate relative aspect ratios of micro/nanofibrillated cellulose fibers  
 323 (Varanasi et al., 2013; Gourlay et al., 2018; Sanchez-Salvador et al., 2021), the calculated  
 324 aspect ratio should not be considered as the true average aspect ratio of a sample, but as  
 325 relative values to compare between samples that were produced employing different  
 326 pretreatment conditions. Also, DLS method should be used in combination with other  
 327 methods when comparing different samples.

328 **Table 3.** Characterization of CNF suspensions extracted from enzyme-treated BEKP by  
 329 ball milling treatment.

CNF sample	Average hydrodynamic size (nm)	PDI <sup>a</sup>	Zeta Potential (mV)	Aspect ratio	Transmittance at 800 nm (%)
BM(HE4-4h)	1116 ± 87	0.76 ± 0.11	-10.5 ± 1.6	222	39.7
BM(HE4-8h)	535 ± 19	0.35 ± 0.05	-15.5 ± 0.6	220	50.0
BM(HE10-4h)	1321 ± 301	0.78 ± 0.23	-14.8 ± 0.3	189	39.2
BM(HE10-8h)	942 ± 162	0.43 ± 0.13	-12.3 ± 0.4	227	49.1
BM(HE16-8h)	2435 ± 209	0.92 ± 0.09	-9.5 ± 0.2	144	33.8

PDI: polydispersity index

<sup>a</sup>Values < 0.7 are considered homogeneous

330

331 The determination of the average hydrodynamic size and the PDI gives an indication  
 332 of the presence of agglomerates and/or large particles and the degree of size homogeneity  
 333 in the sample, respectively. According to DLS analysis of the ball milled samples, average  
 334 hydrodynamic sizes resulted within the range of 500 nm to 2.4 μm for all the conditions  
 335 evaluated. Considering that average hydrodynamic sizes higher than 25 μm (data not  
 336 shown) were determined for the enzyme-treated materials before mechanical treatment,  
 337 DLS results demonstrated that ball milling treatment contributes to fiber size reduction  
 338 and CNF extraction. This considerable fiber fragmentation results from the high shear



339 forces produced from the balls collisions and the friction between the balls and the  
340 container wall. Differences on the average hydrodynamic sizes of the CNF samples  
341 indicates that both solid loading (4%, 10% and 16%) and reaction time (4 h and 8 h)  
342 conditions during enzymatic hydrolysis influenced subsequent fiber fragmentation and/or  
343 fibrillation by ball milling through fiber structure alterations. It can be observed that the  
344 lowest fiber fragmentation and highest PDI value was obtained for sample BM(HE16-  
345 8h). This indicates the presence of larger particles which could be due to incomplete fiber  
346 fragmentation, and, thus, low cellulose nanofibrillation degree. On the other hand, it can  
347 be observed that fiber surface changes that occurred during enzymatic hydrolysis  
348 performed at 4% and 10% solid loadings, which corresponds to samples BM(HE4-4h),  
349 BM(HE4-8h), BM(HE10-4h), and BM(HE10-8h), enhanced fiber size reduction by  
350 subsequent ball milling. Extending the enzymatic hydrolysis time from 4 h to 8 h  
351 provided higher fiber fragmentation (average sizes in the nanoscale range -500 to 900 nm-  
352 ) which favored the extraction of CNF, since decreases of 30% to 50% on the average  
353 size was observed. The PDI also decreased (0.35-0.43), indicating a greater CNF size  
354 homogeneity.

355       The determination of the CNF aspect ratio gives an indication of the separation and  
356 breakage extent of cellulosic fibers by estimating the ratio of the longest side to the  
357 shortest side. Even though the breakage of fibers is more apparent during ball milling  
358 treatment, enzymes act mostly in the amorphous regions of cellulose during enzymatic  
359 pretreatment, which cuts the cellulose chains and reduces their length. Because of this,  
360 even though the applied ball milling conditions were the same for all the samples, the  
361 aspect ratio values resulted different (Table 3). The aspect ratio values presented a more  
362 pronounced increase for samples BM(HE4-4h), BM(HE4-8h), and BM(HE10-8h), which  
363 reached values higher than 220, probably because of a decrease in the microfiber diameter

364 due to peeling. Also, this could be associated to a better fibrillation of these cellulose  
365 fibers through ball milling treatment, which has been previously explained by the easier  
366 fragmentation due to fiber alterations during enzymatic hydrolysis. No significant  
367 difference was observed between samples BM(HE4-4h) and BM(HE4-8h) in terms of  
368 aspect ratio value, even though DLS results showed an increased fiber fragmentation for  
369 sample BM(HE4-8h) given the lower average hydrodynamic size (535 nm). This could  
370 be due to the fact that during ball milling, not only are microfibers separated but also  
371 shortened, which means that the length and the diameter of the extracted CNF decreased  
372 in a similar proportion resulting in a similar aspect ratio. On the other hand, it can be  
373 observed by comparing samples BM(HE10-4h) and BM(HE10-8h) that the aspect ratio  
374 increased with the enzymatic hydrolysis time probably because of a predominant  
375 fibrillation effect, reaching a value of 227. Thus, these results may indicate that cellulosic  
376 samples HE4-4h, HE4-8h and HE10-8h resulted more processable during ball milling  
377 treatment, since the aspect ratio values of samples BM(HE4-4h), BM(HE4-8h) and  
378 BM(HE10-8h) resulted higher compared to samples BM(HE10-4h) and BM(HE16-8h).  
379 The lower aspect ratio values of samples BM(HE10-4h) and BM(HE16-8h) could be  
380 attributed to the higher hemicellulose content in these samples, since it was reported by  
381 other authors that hemicellulose could apply a strong holding of fiber bundles, preventing  
382 them from becoming smaller after ball milling (Sanchez-Salvador et al., 2021; Cebreiros  
383 et al., 2021b). Thus, it may be possible that a greater removal of hemicellulose during  
384 enzymatic hydrolysis facilitated the peeling of the cellulosic fibers during ball milling.

385 ZP determinations were performed based on the tracking of the moving rate of  
386 positively or negatively charged particles across an electric field. The ZP of the CNF  
387 suspensions varied from -15 mV to -10 mV (Table 3), which indicates that they form an  
388 unstable colloidal suspension. Usually, ZP values below -15 mV represents the beginning

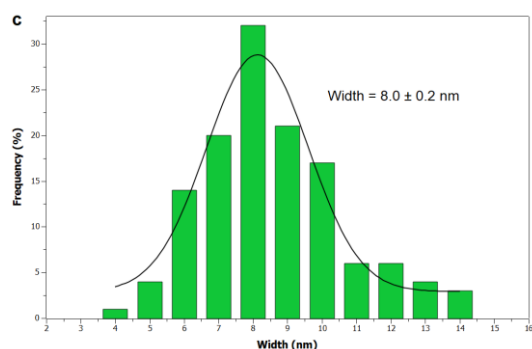
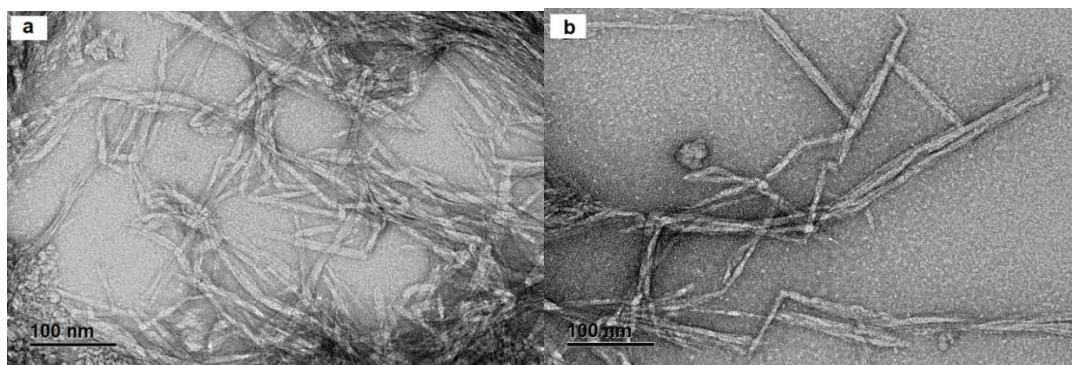
389 of agglomeration, and values above -30 mV are considered desirable for good colloidal  
390 stability (Zhou et al., 2012). However, the ZP values registered were expected, since  
391 unlike other methods such as acid hydrolysis, enzymatic pretreatment does not  
392 incorporate negatively charged groups on the cellulose surface. The produced CNF has  
393 only a weak charge of around -15 mV, which came from its inherent hydroxyl groups.  
394 The absence of strong charges on the cellulose surface does not prevent aggregation of  
395 the CNF, which may induce agglomeration of the CNM, resulting in a wide size  
396 dispersion (Table 3). These findings result in agreement with previous studies using  
397 enzymatic pretreatment for the extraction of CNM (nanocrystals or nanofibers) (Squinca  
398 et al., 2020; Zhou et al., 2012). However, it should be mentioned that materials with lower  
399 negative ZP values were reported more acceptable for biomedical and related applications  
400 (Squinca et al., 2020).

401 Spectroscopic methods have been employed as an indirect method to evaluate the  
402 fibrillation extent by measuring the transmittance or turbidity of the suspension (Foster et  
403 al., 2018). The increase of transmittance at a specific wavelength indicates the presence  
404 of more homogeneous and/or smaller materials in the nanoscale range. Moreover, it was  
405 previously reported a good correlation between transmittance and CNF gravimetric yield  
406 determined by centrifugation method. Thus, it is possible to correlate the fibrillation  
407 extent directly to the transmittance (Moser et al., 2015). However, it is difficult to use this  
408 rapid measurement alone to determine fiber disintegration since nanomaterials are  
409 released simultaneously with detectable particles when mechanical disintegration is  
410 performed (Moser et al., 2015). Transmittance values at 800 nm are presented in Table 3  
411 for CNF samples obtained. These values ranged from 34% to 50%, achieving the highest  
412 value for samples BM(HE4-8h) and BM(HE10-8h). Transmittance results correspond  
413 quite well with the DLS analysis, which indicated that a higher fiber fragmentation was

414 achieved for these samples considering the lower average hydrodynamic sizes (500-  
415 1000 nm) and PDI values (0.3-0.4) compared to the other samples. Also, results showed  
416 that extending the enzymatic hydrolysis time from 4 h to 8 h allowed to achieve a better  
417 fibrillation extent during ball milling process.

418 The crystallinity of CNF samples BM(HE4-8h) and BM(HE10-8h) was not  
419 significantly influenced by ball milling treatment (CrI 81-83%) when comparing to the  
420 cellulosic samples before ball milling (76-79%). This shows that the ball milling did not  
421 cause significant impact on the crystalline structure of CNF. Moreover, the morphology  
422 of the CNF extracted from enzyme-treated BEKP observed in TEM micrographs showed  
423 entangled networks with fibril diameters in the nanoscale range (Fig. 2). The CNF sample  
424 extracted by ball milling after 8 h of enzymatic hydrolysis (sample BM(HE10-8h))  
425 presented an average width of  $8.0 \pm 0.2$  nm (Fig. 2c). TEM analysis demonstrates that the  
426 pretreatment conditions used in this study resulted effective to provide a significant  
427 diameter reduction of the untreated BEKP, which was about 25.1  $\mu$ m (Cebreiros et al.,  
428 2021b).

429



**Fig 2.** TEM images (a,b), and width distribution (c) of CNF extracted from enzyme-treated BEKP (sample HE10-8h). Average width and standard deviation are presented in the figure.

### 3.4. Biobutanol fermentation of enzymatic hydrolysates

Glucose and xylose consumption, biomass growth, and butanol and solvents production profiles during biobutanol fermentation in all experiments are shown in Fig. 3. For ABE fermentation, it can be observed complete consumption of both glucose and xylose within 24-48 h of incubation. It should be noted that lower initial sugars concentrations (20-35 g/L) required less than 24 h to be completely consumed, while higher sugars concentrations (50 g/L) required up to 48 h. Higher amounts of butanol and solvents (acetone and ethanol) were produced when the concentration of sugars in the medium was higher. The highest butanol concentration of 8.9 g/L (14 g/L total solvents) was achieved after 48 h in the enzymatic hydrolysates (HE10-2 and HE16-2) containing initially 41-43 g/L of sugars. Acetone (5.3 g/L) and ethanol (0.35 g/L) were also

446 coproduced during fermentation but in lower concentrations. Regarding biomass growth,  
447 biomass concentrations of 1.4-2.4 g/L were achieved after 24 h for all the experiments,  
448 with the highest growth (2.3 g/L) observed in samples with high initial sugar  
449 concentration. Table 4 presents the fermentation parameters determined after 24 h and  
450 48 h when corresponded, for each experiment. One of the main advantages of *Clostridium*  
451 strains are their ability to ferment different types of substrates derived from  
452 lignocellulosic materials including hexoses and pentoses such as glucose, xylose,  
453 cellobiose, etc. (Cebreiros et al., 2021a). In this study, high consumptions rate of both  
454 glucose (up to 100%) and xylose (up to 96%) were achieved for all the conditions  
455 evaluated using enzymatic hydrolysates. Moreover, these consumptions rates resulted  
456 higher compared to those achieved in the experiments using semi-synthetic media (87-  
457 100% for glucose, 31-78% for xylose). It should be noted that a higher concentration of  
458 initial acetic acid was observed in the enzymatic hydrolysate samples (up to 4 g/L) than  
459 in the semi-synthetic media (up to 2.5 g/L), which may be possibly due to the acetate  
460 buffer used during enzymatic pretreatment. According to previous studies (Cebreiros et  
461 al., 2021a), the higher acetate concentration in the enzymatic hydrolysates could have  
462 enhanced butanol fermentation performance and, thus, sugars consumption, improving  
463 overall butanol yields (0.20-0.21 g/g).

464

465 **Table 4.** Butanol fermentations of hydrolysates obtained from enzymatic pretreatment  
 466 and semi-synthetic media by *C. beijerinckii* DSM 6422.

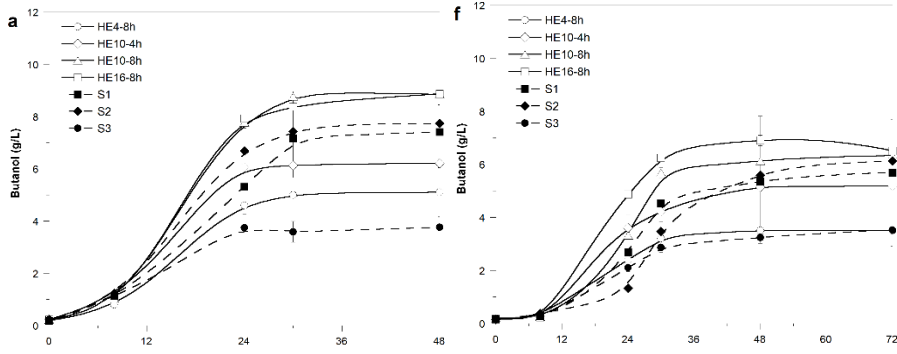
Parameter	Enzymatic hydrolysate				Semi-synthetic medium		
	HE4-8h	HE10-4h	HE10-8h	HE16-8h	S1	S2	S3
t <sub>F</sub> (h)	24	24	48	48	48	24	24
G <sub>i</sub> (g/L)	16.7	19.7	30.4	31.3	36.8	26.1	15.2
X <sub>i</sub> (g/L)	5.5	8.4	11.3	11.2	13.4	9.6	5.3
C <sub>G</sub> (%)	100	100	100	100	92	87	100
C <sub>X</sub> (%)	93	93	96	90	31	46	78
B (g/L)	4.6	6.1	8.9	8.9	7.4	6.7	3.7
AE (g/L)	3.0	3.6	5.6	5.3	5.2	2.6	2.5
ABE (g/L)	7.6	9.7	14.5	14.2	12.6	9.3	6.2
HAc (g/L)	1.6	1.1	0.7	0.7	0.7	0.5	0.8
Hbu (g/L)	1.2	1.0	0.9	0.5	0.3	0.4	1.0
ΔX (g/L)	1.6	1.9	2.2	2.3	2.3	1.8	1.3
Y <sub>B/S</sub> (g/g)	0.20	0.21	0.21	0.21	0.19	0.19	0.18
Y <sub>ABE/S</sub> (g/g)	0.32	0.33	0.34	0.33	0.32	0.28	0.29
Q <sub>B</sub> (g/Lh)	0.19	0.25	0.18	0.18	0.15	0.22	0.16
Q <sub>ABE</sub> (g/Lh)	0.32	0.40	0.30	0.29	0.26	0.32	0.26
Butanol:ABE <sup>a</sup>	0.55	0.56	0.55	0.56	0.53	0.67	0.54

See Table 1 for more information on the conditions for obtaining the hydrolysates.

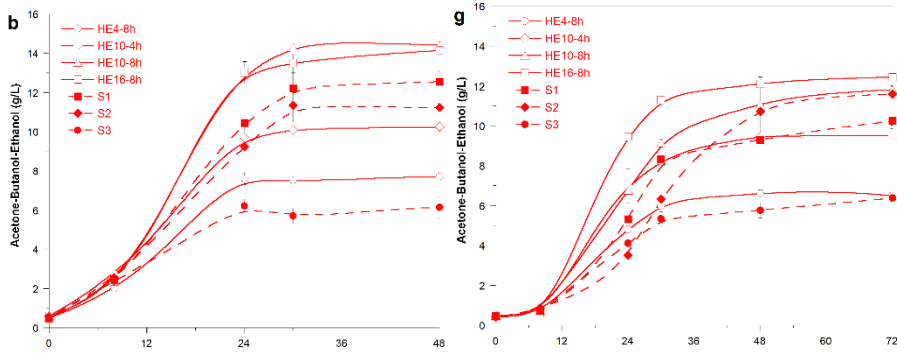
S1, S2, S3: semi-synthetic medium 1, 2 and 3, respectively; t<sub>F</sub>: fermentation time; G<sub>i</sub>: initial glucose concentration; X<sub>i</sub>: initial xylose concentration; C<sub>G</sub>: glucose consumption; C<sub>X</sub>: xylose consumption; B: butanol; AE: acetone and ethanol; HAc: acetic acid; Hbu: butyric acid; ΔX: overall biomass growth; Y<sub>B/G</sub>: overall sugars to butanol conversion yield; Y<sub>ABE/G</sub>: overall sugars to ABE conversion yield; Q<sub>B</sub>: overall butanol productivity; Q<sub>ABE</sub>: overall ABE productivity

<sup>a</sup>Produced butanol to ABE molar ratio

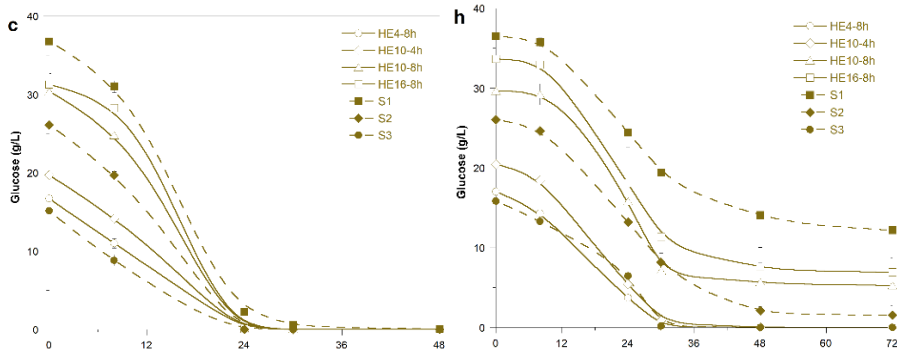
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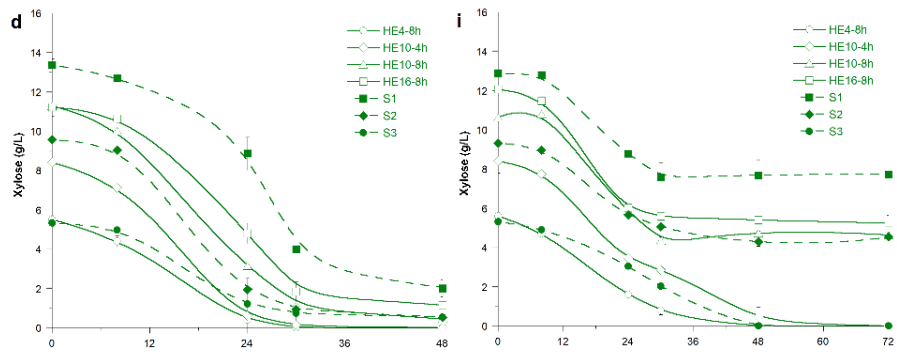
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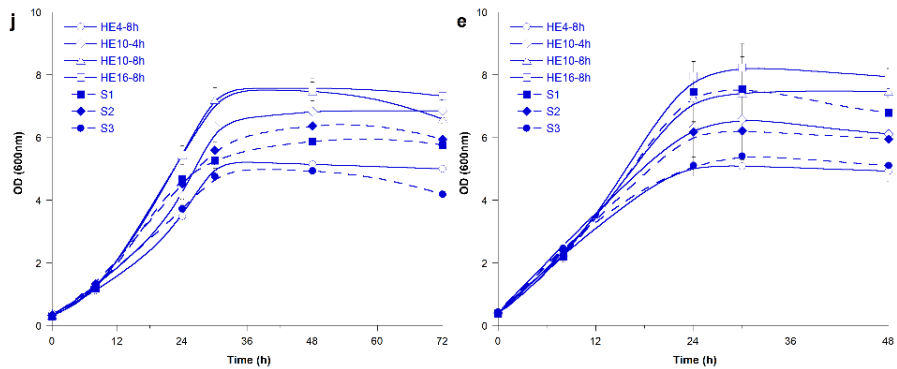
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471



472





473 **Fig 3.** Butanol (a, f), total ABE (b, g), glucose (c, h), xylose (d, i), and OD at 600 nm  
474 (e, j) profiles for ABE (a-e) and IBE (f-j) fermentation of enzymatic hydrolysates (HE4-  
475 8h, HE10-4h, HE10-8h, HE16-8h) and semi-synthetic media (S1, S2 and S3).

476 For IBE fermentation, the same trend was observed, the highest butanol  
477 concentration reached corresponded to the highest initial sugar concentration. The highest  
478 butanol concentration of 6.9 g/L (12 g/L total solvents) was achieved in this case after  
479 48 h in the enzymatic hydrolysate (HE16-2) containing initially 46 g/L of sugars.  
480 Isopropanol (up to 5.5 g/L) was produced in this case instead of acetone, along with low  
481 concentrations of ethanol (up to 0.2 g/L). Regarding biomass growth, biomass  
482 concentrations of 1.4-3.0 g/L were achieved after 24 h for all the experiments, with the  
483 highest growth (2.9 g/L) observed in samples with high initial sugar concentration.  
484 Table 5 presents the overall parameters determined after 48 h for each experiment. In this  
485 case, high consumptions were not achieved in all the experiments. Only in experiments  
486 with relatively low initial sugar concentration (20-28 g/L) was achieved high  
487 consumption rates of glucose (92-100%) and xylose (81-100%). When initial sugar  
488 concentration was further increased (35-50 g/L), residual amounts of both glucose and  
489 xylose sugars remained unconverted (up to 27% for glucose and 56% for xylose). It  
490 should be noted that the amount of unconverted sugars resulted lower in the enzymatic  
491 hydrolysate samples rather than in the semi-synthetic media, probably due to the  
492 enhanced butanol fermentation performance at higher initial acetate concentration  
493 (Cebreiros et al., 2021a). Incomplete sugar conversion to butanol and coproducts in IBE  
494 fermentation was previously reported (Cebreiros et al., 2021a; Cebreiros et al., 2019) and  
495 others (dos Santos Vieira et al., 2021) IBE fermentation studies. Even though incomplete  
496 sugar consumption was not achieved at higher sugars concentration, higher butanol yields  
497 (0.20-0.21 g/g) were effectively achieved in this case.

498 **Table 5.** Butanol fermentations of hydrolysates obtained from enzymatic pretreatment  
 499 and semi-synthetic media by *C. beijerinckii* DSM 6423.

Parameter	Enzymatic hydrolysate				Semi-synthetic medium		
	HE4-8h	HE10-4h	HE10-8h	HE16-8h	S1	S2	S3
$t_F$ (h)	48	48	48	48	48	48	48
$G_i$ (g/L)	17.0	20.4	29.7	33.7	36.5	26.0	15.8
$X_i$ (g/L)	5.6	8.4	10.6	12.1	12.9	9.3	5.3
$C_G$ (%)	100	100	81	77	73	92	100
$C_X$ (%)	81	94	56	55	44	54	100
B (g/L)	3.6	5.1	6.1	6.9	5.4	5.6	3.2
IE (g/L)	3.0	4.4	4.6	4.9	3.9	5.1	3.6
IBE (g/L)	6.6	9.5	10.7	11.8	9.3	10.7	5.8
HAc (g/L)	1.7	0.9	1.1	1.1	1.4	1.2	0.9
Hbu (g/L)	1.2	1.0	0.7	0.5	0.6	1.0	1.1
$\Delta X$ (g/L)	1.0	2.2	2.7	2.9	2.3	1.9	1.3
$Y_{B/S}$ (g/g)	0.15	0.18	0.20	0.21	0.16	0.19	0.15
$Y_{IBE/S}$ (g/g)	0.27	0.32	0.35	0.36	0.28	0.35	0.25
$Q_B$ (g/Lh)	0.07	0.11	0.13	0.14	0.11	0.12	0.07
$Q_{IBE}$ (g/Lh)	0.14	0.20	0.23	0.25	0.19	0.22	0.12
Butanol:IBE <sup>a</sup>	0.48	0.49	0.50	0.51	0.52	0.47	0.50

See Table 1 for more information on the conditions for obtaining the hydrolysates.

S1, S2, S3: semi-synthetic medium 1, 2 and 3, respectively;  $t_F$ : fermentation time;  $G_i$ : initial glucose concentration;  $X_i$ : initial xylose concentration;  $C_G$ : glucose consumption;  $C_X$ : xylose consumption; B: butanol; IE: isopropanol and ethanol; HAc: acetic acid; Hbu: butyric acid;  $\Delta X$ : overall biomass growth;  $Y_{B/G}$ : overall sugars to butanol conversion yield;  $Y_{IBE/G}$ : overall sugars to IBE conversion yield;  $Q_B$ : overall butanol productivity;  $Q_{IBE}$ : overall IBE productivity

<sup>a</sup>Produced butanol to IBE molar ratio

500

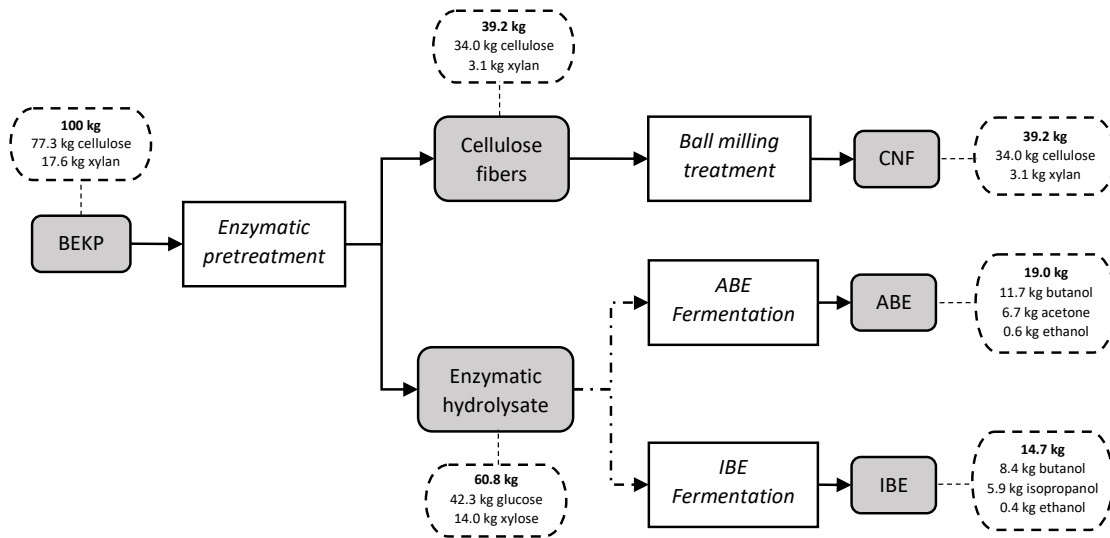
501 A proposed process which intends to produce biofuels and/or commodity chemicals  
 502 must result in products at high titers and yields to be cost-competitive. In this part of the  
 503 study, both ABE and IBE fermentations allowed to obtained high overall sugars to  
 504 butanol yield (0.21 g/g) at high initial sugar concentration (up to 50 g/L), even though

505 incomplete sugar conversion to product was obtained in IBE fermentation at this  
506 condition. However, it was reported by other authors that IBE mixture represents more  
507 attractive than ABE for biofuel application, for instance, owing to acetone corrosiveness  
508 to rubber or plastic engine parts and isopropanol higher energy density and octane number  
509 (Li et al., 2018).

### 510 3.5. Mass balance for the coproduction process

511 Considering the BEKP composition, 61% of it was recovered in the form of  
512 fermentable sugars (70% w/w glucose and 30% w/w xylose) at relatively high  
513 concentration in the enzymatic hydrolysate after enzyme-mediated pretreatment. After  
514 the fermentation step, it was possible to completely converted these sugars into butanol  
515 and value-added coproducts (acetone or isopropanol, and ethanol). Additionally, 39.2%  
516 of BEKP was effectively extracted in the form of CNF from cellulose fibers after  
517 enzymatic hydrolysis and ball milling. Thus, for an initial 100 kg of BEKP, the proposed  
518 integrated process allows to recover 39.2 g of CNF, and 19 kg of ABE mixture (62% w/w  
519 butanol and 35% w/w acetone) or 15 kg of IBE mixture (57% w/w butanol and 40% w/w  
520 isopropanol) depending on the *Clostridium* strain used (Fig. 4). The product yields  
521 achieved in this study resulted comparable or even higher to those reported by other  
522 authors using both cellulose and hemicellulose fractions in lignocellulosic materials  
523 (Table 6). For example, Bondancia et al. (2017) used BEKP as cellulosic feedstock and  
524 reported a recovery of 37% of the initial BEKP as CNC and 39% as glucose for ethanol  
525 production. Also, Wang et al. (2021) used bleached hardwood Kraft pulp (BHKP) for  
526 CNC extraction by acid hydrolysis and reported a recovery of approximately 9% and 91%  
527 of the initial BHKP as CNC and glucose for ethanol fermentation, respectively. Both  
528 studies reached bioethanol production yields in the range of 25-34 kg of ethanol per  
529 100 kg of cellulosic material, while this study achieved biobutanol production yields in

530 the range of 8.4-11.7 kg of butanol per 100 kg of BEKP. This was expected considering  
 531 the higher production yields of ethanol fermentation compared to butanol fermentation.



532  
 533 **Fig 4.** Mass balance for the proposed biobutanol and cellulose nanofibers (NFC)  
 534 coproduction process from an initial dry bleached eucalyptus kraft pulp (BEKP) of  
 535 100 kg.

536 On the other hand, the integrated coproduction of CNM and biofuels or other  
 537 chemicals were also studied using lignocellulosic materials. For instance, Pereira et al.  
 538 (2021) using sugarcane bagasse reported recovery of 30% of the initial xylan as XOS,  
 539 52% of the initial lignin as lignin nanoparticles (LNP), and approximately 38% of the  
 540 initial cellulose as sugars for ethanol production. Du et al. (2017) using Douglas-fir wood  
 541 chips recovered 12% of the initial cellulose as CNC, 24% as glucose, and 21% of the  
 542 initial xylan/mannan as xylose/mannose. This demonstrates that the coproduction strategy  
 543 proposed in this study has the potential to improve productivity and enable maximal value  
 544 recovery from cellulosic materials.

545

546 **Table 6.** Literature review of enzyme-mediated biorefinery processes that coproduce cellulose nanomaterials (CNM) and biofuels or value-added chemicals.

Raw Material	Composition RM (wt%)	Enzyme pretreatment of cellulose-rich pulp	Pretreatment for CNM production	Overall CNM yield (wt% RM)	Overall co-products yield (wt% RM)	Microorganism for fermentation	Reference
Bleached hardwood Kraft pulp	<sup>a</sup> Cellulose: 79.1 Xylan: 21.2 Lignin: 4.0	Non-commercial NS 51129 (Novozymes®) 15 FPU/g; 50°C, pH 4.8; 10% solids; 8 h	Acid hydrolysis: 64 wt% H <sub>2</sub> SO <sub>4</sub> , 45°C, 2 h	CNC: 8.9	<sup>b</sup> Ethanol: 24.8	Modified <i>Saccharomyces cerevisiae</i> Y128	Wang et al., 2021
Sugarcane bagasse	Glucan: 42.4 Xylan: 22.1 Lignin: 22.1	Cellic CTec2 (Novozymes®) 15 mg/g <sub>solid</sub> ; 50°C; pH 4.8; 17.5% solids; 72 h	Disc ultra-refining: 1% w/w, 1600 rpm, 100 µm distance grinding discs, 1-13 cycles	CNF: 26.1	Ethanol: 9.4 XOS: 6.6 LNP: 11.6	<i>Saccharomyces cerevisiae</i> MH36 (Mangrove Jack)	Pereira et al., 2021
Bleached eucalyptus Kraft pulp	Cellulose: 75.6 Hemicellulose: 14.6 Lignin: 6.7 Ash: 1.1	Cellic CTec3 (Novozymes®) 10 mg/g <sub>solid</sub> ; 50°C; pH 5; 20% solids; 24 h	Enzymatic hydrolysis: Cellic CTec3 10 mg/g <sub>solid</sub> ; 35°C; pH 5; 20% solids; 120 h	<sup>b</sup> CNC: 36.9	<sup>b</sup> Ethanol: 19.4	<i>Saccharomyces cerevisiae</i> (Fleischmann)	Bondancia et al., 2017
Douglas-fir wood chips	Glucan: 44.6 Xylan/mannan: 16.7 Lignin: 32.0 Others: 4.9	Cellic CTec2 + Cellic HTec2 (Novozymes®) 5.5 g/100g <sub>solid</sub> ; 50°C; pH 4.8-5.3; 19% solids; 8 h	Acid hydrolysis: 64 wt% H <sub>2</sub> SO <sub>4</sub> , 44°C, 30 min	CNC: 5.6	Glucose: 12.1 Xylose/Mannose: 4.0	na	Du et al., 2017
Bleached Softwood Kraft pulp	Glucan: 79.2 Xylan: 15.3 Lignin: 1.2	<i>Caldicellulosiruptor bescii</i> DSM6725 7.5 mg/g <sub>solid</sub> ; 75°C; 1% solids; 72 h	na	CNM: 42	Glucose: 44	na	Yarbrough et al., 2017
Bleached eucalyptus Kraft pulp	Glucan: 77.3 Xylan: 17.6 Lignin:	Cellic CTec3 + Cellic HTec2 (Novozymes®) 4.2 mg/g <sub>solid</sub> ; 50°C; pH 4.8; 10% solids; 8 h	Ball milling: 1% w/w, 20 Hz, 80g <sub>balls</sub> /g <sub>solid</sub> , 1.5 h	CNF: 39.2	Butanol: 11.7 AE: 7.3  Butanol: 8.4 IE: 6.3	<i>Clostridium beijerinckii</i> DSM 6422  <i>Clostridium beijerinckii</i> DSM 6423	This study

RM: raw material; CNC: cellulose nanocrystals; XOS: xylo-oligosaccharides; LNP: lignin nanoparticles; AE: acetone and ethanol; IE: isopropanol and ethanol; na: not applicable

<sup>a</sup>Source: Beyene et al., 2017; <sup>b</sup>Calculated from data reported by the authors.

#### 548 **4. Conclusions**

549 Eucalyptus cellulose fibers were partially hydrolyzed by cellulase and xylanases  
550 cocktails at high solid concentrations and low enzyme loading. The enzymatic cocktail  
551 employed during enzymatic pretreatment made cellulose fibers more swollen and  
552 accessible by breaking the cellulose network, which caused fiber surface changes that  
553 influenced subsequent fiber size reduction. The by-product streams containing relatively  
554 high amount of sugars (50 g/L) were completely fermented into biobutanol and  
555 coproducts (acetone and isopropanol) using native *Clostridium beijerinckii* with a  
556 concentration close to 15 g/L. The enzymatic pretreatment of the cellulose fibers and  
557 subsequent mechanical defibrillation by ball milling allowed to obtain CNF with a width  
558 of 4-14 nm and an aspect ratio of 220-230. Differences on CNF size distribution indicated  
559 that process conditions during enzymatic pretreatment such as solid concentration and  
560 hydrolysis time influenced fiber fragmentation and/or fibrillation by ball milling through  
561 fiber structure alterations. In conclusion, the feasibility of coproducing CNF and  
562 biobutanol as high value-added products from eucalyptus pulp was demonstrated in this  
563 study. This work serves as an important starting point for future studies regarding the  
564 coproduction of biomaterials and value-added chemicals in a lignocellulosic biorefinery.

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