

Computational analysis of the anterior cruciate ligament reconstruction under different graft configurations.

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Abstract

Purpose: The aim of this work is to computationally study the effect of Anterior Cruciate Ligament Reconstruction and to assess the sensitivity of joint biomechanics to changes in different parameters.

Methods: This procedure consisted of three stages. Firstly, the determination of the knee joint kinematics. This was inferred from motion capture of a patient repeating a motor task. The capture was made with a VICON system using skin markers on the patient. Secondly, the set up of a finite element simulation of a healthy knee reproducing the same motor task, in the FEBio software. Finally, the development of a model for a knee with single-bundle ACL reconstruction. Ten different settings of this model were analyzed.

Results: The results show that a 10% variation in the mechanical properties of the ACL does not cause a significant change in the dynamic behavior of the healthy knee joint. No significant differences were observed in the ACLr with different materials, either. The location of the femoral tunnel that best restores the joint biomechanics is the one made in the center of the femoral footprint of the ACL.

Conclusion: In general terms, the results of healthy KJ agree with those presented in the reference literature. Moreover, the forces and moments resulting from the reconstructions reaffirm that the optimal position for the location of the femoral insertion is the center of the original ACL footprint. In addition, it is concluded that the restoration of the biomechanics of the KJ is much more sensitive to the location of the femoral tunnel than to the mechanical properties of the graft, in the range of variations that were taken into account in this work.

Keywords: Knee Joint, Motion Capture, FEM, ACL reconstruction

Declarations

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Consent to participate: Informed consent was obtained from all individual participants included in the study.

Consent for publication: Patients signed informed consent regarding publishing their data and photographs.

Introduction:

The human body is a complex mechanical structure and the knee joint (KJ), particularly, is one of the most complex and demanded joint due to two facts: that it has to carry very high loads and that its structure must enable triaxial movements without losing both stability and motor control (Domenech et al 2003; Góngora et al 2003; Panesso et al 2008; Trad et al 2018). Besides, the Anterior Cruciate Ligament (ACL) deficiency is one of the most common injuries of the KJ, affecting about one in 3000 people in the US every year (Kim et al 2011; McLean et al 2015; Mallett and Arruda 2017; Noyes et al 1974), at the estimated cost of US\$ 10.000 per recovered patient (Santos, 2014).

In spite of the scientific progress of the last fifty years, the long-term outcome of the ACL reconstruction (ACLR) shows a degradation of the articular kinematics which could lead to early Osteoarthritis (OA) (Tashman et al 2021). Therefore, increasing the knowledge about the behavior of the KJ and the function and mechanical properties of each of its structures, is necessary to improve treatments (Bae and Cho 2020; Marieswaran et al 2018; Mallett and Arruda 2017; McLean et al 2015; Siebold et al 2014; Dienst et al 2002; Jakob and Staübli 1992; Girgis et al 1975; Noyes et al 1974). Moreover, it is also necessary to develop new techniques that allow the evaluation of the outcome of the KJ with ACLR, in the least invasive way possible (Bistolfi et al 2020; Guo et al 2020; Barié et al 2019; Todor et al 2019; Kim et al 2018).

In recent years technological progress has exponentially increased the computing capacity of computers, allowing computational models to emerge as a good alternative to understand the mechanics involved in this complex joint. These models avoid both experimental difficulties and difficulties related to patients, financing or time availability (Pena et al 2006; Trad et al 2018; Rachmat 2015). Due to these facts, in the last forty years, mathematical models representing the knee joint's biomechanics and the interaction between its different structures have been frequently implemented.

To name but a few, Crowninshield et al (1976) presented one of the first works that models the knee joint, evaluating the function of each of its main ligaments (represented by 13 structures) in the joint stability when it is subjected to external loads. Some years later, Wismans et al (1980) present a work considering 3D geometries, in which bone surfaces are represented as rigid bodies and ligaments as non-linear springs fixed to different points on the surfaces.

Later, Andriacchi et al (1983), Essinger et al (1989) y Blankevoort et al (1991) developed mathematical models of the joint to study different effects. The first one models the knee's performance according to loads and restrictions. The second one studies the joint's biomechanics in terms of contact pressure and stress distribution for knees with condyle prostheses. The third one studies the characteristics of the articular contact with two different models.

In the late 1990s, 2D and 3D models analyzed by the Finite Element Method (FEM) were developed. Their use in biomechanics has become a promising tool for the study and simulation of biosystems. (Trad et al 2018; Kazemi et al 2013; Fregly et al 2012; Ali et al 2016; Cooper et al 2019) The work presented by Li et al (1999) was one of the first 3D analyses that study the tibia and femur joint through the FEM. This work predicted the joint kinematics and the forces on ligaments in response to external loads. The geometry in this work was obtained from an MRI of a cadaveric knee and the cartilage was modeled as an elastic material, the menisci as groups of springs of equivalent stiffness, the ligaments as non-linear springs and the bones as rigid bodies. The same specimen was tested in the Universal Force-Moment Sensor System and the results presented a similarity that validated the computational model and boosted the development of more advanced models, showing the great potential of computational analysis on the effectiveness of ligament reconstruction. Since then, the KJ has been deeply studied in different aspects, such as: the biomechanical response of each of its structures against external loads (Pena et al 2006; Meng et al 2014), the degeneration of articular cartilage (Mononen et al 2014; Shirazi and Shirazi-Adl 2009), the influence of bone geometry and meniscal shape (Łuczkiwicz et al 2015; Mootanah et al 2014) and the biphasic response of cartilage (Meng et al 2014; Räsänen et al 2017; Meng et al to 2017), among many others. Nowadays this method is the most popular and has been widely implemented in a large number of scientific publications (Trad et al 2018; Kazemi et al 2013; Fregly et al 2012 ; Ali et al 2016; Cooper et al 2019) in the last 30 years to create 3D models of the KJ.

Many studies about the KJ have been carried out in both forms, in-vivo and in-vitro, and they showed a high variability of the mechanical properties from person to person and according to age (Tashman et al 2021; Marra 2019; Naghibi Beidokhti 2018; Shu et al 2018; Pena et al 2006). Therefore, it is crucial to identify to what extent this variation can have an impact on the results of computational analyses.

The aim of this work is to take a first step towards the development of a procedure to computationally reproduce the specific knee joint of a patient, in order to be able to evaluate different scenarios in advance, in a totally non-invasive way. For example, to determine the optimal position for bone tunnels in an ACLr or to evaluate the evolution of the knee after an operation, given a high number of daily activities such as walking, sitting and standing or climbing a step, in order to prevent the appearance of joint degeneration.

In this work, subject-specific kinematic data was used as input for a 3D finite element model of the healthy KJ, both obtained in a non-invasive way. The main contribution of this work is the evaluation of the sensitivity of the results to variations in the mechanical properties of the material model. Moreover, a 3D finite element model of a KJ with ACLr was developed. The behavior of this model, as well as its alterations when varying the type of graft and the location of the femoral tunnel, were analyzed. It should be noted that in this work all the analyzes are performed for the same kinematic condition, evaluating the dynamic response of the soft tissues, even in the case of the ACLr. In this way, the dynamic effect that the movement made by the patient has on the tissues, in different configurations but under the same boundary conditions, is compared.

Methods

As can be seen in Fig. 1, there are three well differentiated stages that make up the work: the joint kinematics determination (stage 1), the set up of a finite element model of the healthy KJ (stage 2) and the development of a finite element model that simulates the ACLr (stage 3). Each stage can be separated into different stages as shown below.

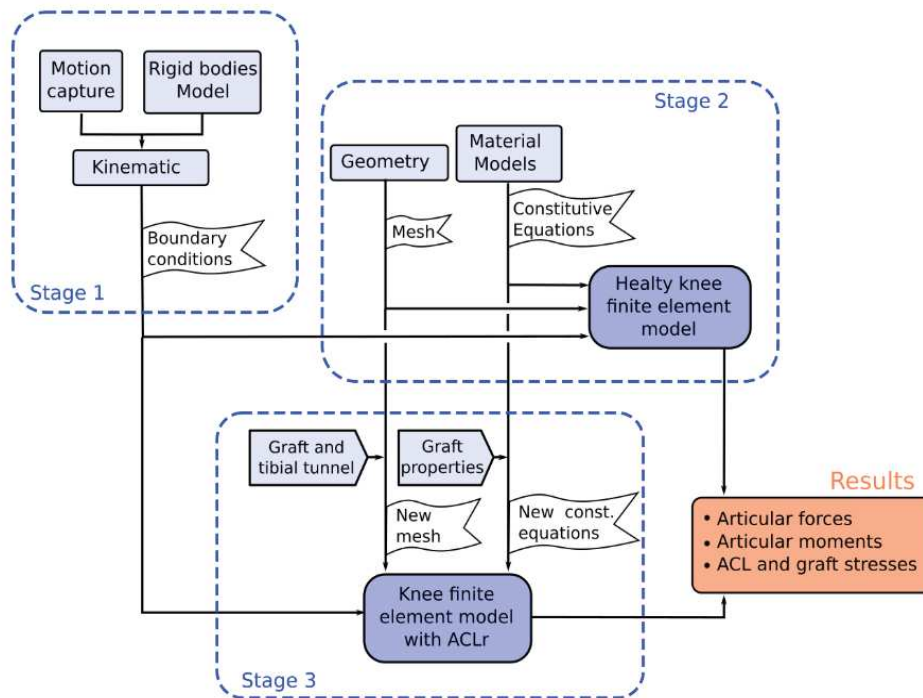


Fig 1 Flowchart of the developed procedure

Motion Capture

To determine the joint kinematics, an experimental test that consists of capturing the movement of a patient using stereophotogrammetry, was carried out. As the aim of this work is to show the feasibility of developing a patient-specific knee model with specific motion data of that patient, we only captured his kinematics.

For the motion capture, a healthy 50 years old man, without knee joint pathologies that affect the normal development of the chosen motor task, was selected. This study was carried out in the gait laboratory of the Hospital de Clínicas which has a VICON system with 8 infrared cameras that cover a volume of approximately 5m x 7m x 2,5m.

Before starting the experiment, the necessary anthropometric measurements of the patient were taken: height 172 cm, distance between iliac spines 28 cm, limb length 88 cm (right) 87,5 cm (left), right knee width 90 mm, and right ankle width 70 mm.

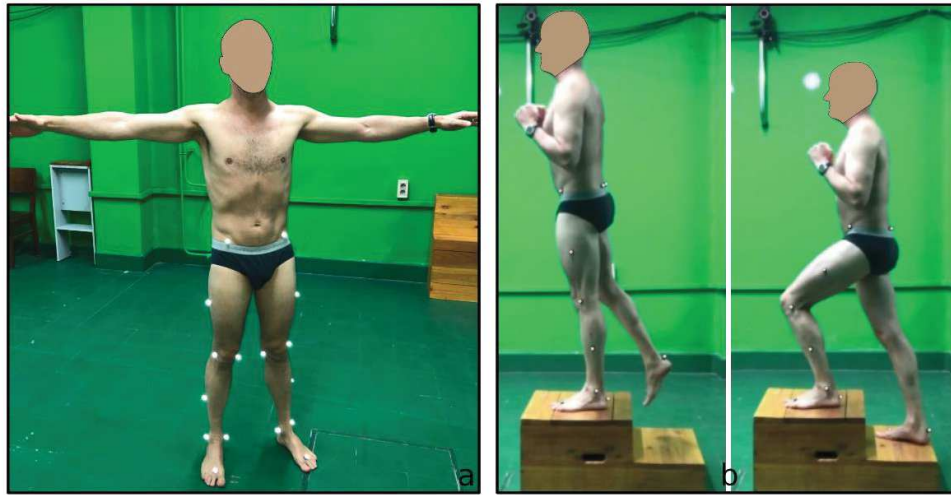


Fig 2a Markers location over the patient

Fig 2b Patient climbing the step

The reflective markers were placed on the patient's skin following the protocol established by the modified Plug-in Gait model (mPiG) (Kadaba et al. 1990; VICON 2005, 2017), as can be seen in Fig. 2. Static calibration, which consists of filming the patient with the 20 markers for one second while he is motionless, was performed (see Fig. 2a).

The mPiG model consists in seven structures, which represent the pelvis, femur (x2), tibia (x2), and foot (x2), and six spherical joints that allow three Degrees of Freedom (DoF), which play the role of real joints. Although real human joints are much more complex than spherical joints because they have 6 DoF instead of 3, the translation DoF are neglected with this model, because these particular movements have the same order as the error on motion capture technique.

Once the static calibration was finished, the volunteer was asked to repeat the task of climbing the step in Fig.2b ten times as similarly as possible, while he was being recorded with the Vicon System.

The data obtained from this experiment is shown in Fig. 3, where the value of the angles of Flexo-Extension (FE), Internal-External Rotation (IE), and Varum-Valgum Rotation (VV) can be seen.

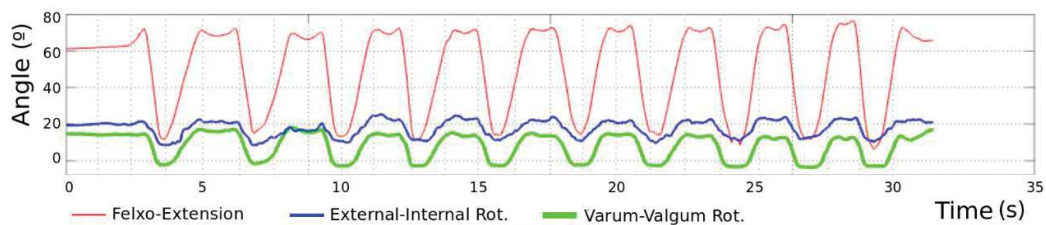


Fig 3 Angles of the knee joint

Figure 3 shows a high range of Varum-Valgum motion in contrast with what can be found in literature. In fact, the common range for this parameter is $12^\circ \pm 2^\circ$ (Chhabra et al 2001; Jakob and Stäubli 1992). This indicates a clear overestimation of the VV angle that can be attributed to cross-talk or Soft Tissue Artifact (Andersen et al 2010; Charlton et al 2004; Chiari et al 2005; Leardini et al 2005; Stief et al 2013). For this reason, this curve was not used for the boundary condition.

Knee Joint Model

Any 3D finite element model consists of three parts. Firstly, the determination of the geometry of each structure that was taken into account in the simulation. Secondly, the description of the mechanical behavior of each of these structures. Finally, the discretization of the domain, the application of the boundary conditions and the constraints and setting of the simulation parameters.

Geometry of the joint

This work used the geometry shared by the Open Knees Project (Erdemir 2013; Erdemir and Sibole 2010), which provides open access to 3D Finite Element representation of the knee joint for research, development, and experimentation to enlarge the knowledge on this topic. Although the original geometry has eleven different structures, for this work, only those that directly interact with the ACL, were taken into account. This aspect leads to a simplification of the eleven structures system to a four structures system, containing: Femur, Tibia, Posterior Cruciate Ligament (PCL), and ACL or graft (see Fig 4).

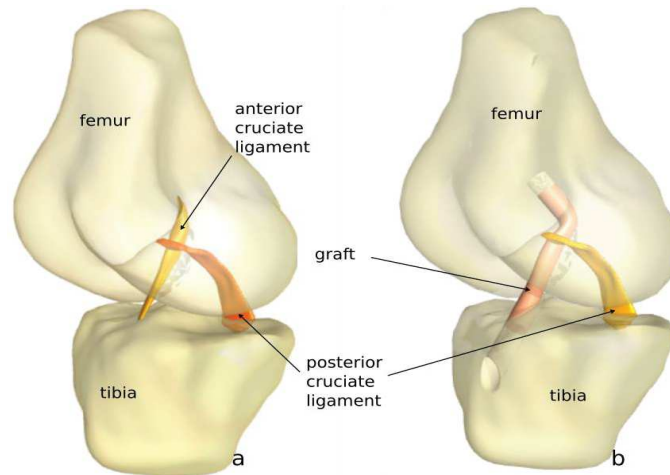


Fig 4a Four structures of the healthy KJ

Fig 4b Four structures of the KJ with ACLr

Geometry of bone tunnels

Although there are several techniques to perform this reconstruction, the trend nowadays, which we followed in this work, is to perform the technique with an anteromedial portal (Tashman et al 2021; Rothrauff et al 2019; Kim et al 2018). In this technique both bone tunnels are made independently: while the tibial tunnel is made in the classical way, the femoral tunnel is made from an open portal in the Antero-Medial (AM) zone of the KJ. This is done in order to reach in both cases, the bony insertions of the original ligament (Bedi et al 2011; Bonnin et al 2013). In this work, the “Anterior cruciate ligament reconstruction: a practical surgical guide” (Siebold et al 2014), which shows the procedure to perform the tunnels, is followed.

The tibial tunnel is fully determined by the definition of two angles (sagittal and coronal) and the location of the tibial footprint of the ACL. According to the guide (Siebold et al 2014), the suggested Sagittal Angle should be kept between 40° - 50° , while the Coronal Angle should be 25° (Fig 5a).

The femoral tunnel is performed through an antero-medial portal, while the tibia is totally vertical and the flexion angle is beyond 120° (Fig 5b). The tunnel is drilled in this position, pointing to the femoral footprint of the ACL, with a horizontal orientation, and with the largest Transverse Angle possible (Fig 5b) (Siebold et al 2014).

In addition, we studied the effect of moving the insertion site of the femoral tunnel 3mm in three

different directions, Proximal (P), Distal (D), and Anterior (A), from the center of the ACL footprint (o) (Fig 6), and the results in each direction were compared to the results in the other two.

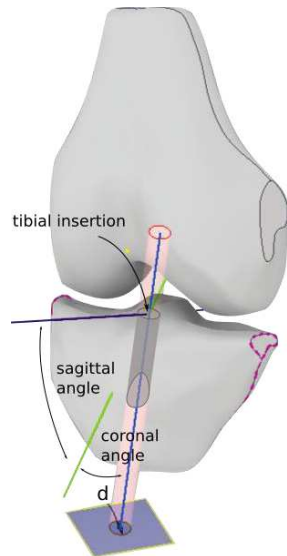


Fig 5a Location of the tibial tunnel

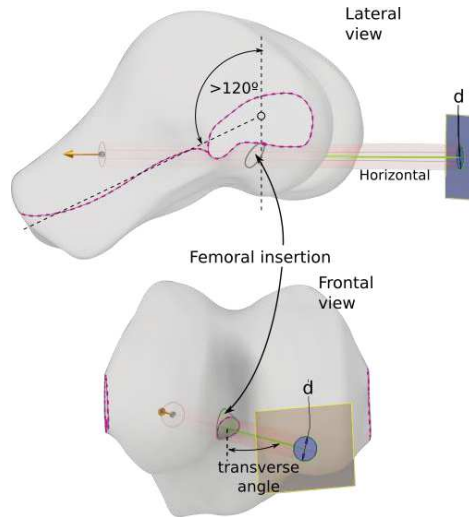


Fig 5b Location of the femoral tunnel

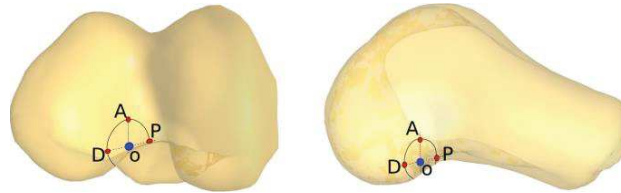


Fig 6 Variations in the insertion of the femoral tunnel

Geometry of graft

Since the objective of the work is to study the effect of the inserts and their mechanical properties, all the grafts were created in the same way, have the same geometry and were studied under the same kinematics. The Siebold et al. (2014) guide shows a table for selecting the diameter of the tunnel to be made, based on the sagittal angle of incidence in the tibia and the dimensions of the original insertion of the ligament, if any. In this case, with an angle of 55° and the measurement of the femoral insertion, a drilling diameter $d = 6.5\text{mm}$ is

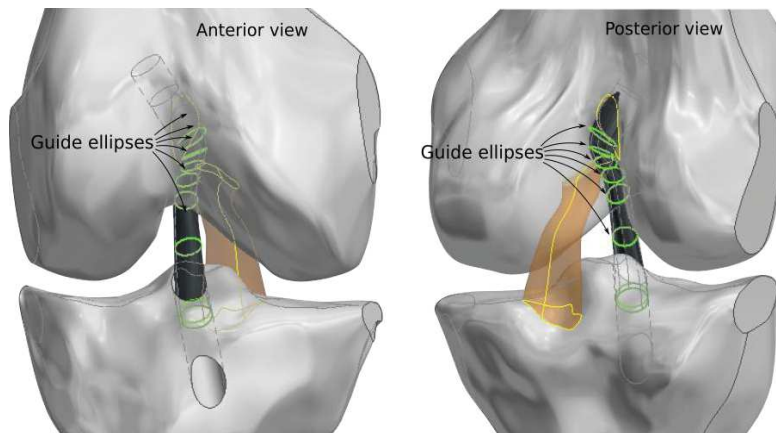


Fig 7 Ellipses that guide the graft

suggested (cross-sectional area = 33mm²). On this basis the graft is created so that the ends match the size of the tunnel. Guide ellipses are created along the way (see Figure 7), acting as guides to maintain a similar shape with each other and avoiding crossing the posterior cruciate ligament.

Materials

Bones: These structures were modeled as rigid bodies, since their deformation is negligible compared to that of the ligaments.

Ligaments: As a soft tissue, ligaments present high strains, therefore it is necessary to use a stress-strain relationship that allows its modeling. In the case of ligaments and tendons there is a consensus to model them as Transversely Isotropic Hyperplastic (TIH) structures because of their nature of fibril reinforced materials. Their main direction, in which they are stiffer than in the others, is the longitudinal direction. Weiss et al. (1996) developed the Strain Energy Density (Ψ) for this type of material, starting by separating the matrix substance's (m) energy from the fibers' energy (f), as it is shown in Eq. 1.

$$\psi(\mathbf{C}, \mathbf{a}^0) = \psi^m(\mathbf{C}) + \psi^f(\mathbf{C}, \mathbf{a}^0) \quad (\text{Eq. 1})$$

Where: \mathbf{C} is the Right Cauchy Strain Tensor, and \mathbf{a}^0 is the orientation of the fibers in reference configuration. Assuming that the behavior of the matrix substance can be modeled as Mooney-Rivlin material, we have the Eq. 2, in which, C_1 and C_2 are material's constants, K is the bulk modulus and I_i is the i^{th} invariant of \mathbf{C} :

$$\psi^m(\mathbf{C}) = C_1(\bar{I}_1 - 3) + C_2(\bar{I}_2 - 3) + \frac{K}{2} \ln(\sqrt{\bar{I}_3})^2 \quad (\text{Eq. 2})$$

In Eq. 2 the strain energy density function of the matrix substance is separated into its deviatoric and volumetric components (Weiss, 1995; Weiss et al., 1996), where the first two terms correspond to the deviatoric part of the deformation (using the \sim symbol for identification). The third term in Eq. 2 is a penalty function of the volume change of the body.

Weiss (1995) proposed the functional form of the term ψ^f of the Eq. 1, as a function of the fibers' stretching $\lambda = L/L_0$, as seen in Eq. 3.

$$\lambda \frac{\partial \psi^f}{\partial \lambda} = \begin{cases} 0 & \lambda < 1 \\ C_3(e^{C_4(\lambda-1)} - 1) & 1 < \lambda < \lambda^* \\ C_5\lambda + C_6 & \lambda > \lambda^* \end{cases} \quad (\text{Eq. 3})$$

Where C_3 , C_4 , and C_5 are material's constants and λ^* is the stretch in which all the fibers are recruited and start the linear behavior.

As can be seen in Eqs. 2 and 3, this material's modeling needs seven parameters. The ones used for the ACL and the PCL were the same as in Pena et al. (2006), which were inferred from (Łuczkiwicz et al., 2015; Weiss et al., 2002) (see Table 1).

Table 1 Properties of the LCA and the PCL for the TIH model extracted from (Pena et al., 2006)

	C1(MPa)	K(MPa)	C2(MPa)	C3(MPa)	C4	C5(MPa)	λ^*
ACL	1.95	73.21	0	0.0139	116.22	535.039	1.046
PCL	3.25	121.95	0	0.1196	87.178	431.036	1.035

Moreover, four more models of the ACL were created to analyze the absence of fibers (N-H model) and variability in 10% less of the material's constants (90% models). The new model parameters are summarized in Table 2.

Table 2 Variation of the properties of the LCA for the TIH model

Model	C1(MPa)	K(MPa)	C2(MPa)	C3(MPa)	C4	C5(MPa)	λ^*
N-H	1.95	73.21	0	0.0000	0.00	0.000	0.000
90%C1	1.75	73.21	0	0.0139	116.22	535.039	1.046
90%K	1.95	65.89	0	0.0139	116.22	535.039	1.046
90%C5	1.95	73.21	0	0.0139	116.22	481.535	1.035

Grafts: Three of the most used grafts were analyzed: Patellar tendon (PT), Semitendinosus (ST), and Gracilis (Gr). The constitutive relationship used is the same as for the original ligament (TIH) since the grafts are taken from similar structures. For the mechanical properties, those presented in the work of Pena et al. (2005) were used. These were inferred from adjusting the curves of the study of Suggs et al. (2003), which computationally models an ACLr with the same graft types as in the present work. The properties used, which define the transversely isotropic model, are shown in Table 3.

Table 3 Properties used for PT, ST, and Gr graft model (Pena et al. 2005)

Graft type	C1(MPa)	K(MPa)	C2(MPa)	C3(MPa)	C4	C5(MPa)	λ^*
PT	2.75	206.6	0	0.065	115.89	777.56	1.042
ST	2.75	206.6	0	0.065	115.89	512.73	1.042
Gr	2.75	206.6	0	0.065	115.89	791.40	1.042

Mesh and Simulation settings

The discretization of the geometry was done with the 3D mesh generator Gmsh (Geuzaine and Remacle, 2009), which allows to select both the element size and where to refine the mesh. After a mesh convergence analysis, an acceptable precision of the results was reached using the number of elements for each structure shown in Table 4. Obtained computing times were reasonable for these configurations.

Table 4 Mesh parameters of each structure

Structure	Form	Nodes	Quantity	Avg. volume (mm ³)
Femur	tetrahedra	4	15759	8.6
Tibia	tetrahedra	4	9297	8.2
ACL	tetrahedra	10	146442	0.003
PCL	tetrahedra	4	28159	0.025
Drilled femur	tetrahedra	4	31630	4.269
Drilled tibia	tetrahedra	4	28158	2.688
Graft	tetrahedra	10	~128600	~0.009

The computing of all the proposed finite element problems was solved by using the FEBio software (Maas et al., 2012), which is a non-linear finite element solver that is specifically designed for biomechanics and biophysics applications. The resolution of the problem was found quasi-statically, with an adaptive time step according to the tolerance requested in the displacement (0.1%) and in the deformation energy (1%). The Broyden–Fletcher–Goldfarb–Shanno (BFGS) algorithm, which does not need to make a matrix inversion, was used.

In summary, five cases of the healthy knee model were simulated to analyze the sensitivity of the results: the original, the N-H, the 90%K, the 90%C1, and the 90%C5. Additionally, for the ACLr model, six simulations were performed. Three of them correspond to the 3 variations of the femoral insertion which are performed with the same graft model (PT) to facilitate comparison. The other three correspond to each of the different types of grafts that were used (inserted in the original position). These simulations will be referred to hereinafter as follows:

- oPTr: Original Patellar Tendon reconstruction
- aPTr: Anterior Patellar Tendon reconstruction
- pPTr: Proximal Patellar Tendon reconstruction
- dPTr: Distal Patellar Tendon reconstruction
- oSTr: Original Semitendinosus reconstruction
- oGrr: Original Gracilis reconstruction

Results:

This section is divided in two parts, the first one showing the results for the five cases of the healthy knee joint model, and the second one presenting the results for the six cases of the knee joint with the ACLr.

Healthy Knee models

Figure 8 shows the principal stress distribution for the ACL with the TIH model at the moment of highest loads, which was found at 55° of flexion.

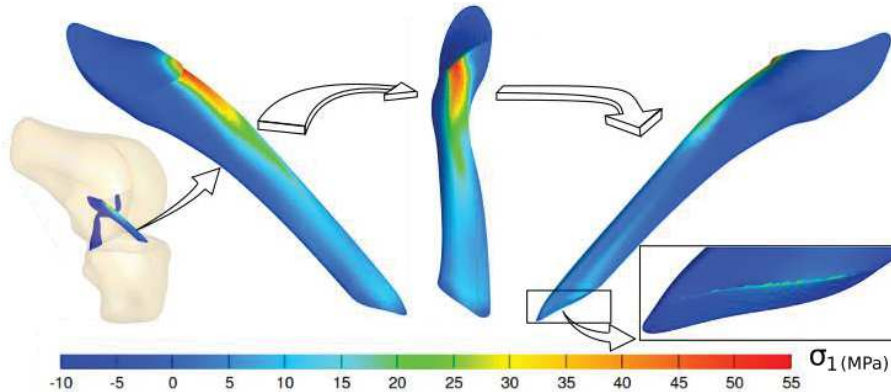


Fig 8 First principal stress distribution on the ACL modeled as TIH

Fig. 8 shows at the left, the position of the KJ at 55° of flexion. Then, a zoom of the ACL at that moment shows that the most critical zone is the anterior part, near to the femoral attach, which agreed with the literature (Bonnin et al., 2013; Butler et al., 1992; McLean et al., 2015). Furthermore, the maximum principal stress reached is 52MPa which also agrees with the range presented in Pena et al. (2006) and Fernandes (2014), in which similar situations are tested. In addition, on the right side of Fig. 8, a detailed capture of the posterior zone of the tibial ACL attach is shown. Some relatively high stress values are observed at the edge. This could occur because that zone has geometrical and material discontinuities, and also because in some cases, the fibril reinforced model can have issues in this kind of region.

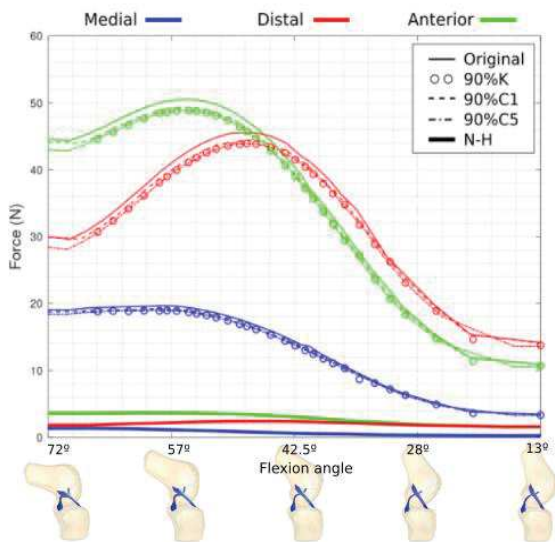


Fig 9a Comparison of forces on the femur in anatomical directions when the properties vary

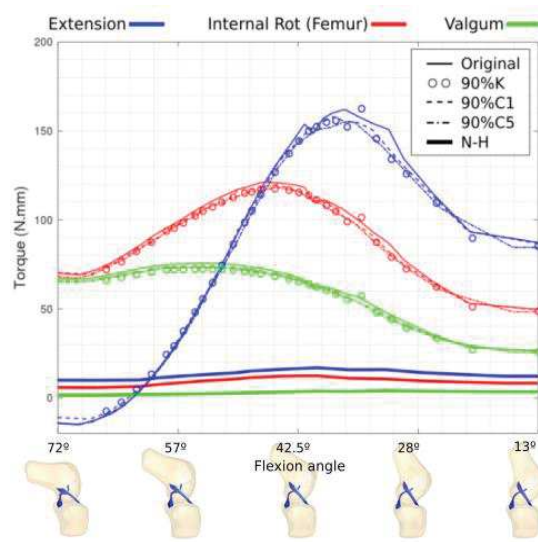


Fig 9b Comparison of torques on the femur in anatomical directions when the properties vary

Figure 9 shows a comparison of the forces and torques caused by ligaments on the femur, while varying the mechanical properties of the ligaments. Considering the fact that during flexion the PCL is slack, the forces that it can perform are negligible compared to those of the ACL, which is tightened. Therefore, all the loads that can be seen in Fig. 9 are developed almost entirely by the ACL.

Figure 9a shows that the femur is mainly forced distally and anteriorly, which matches with the direction of the ACL and is also consistent with the function of the ACL of avoiding the femoral posterior translation (tibial anterior translation) as was expected. The femur is also forced in medial direction but with a lower magnitude.

On the other hand, Fig 9b shows that the highest torques correspond to the restriction against the flexion of the KJ. The torques corresponding to the restriction against the external rotation of the femur are of a lower magnitude, and finally the torques that avoid the varum rotation are the lowest in magnitude. These results are consistent with the respective importance of the different functions to be performed by the ACL (Siebold et al., 2014). Both Fig 9a and 9b show that the differences between the models with a reduction of 10% in some properties (90% models) and the original model are negligible, since the highest variations are 1 N in forces and less than 10 Nmm in torques. However, the effect of not taking into account the fibers has a great impact in the results, decreasing close to 90% the restrictions over the joint.

ACLr models with different materials

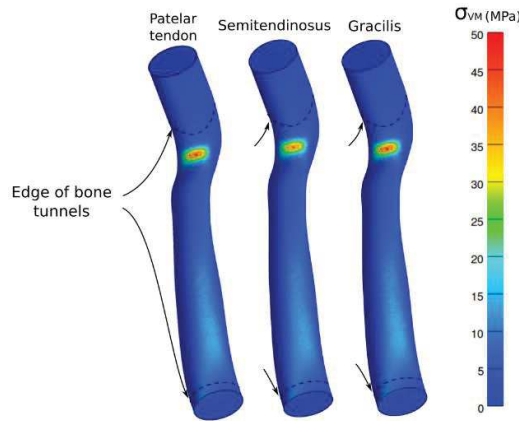


Fig 10 Von Mises equivalent stress distribution for the three different grafts

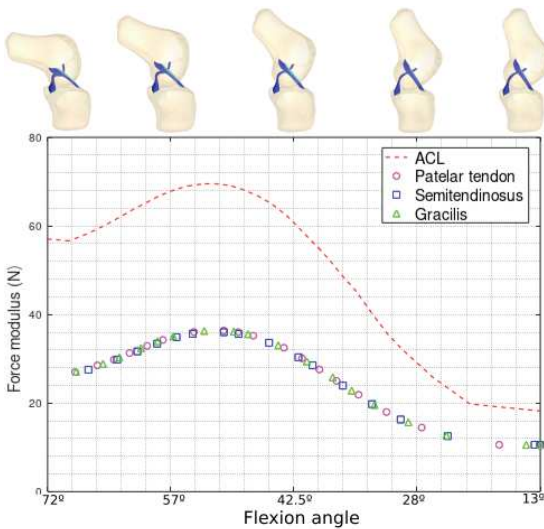


Fig 11a Comparison of forces on the femur

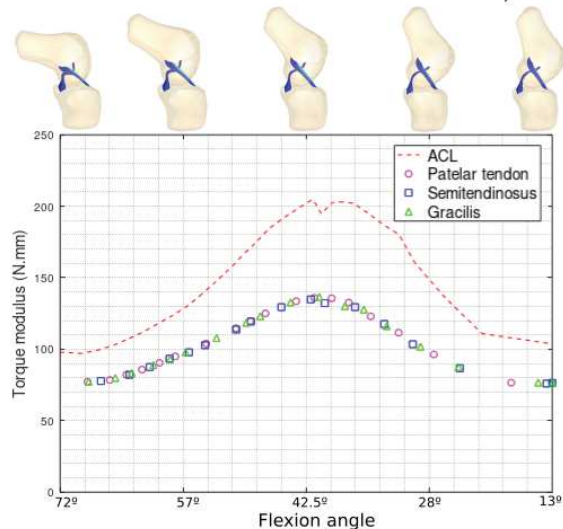


Fig 11b Comparison of torques on the femur

Figure 10 shows the Von Mises equivalent stress distribution at the instant where the maximum for each of the types of grafts studied, was found. As in ACL, the most loaded area of the graft is near the femoral insertion on the anterior side, although in these cases it is a more localized region. The maximum equivalent stress for the three cases is around 50 MPa, indicating that the first principal stress is even lower than that of the ACL (see Fig 8). In addition, Fig 10 shows that the differences between the three grafts are imperceptible.

The graphs that show the comparison between the forces and the torques that the new grafts cause on the femur are in Fig. 11a and 11b. In both, it is appreciated that the loads generated by the grafts present a similar trend to that of the model with the ACL. However, in Fig. 11a the forces of the graft are 40 to 50% lower than those of the ACL, and in Fig 11b, the torques are 20 to 40% lower than those caused by the ACL.

ACLr models with different femoral insertions

Figure 12 shows the distribution of Von Mises equivalent stress for models dPTr, aPTr, and pPTr, from left to right respectively, with two captures each, corresponding to the maximum flexion (72°) and maximum extension (13°) registered in this motor task.

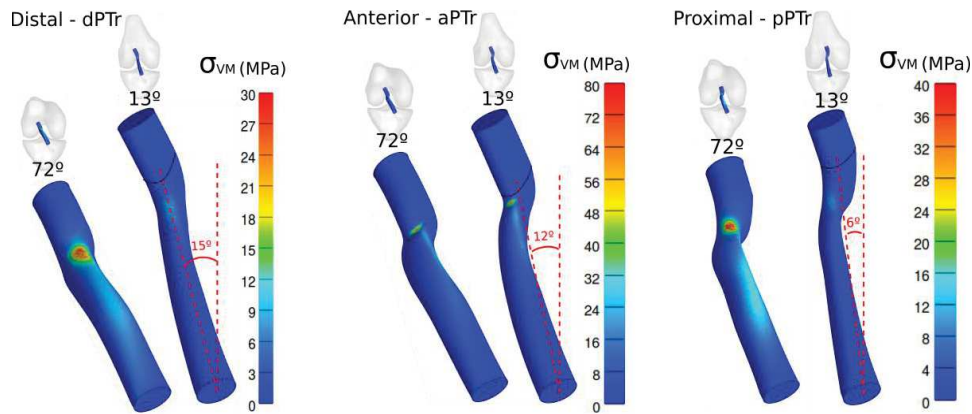


Fig 12 Von Mises equivalent stress distribution in the grafts with different femoral insertions

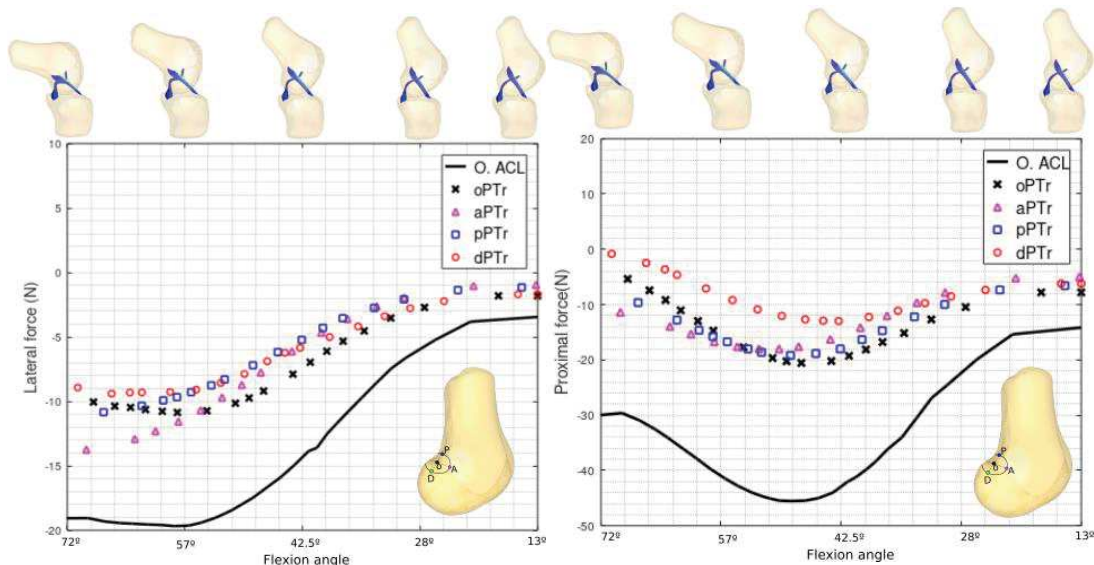


Fig 13a Comparison of forces in lateral direction on the femur

Fig 13b Comparison of forces in proximal direction on the femur

It can also be seen in Fig. 12 that the three new insertions caused different inclinations from the ACL that has an angle of approximately 8° from the vertical. The proximal graft (6°) while the rest of them has a more oblique orientation (15° and 12°). Furthermore, the Von Mises equivalent stress distribution is considerably higher for the anterior reconstruction (aPTr) with a maximum of 80 MPa. For the other two cases (pPTr, dPTr) the maximum (30 and 40 MPa) are slightly lower to that of the ACL (50 MPa). The three grafts show that their highest values of stress are in the same zone as in the ACL (anterior and proximal), however while the dPTr and pPTr models show an area of similar size, the highest stresses in the aPTr model are more localized.

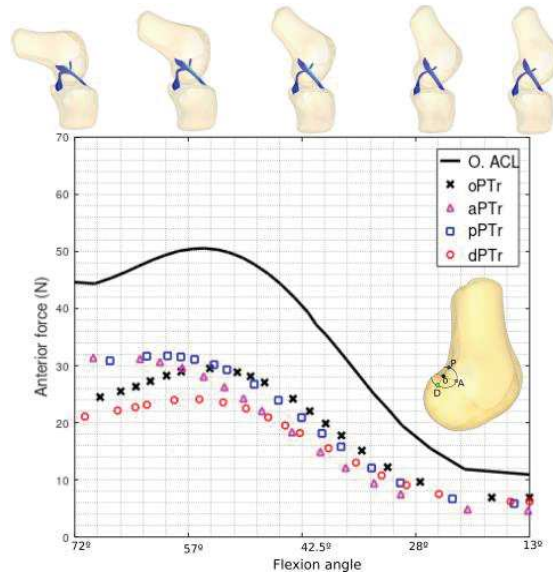


Fig 13c Comparison of forces in anterior direction on the femur

Next, the effect that the variations of the femoral tunnel has on the loads on the femur, for the same boundary conditions, is analyzed. Three graphs are presented (Fig 13) showing the comparison between the forces generated by the model with the ACL and the reconstructions in each of the four perforations, and other three (Fig 14), presenting the comparison between torques.

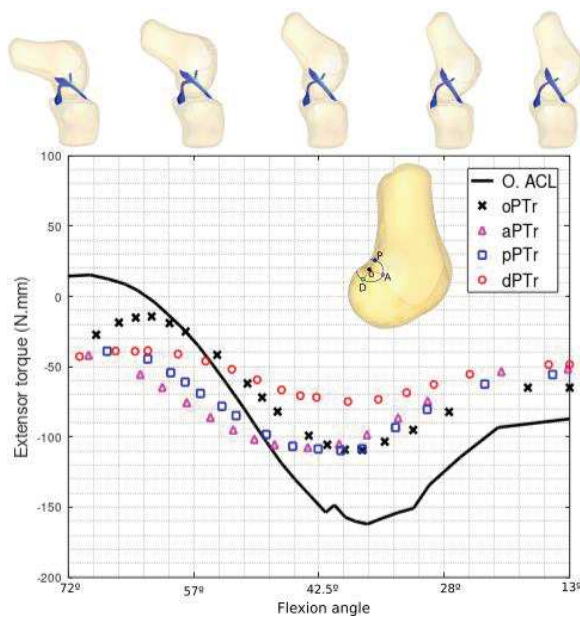


Fig 14a Comparison of extensor torques on the femur

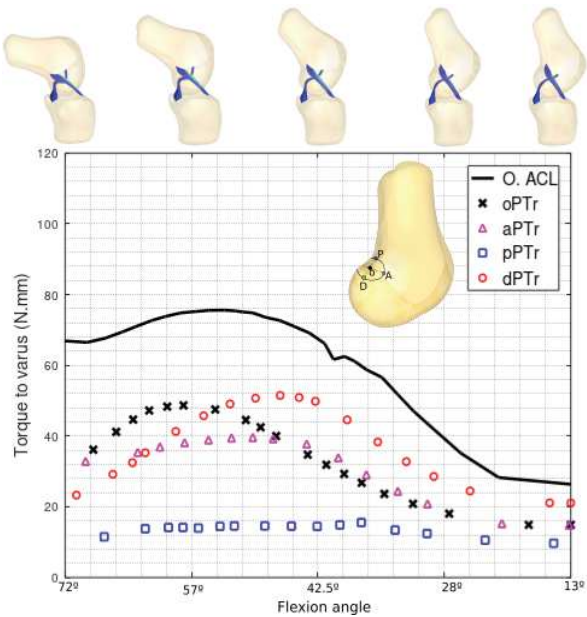


Fig 14b Comparison of torques to varus on the femur

As previously shown (Fig. 11) the ACL and the reconstruction at the origin show, in general terms, the same trends. In terms of forces (Fig. 13) the grafts out of the origin also show a similar behavior for positions close to full extension. However, in deep flexion (beyond 45°) they exhibit a difference of up to 30% in terms of forces, compared to the oPTr model. It is worth noting that in no case does the change in femoral insertion cause the forces necessary to equalize the kinetic restraint imposed by the ACL.

In regards to the torques on the femur, the differences and the variability of the results are considerably higher than that of the forces. In the three figures (Fig 14) it can be seen that the restrictions are again less than those generated by the ACL, and even less (in most cases) than those caused by the oPTr model. In addition, the pPTr is the most inefficient reconstruction, showing the least constraint of the three models. In particular, in Fig 14b the torques are about 15% of those caused by the ACL.

On the other hand, the aPTr and dPTr, have a better behavior than the pPTr. However, in the case of the extensor torque and the torque towards varus (Fig 14a and 14b respectively), they show a lack of constraint in flexion angles beyond 45°, as compared to that of the reconstruction at the origin. In Figure 14b, only the dPTr presents better results (closer to that of the ACL) and just for flexion angles less than 45°. With respect to the internal rotation torque (Fig 14c), the aPTr and dPTr models improve the results obtained by the oPTr, getting closer to the loads of the ACL from 40° to full flexion.

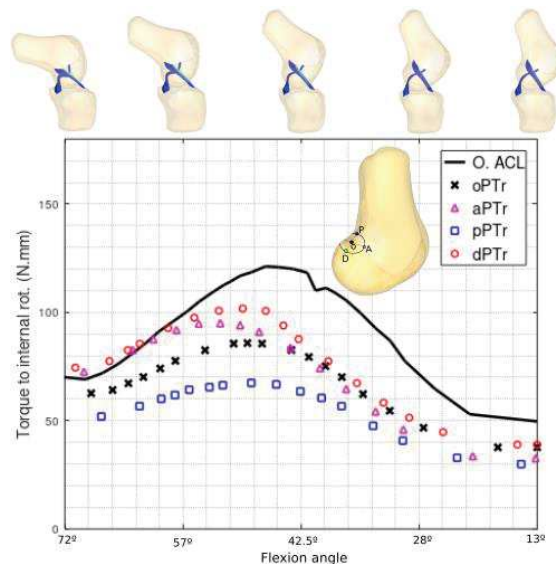


Fig 14c Comparison of torques to internal rotation on the femur

Discussion:

Limitations

This work, as all works, has its limitations. The geometry used does not correspond to the patient from whom the joint kinematics were taken, so the model is not strictly patient-specific. However, since the same geometry and the same kinematic conditions were used in all the models, the results can be compared.

This work used the 3D geometry and the kinematics of one single patient, so the numerical results obtained are not representative of the entire possible universe of patients. However, the qualitative results and the comparisons among them are still valid as they are evaluated under equal conditions.

The ligaments were considered as transversely isotropic hyperelastic materials because it is the model that is best adapted to their biomechanical behavior [Bae et al 2020; Naghibi 2018; Trade et al 2018; Kim et al 2018; Marieswaran et al 2018; Halonen et al 2016; Fernandez 2014; Pena et al 2006; Pena et al 2005; Weiss et al 2002], however, different properties were not considered for each of the two bundles of each ligament.

Possibly the most important limitation of this work is the simplification of modeling the 6 DoF

1 knee joint with 3 DoF, together with the elimination of the data corresponding to VV rotation. To obtain
2 a more precise FE model, a kinematic model that allows the kinematic results to be extracted at the
3 corresponding 6 degrees of freedom, must be made.

4 For ACLr analysis, no pre-tension was placed on the graft. This implies that the results could vary
5 if any value is considered. However, since it is not a standardized procedure in practice, it was decided
6 to make the comparison without pre-tension in the ACLr procedure.

7 *Healthy Knee*

8
9 The results of the healthy KJ, using a transversely isotropic hyperelastic material to model the
10 ligaments, show that in the movement of climbing a step, the most loaded zone of the ACL is its anterior
11 and proximal part (Fig 8), which agreed with literature (Xiao et al. 2021; McLean et al. 2015; Bonnin et
12 al. 2013; Butler et al. 1992). A further analysis shows that variation in 10% in mechanical properties of
13 the models do not cause any significant effect in the joint mechanics (Fig 9), since the changes are less
14 than 2N in forces, and 10 Nmm in torques. However, if fibers are not taken into account, it is necessary
15 to use an equivalent Young's modulus, instead of the real properties of the matrix substance, to obtain
16 a more representative result.

17 In terms of forces, the results show that they increase with the flexion angle up to a certain point
18 between 45° and 55°, depending on the direction (Fig 9a). The maximum forces reached are 50N, 45N
19 and 18N for the anterior, distal, and medial force respectively. From the maximum point, all forces
20 decrease with the flexion angle, except for the medial force, which stays close to the 18N. Compared to
21 the theoretical results presented by Shelburne et al. (2004) and with the cadaveric studies carried out by
22 Markolf et al. (1995), the forces obtained here are considerably lower. This can be directly associated
23 with the fact that the input variable of the models (kinematics) was obtained from an indirect method
24 (motion capture), which admits errors of millimeters (Chiari et al. 2005; Leardini et al. 2005), which
25 translate into high loads. Differences in forces are also transmitted to torques, therefore, it is useless to
26 analyze these results quantitatively. However, the qualitative analysis of the ligament behavior is of
27 interest, since it shows that its main functions are to avoid posterior translation of femur (Fig. 9a), and
28 to provide stability during flexion and internal-external rotation (Fig. 9b).

29 *ACLR with different materials*

30
31 The differences between the stress distribution (Fig. 10) and the behavior (Fig. 11) of the three
32 grafts are practically imperceptible. This indicates that if the material models and the properties used are
33 sufficiently correct, the distribution of the stresses does not appear to change with variation in properties
34 for the values selected. This finding agrees with the results of Chen et al. (2019), who did not find any
35 significant difference between Patellar tendon and Hamstring behavior. In addition, Todor et al. (2019)
36 and Barié et al. (2019) presented results of the comparative behavior of Hamstring and Quadriceps
37 tendon as graft, and they did not find any significant difference neither in terms of stability, nor in
38 outcomes for patients.

39 There is a clear difference between the loads exerted by the ACL and the grafts (Fig. 11) for both,
40 forces and torques. Considering the mechanical properties are correct, these results indicate that the
41 cross-sectional area of the graft should be greater. In the study by Miller et al. (2002) all the proposed
42 areas are greater than 35 mm², while in the present work the cross-sectional area of the graft used was
43 33mm². Thus, these results show that the procedure suggested by the guide (Siebold et al 2014)
44 overestimated the stiffness of the graft.

45 *ACLR with different femoral insertions*

46
47 With respect to the placement of the femoral insertion, the four models have a different
48 performance in regards to stress distribution, forces, and torques. As expected, different insertions cause
49 different inclinations on the graft (fig 12), and this implies great variabilities mainly in torque on the
50 femur (Fig 14). The results of the forces shown in Fig. 13 evidence greater differences as the flexion
51 angle increases. The same effect appears in the work of Xiao et al. (2021), in which by means of the
52 finite element method, different scenarios for different femoral tunnels are evaluated. In Figure 14a, it
53 can be seen that the distal reconstruction model (dPTr) does not fulfill its function, while in Fig. 14a, the
54 posterior reconstruction model (pPTr) is the one that is completely inefficient. The dPTr and aPTr should
55 not be taken as successful, since in Fig. 14c both curves increase even beyond the curve corresponding
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1 to the ACL. That means that if the cross-sectional area is increased to the correct value, the curve of
2 dPTr and aPTr will increase, over-constraining the joint. The only model that has a promising
3 performance for all cases, taking into account the smaller area, is the oPTr.

4 **Conclusion:**

5
6 We developed a procedure that allows the use of experimental data of motion capture on a FE
7 generic model, with the aim of using subject-specific material properties and geometry in the near future.
8 This work also shows that the results obtained with these steps reach similar qualitative results than other
9 works (Bonnin et al., 2013; Butler et al., 1992; Fernandes, 2014; McLean et al., 2015; Pena et al., 2006,
10 2005), which indicates that this could be a novel way to assess the state of the KJ health. However, in
11 order to be able to obtain more reliable results to do a quantitative analysis, the input data should be
12 improved. These improvements could be done by coming up with a way to deal with soft tissue artifact
13 (Chiari et al. 2005; Leardini et al. 2005) or by using a different way of acquiring data.

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16 The results of the healthy KJ confirm that in a daily movement such as climbing a step, the ACL
17 plays a key role in providing stability to the KJ. In addition, it was shown that the loads performed by
18 the ACL on the femur (mainly anterior and distal force, and moments that avoid the varus and external
19 rotation), agree with the main functions of the ACL, which, in general terms, is to allow controlled
20 movements. Furthermore, all the loads caused by the ACL present a maximum value around the 55° of
21 flexion, which means that, for this case, the most critical stress distribution is found in that position.

22 In terms of material properties, we found that for a variability of 10% in their values, they do not
23 significantly affect the kinematics. However, it turns out that it is important to take into account the
24 fibers stiffness when fibrous materials are modeled, since the contribution of the ground substance to
25 the ACL stiffness is approximately 10% of the total stiffness.

26
27 As for ACLr with different material properties, the results obtained, with the properties proposed
28 in the work of Pena et al. (2005) are interesting, since they indicate that the results for both the stress
29 distribution (and deformations) and the joint dynamics, are indifferent when choosing a semitendinosus,
30 patellar tendon or gracilis graft. It should be noted that the properties used were for a generic case,
31 however, it is widely recognized the high variability of human soft tissue material properties. Therefore,
32 it is concluded that for changes in the material properties as those presented in this work, the effects on
33 the joint are not appreciable. Therefore, other factors must be considered for the selection of the type of
34 graft to be used, among others: surgeon's expertise with each technique, effects of extraction, weakening
35 and recovery of the area.

36
37 The restrictions exerted by the grafts, for the three cases of the different materials, are considerably
38 lower than those generated by the original ACL, for all the variables evaluated and throughout the entire
39 range. This indicates that the choice of graft diameter was not adequate, since a larger diameter would
40 have caused the rigidity of the structure to increase, and consequently, for the same deformation, the
41 forces exerted by the new graft would have been greater.

42
43 Regarding the ACLr with different femoral insertions, the results obtained when comparing the
44 same graft for different femoral insertions, show a considerable effect on the KJ forces. This causes great
45 uncertainty and reveals that locating the femoral insertion of the graft is a challenging task. For all the
46 variables studied, the graft located in the center of the original insertion of the ACL is the one that better
47 fulfills the function of the natural ligament, obviating the previously expressed effect of the lack of cross-
48 sectional area of the grafts. In addition, the anterior reconstruction model also shows the greatest difficulty
49 to create a graft avoiding the PCL, causing high curvature radii and susceptible zones for stress
50 concentration.

51
52 Comparing the loads of the three models (out of origin inserted) on the femur, a high variability is
53 spotted. For instance, proximal reconstruction (pPTr) did not show very different results, in terms of forces,
54 compared to origin reconstruction. However, in the graphs corresponding to the torques, a poor
55 performance in the restriction of some movements is appreciated. This is clearly related to the direction in
56 which the graft was oriented and not so much to the force it exerts. In the same way, significant changes
57 result from the other two insertions, showing that the femoral insertion of the graft is one of the variables
58 that requires more precision.

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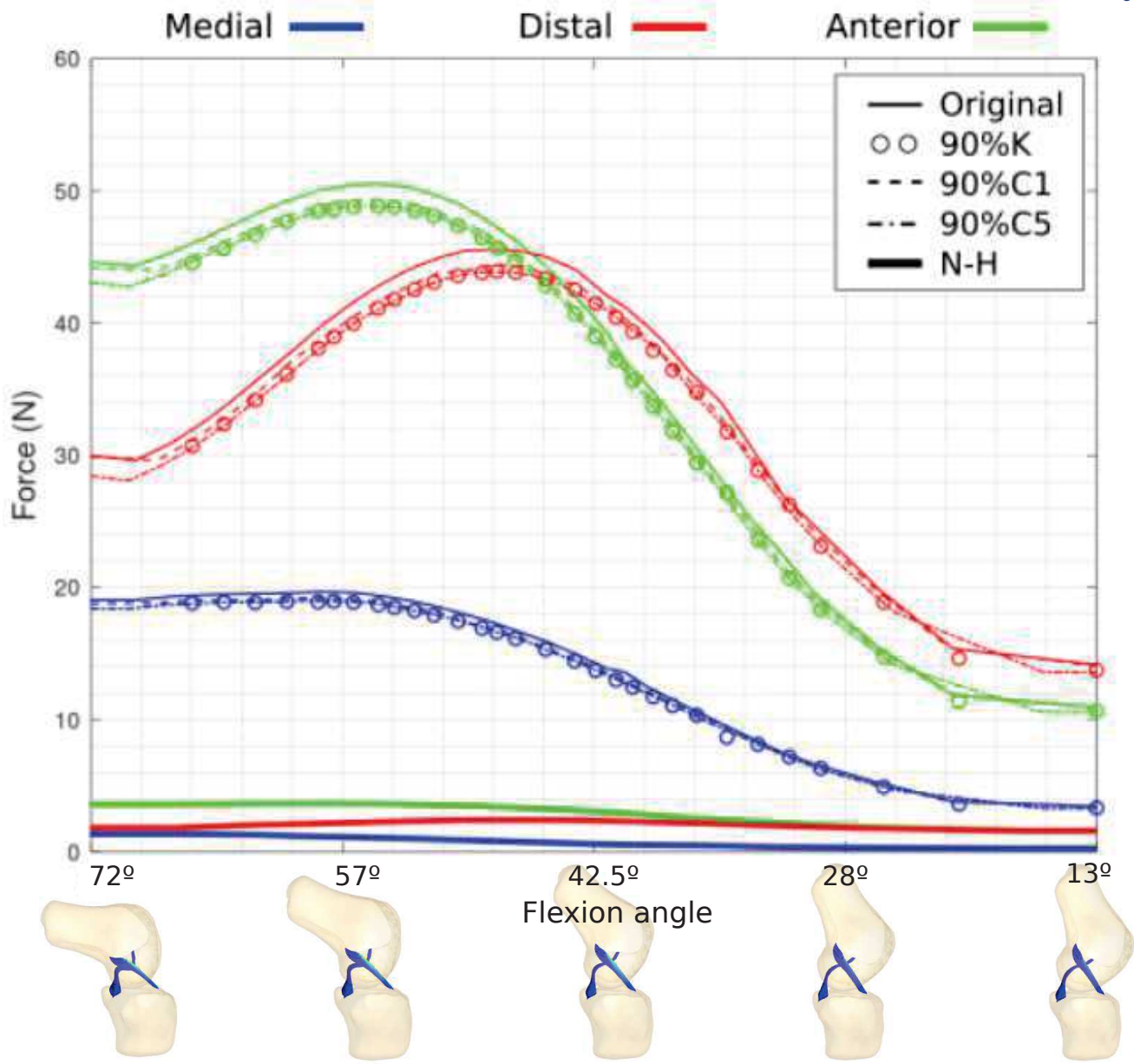
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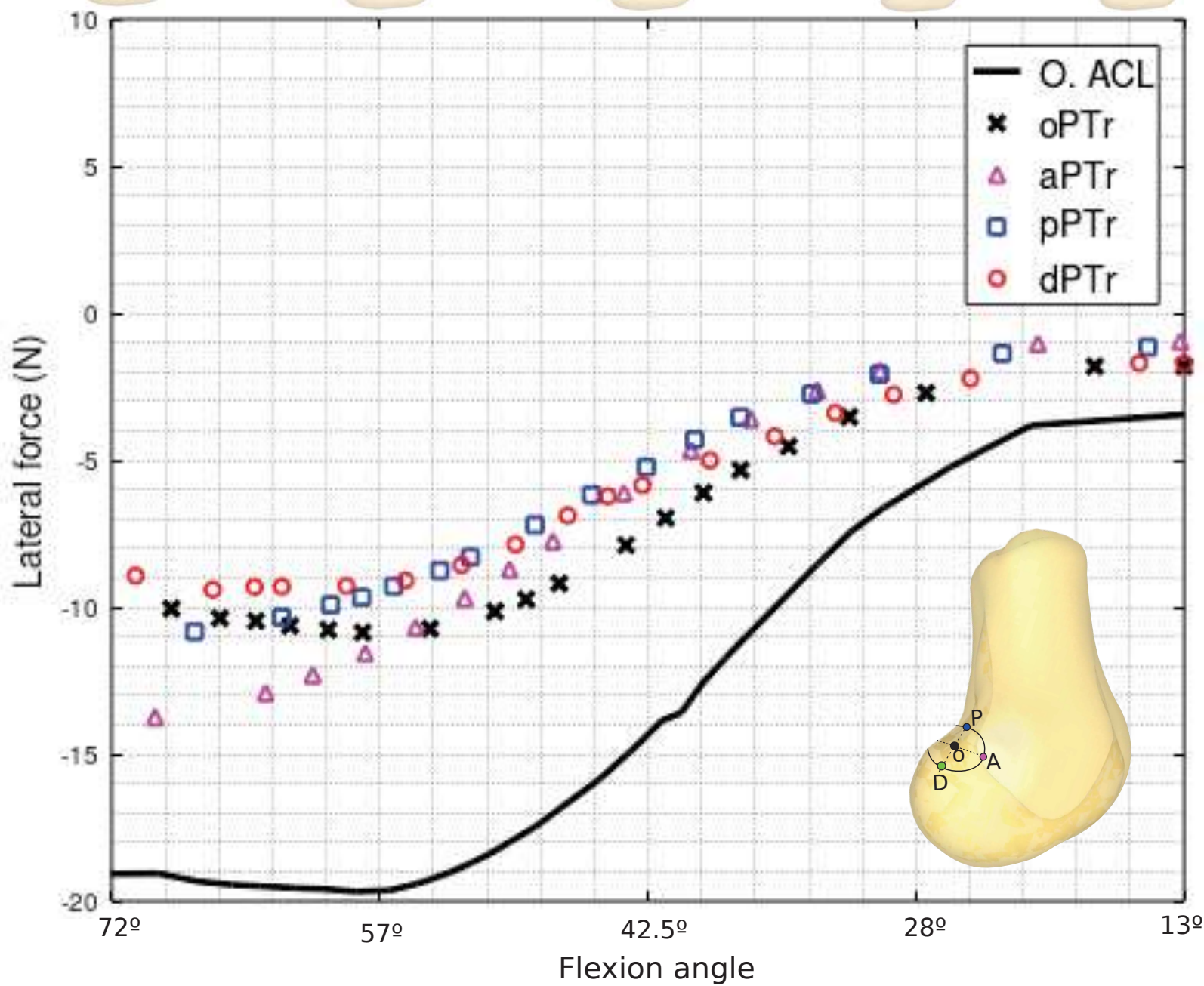
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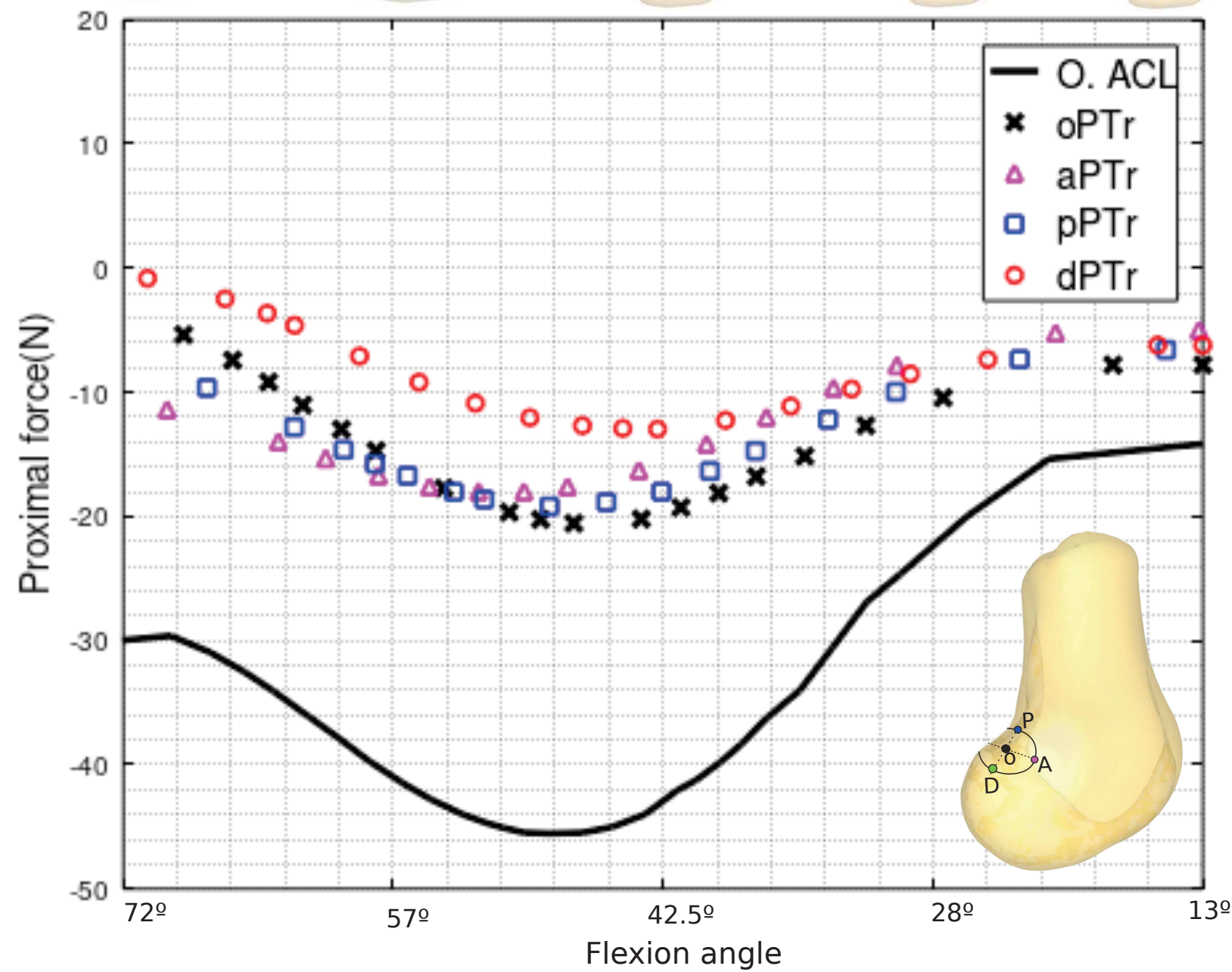
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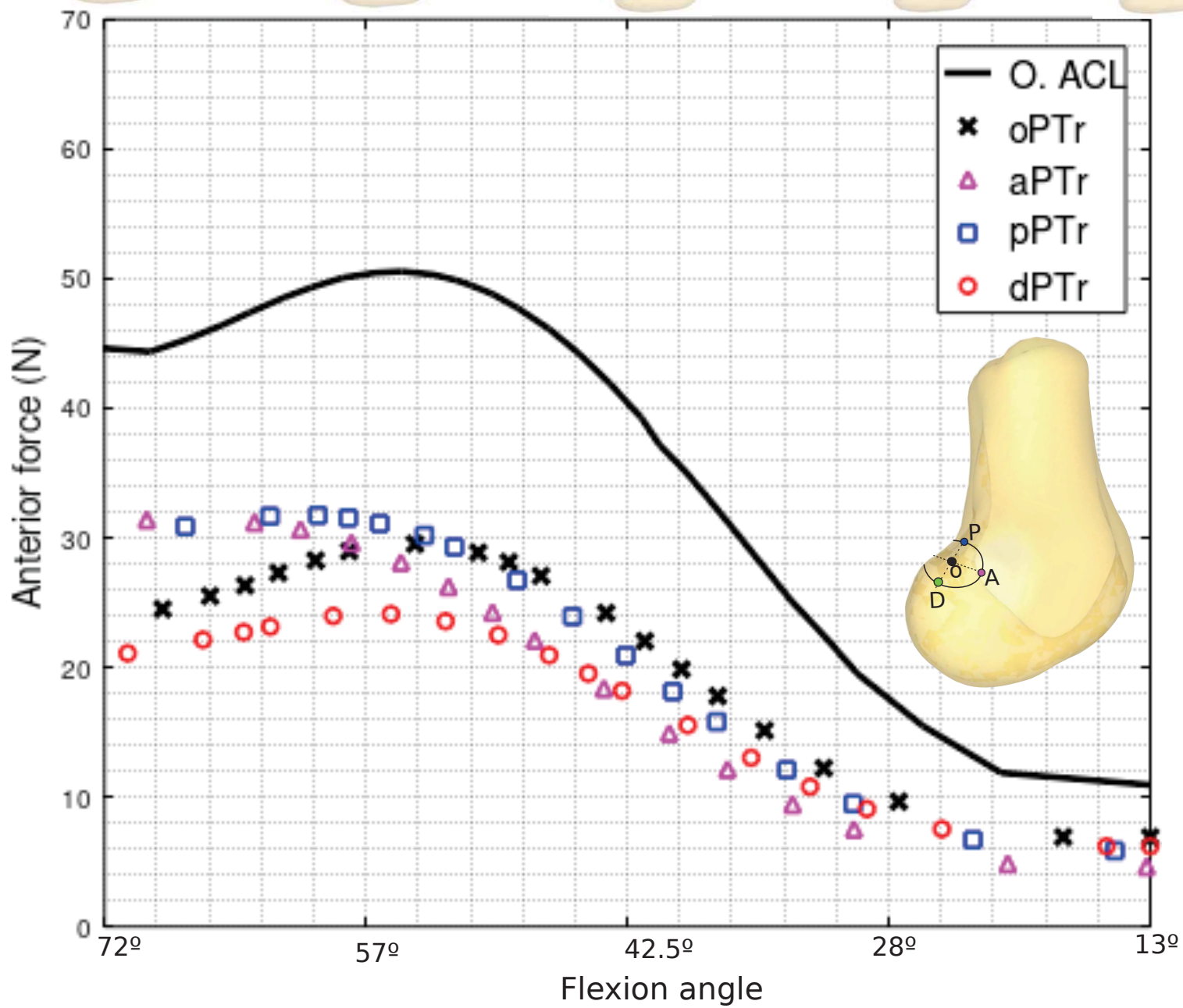
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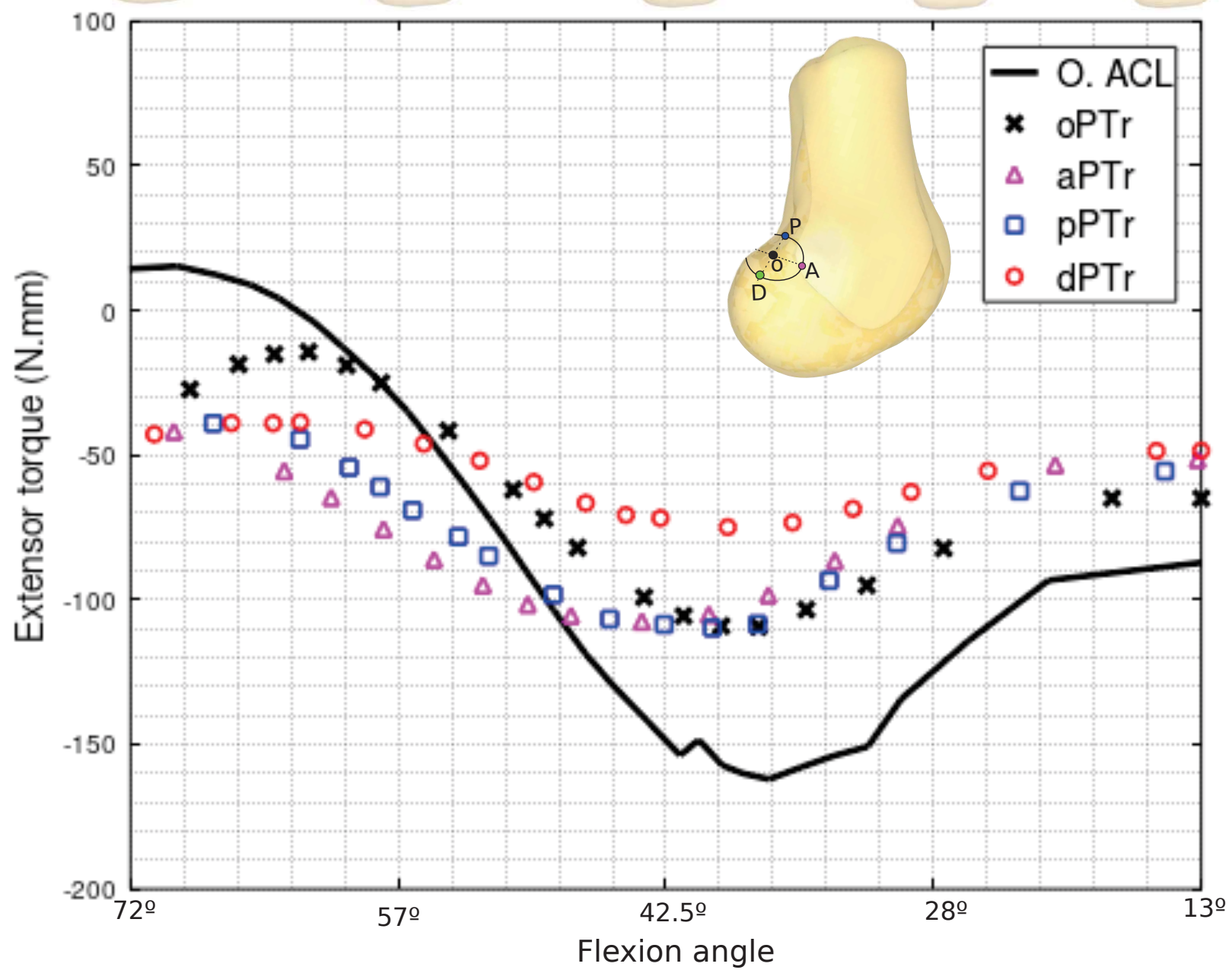
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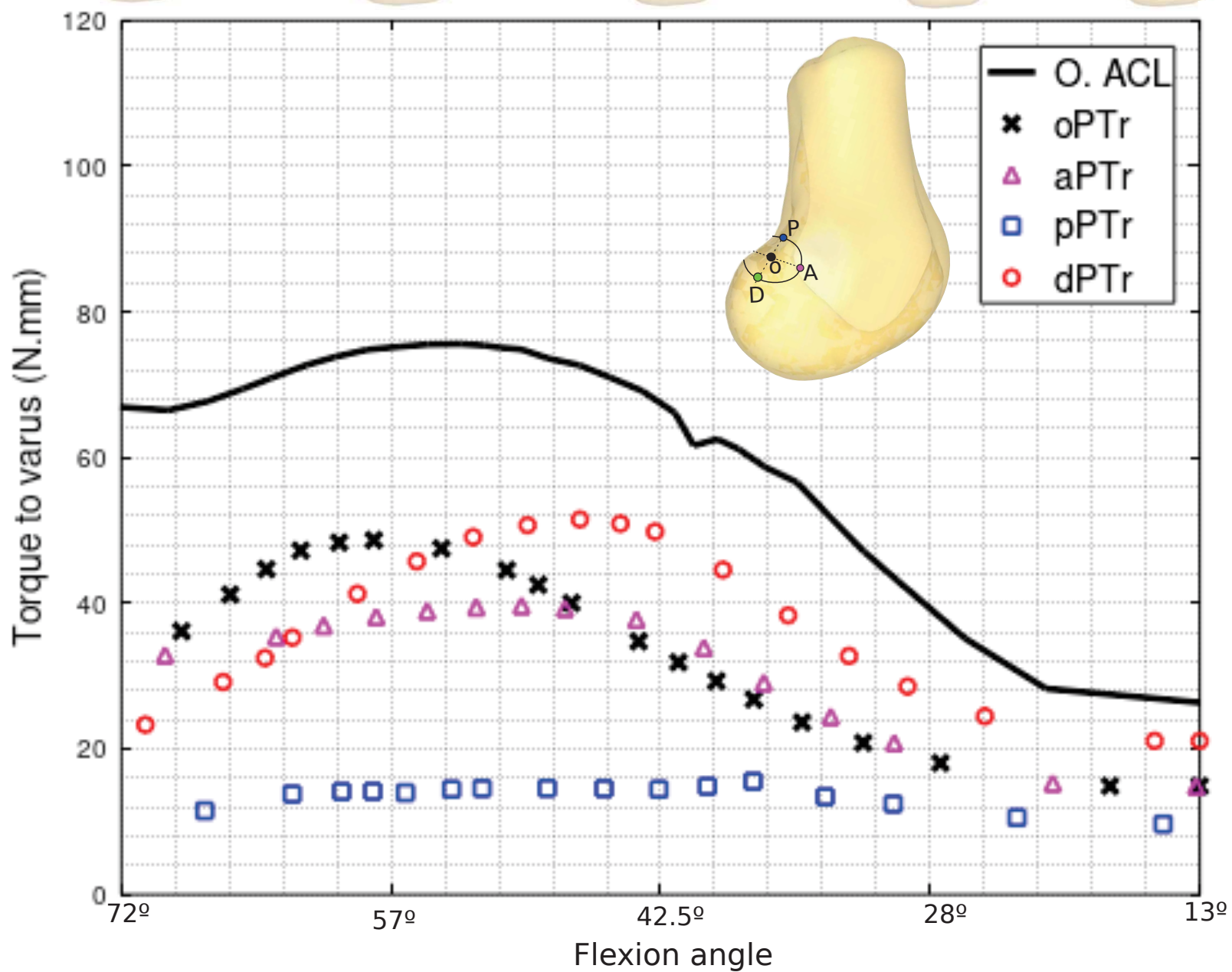


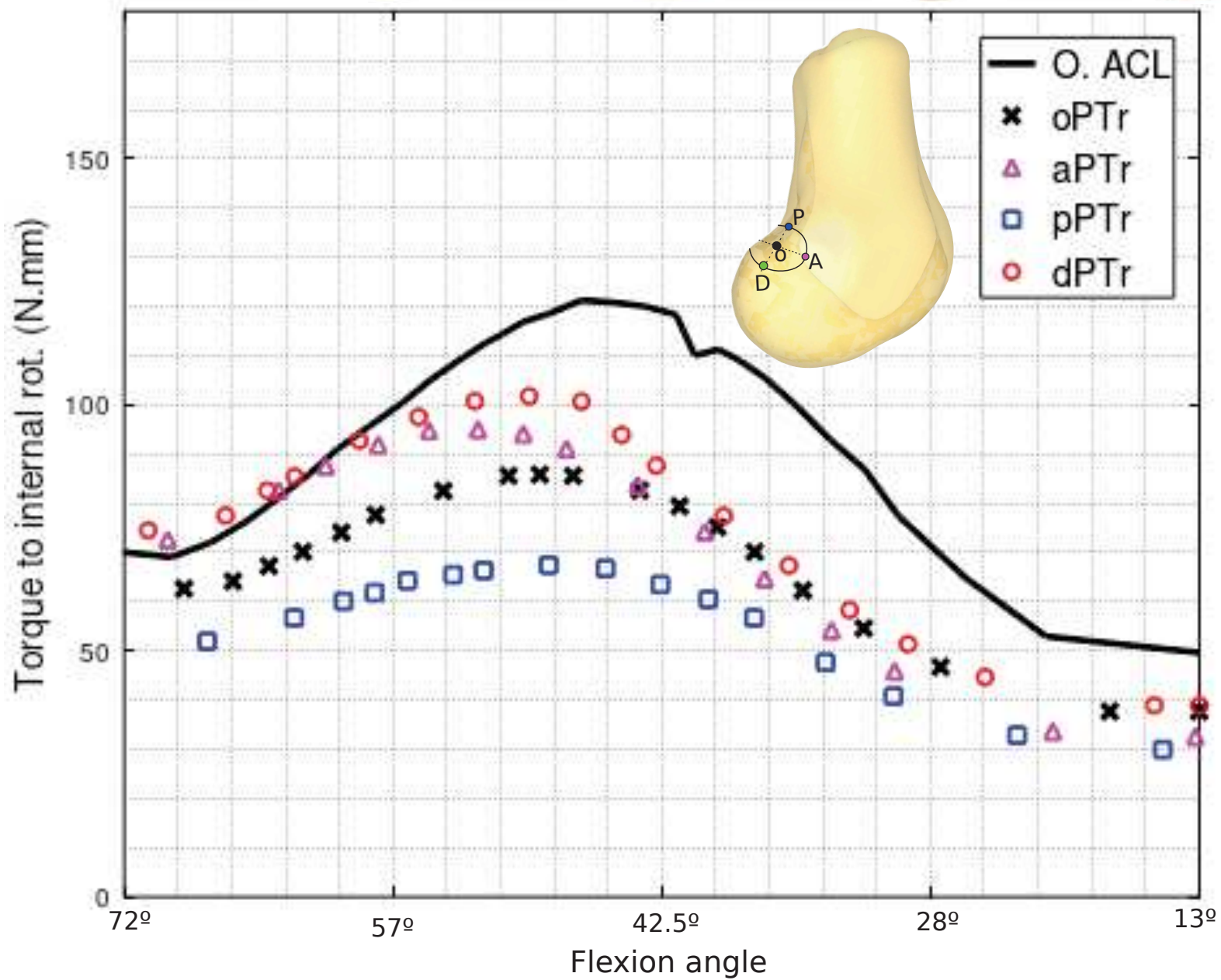




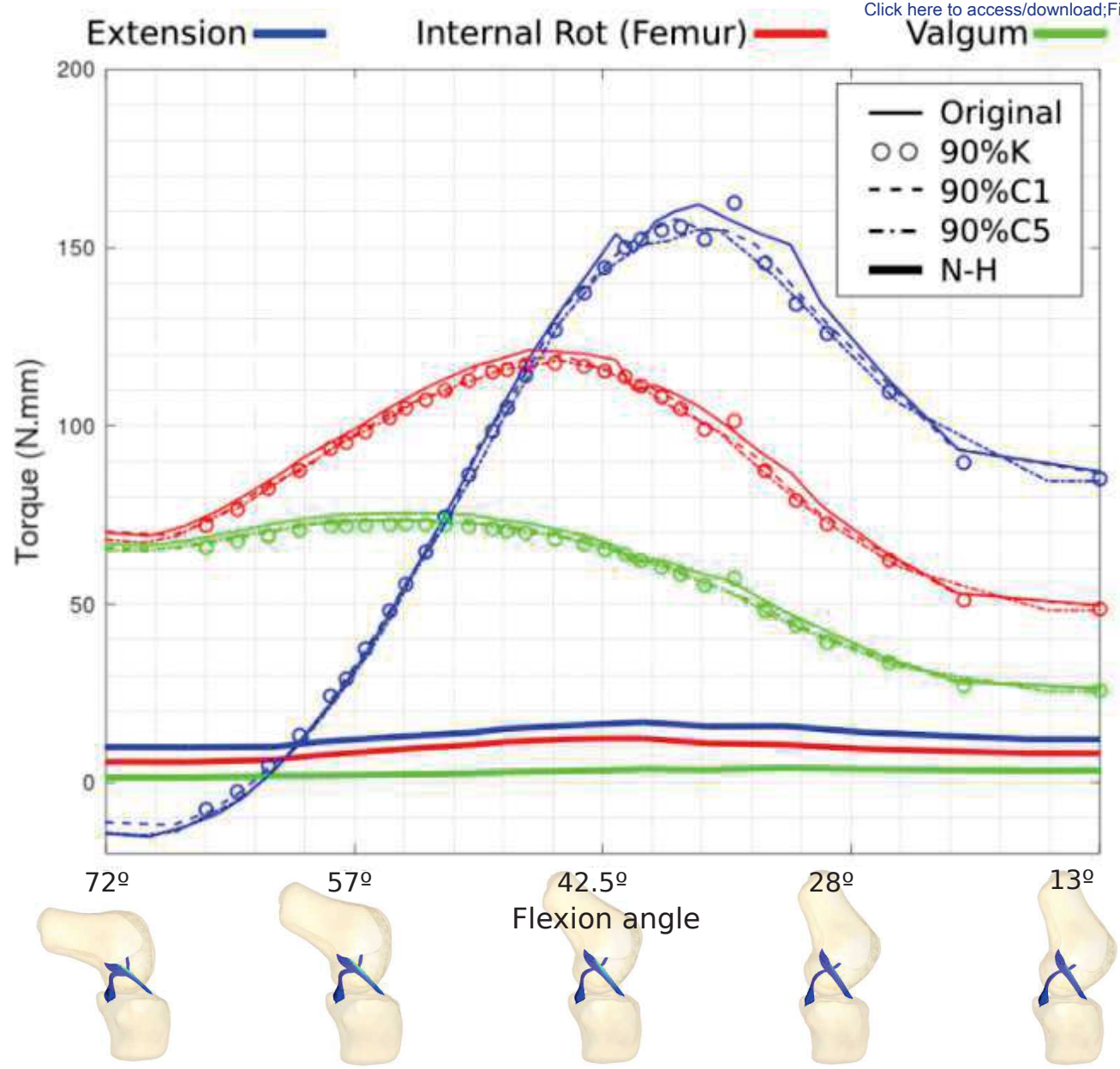




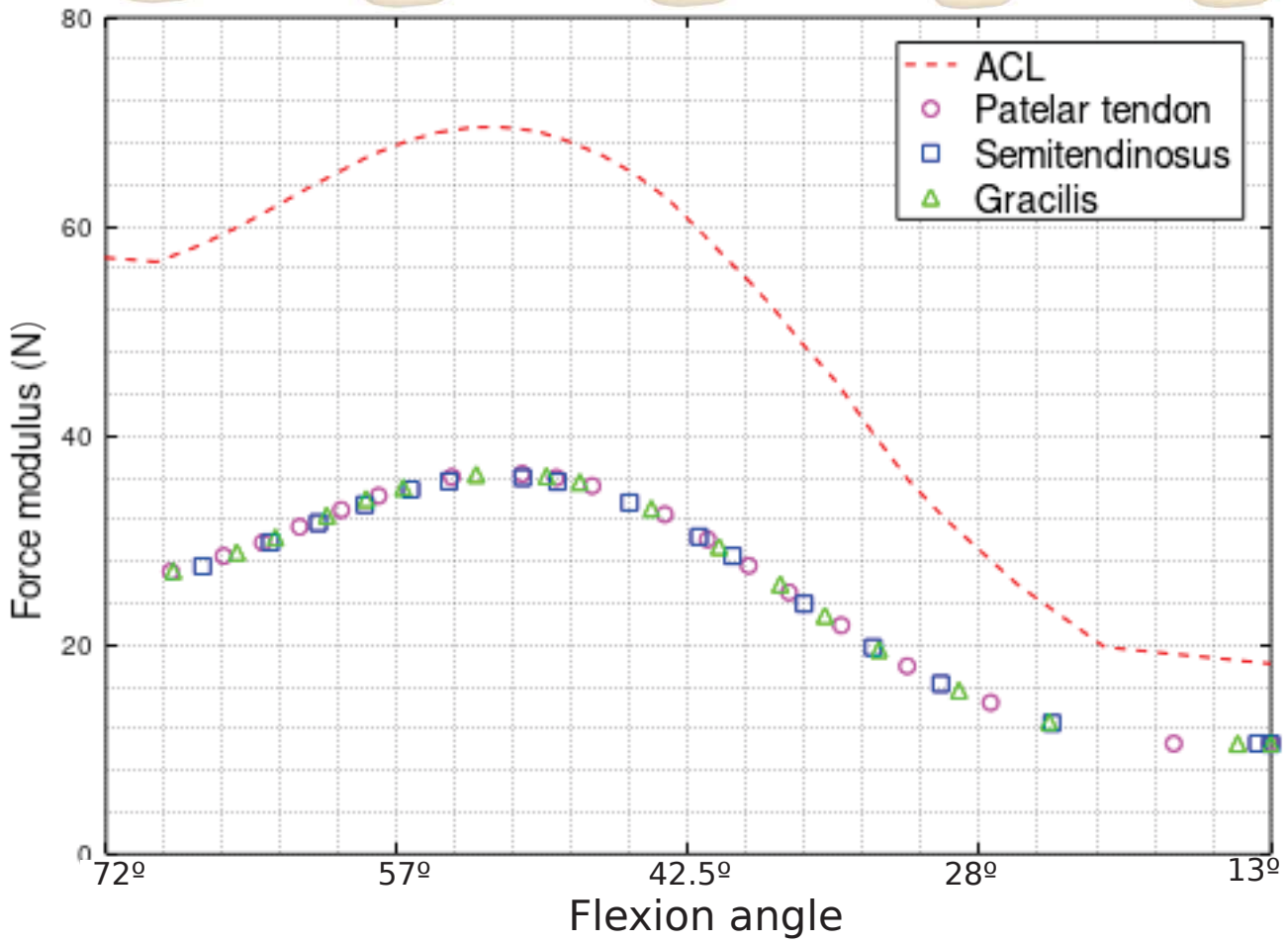




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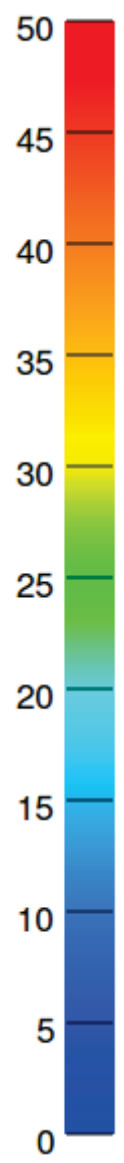
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Patellar tendon

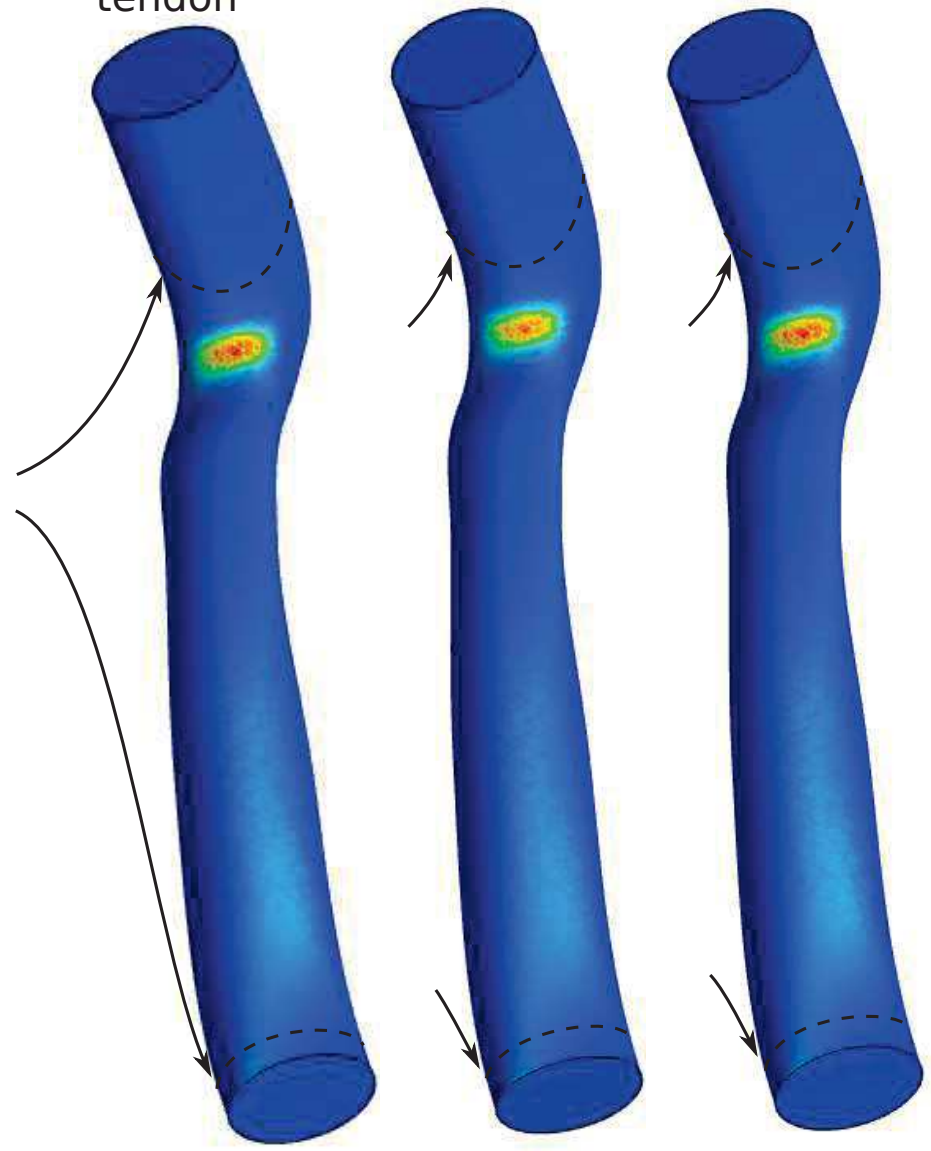
Semitendinosus

Gracilis

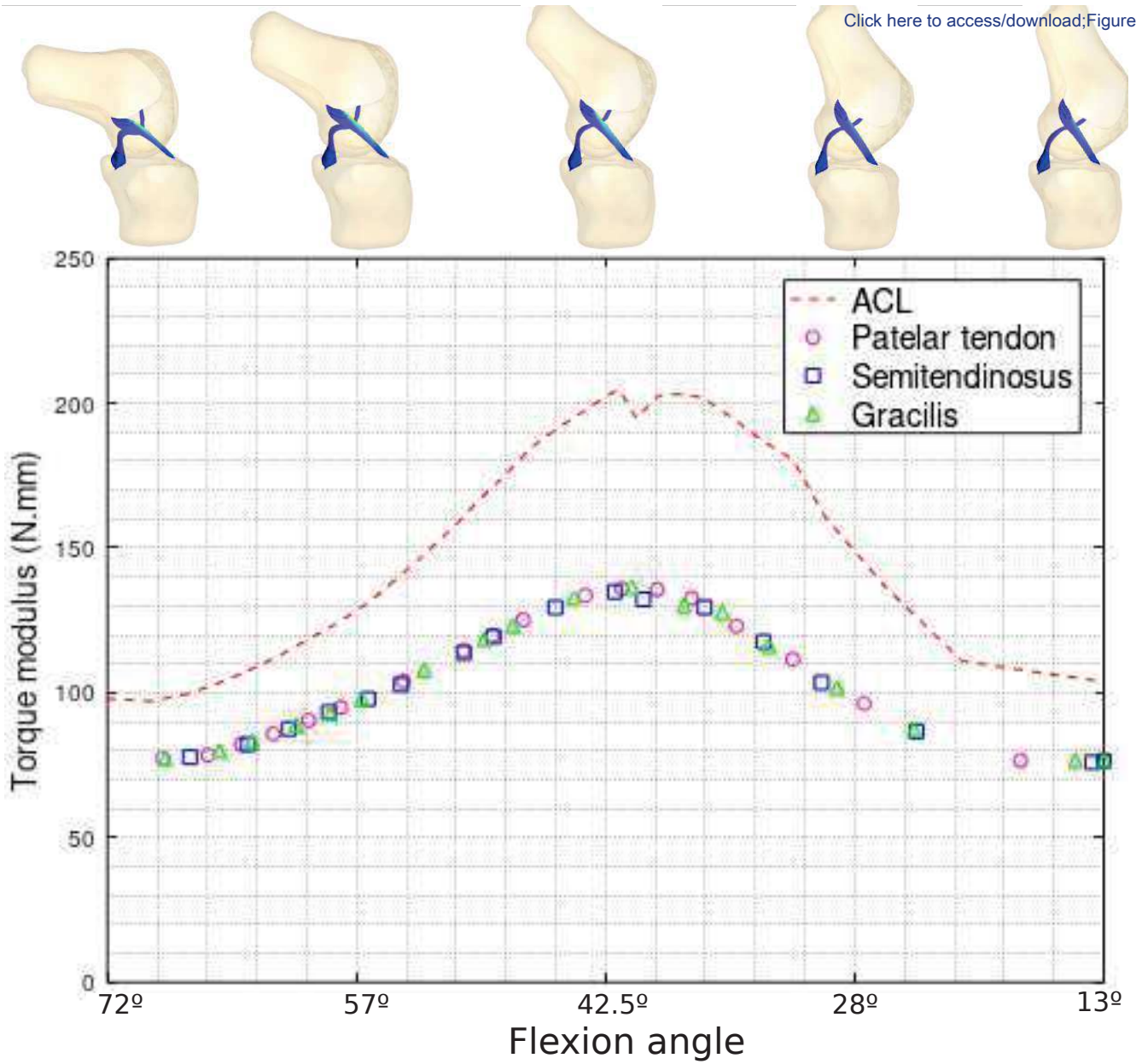
σ_{VM} (MPa)



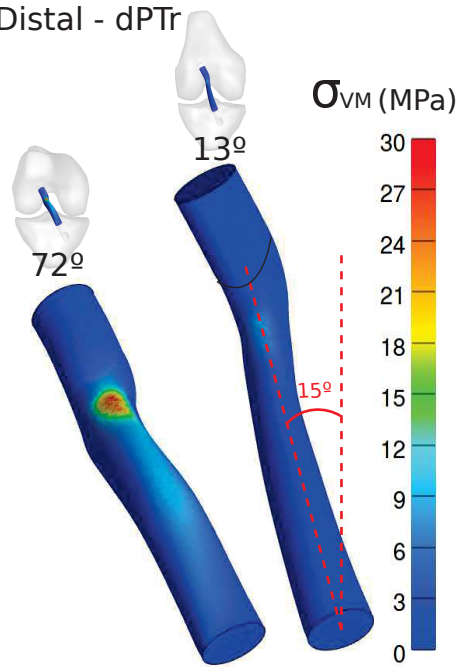
Edge of bone tunnels



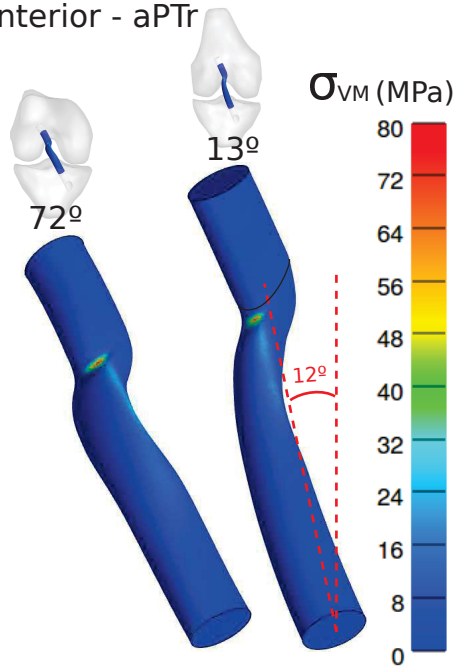
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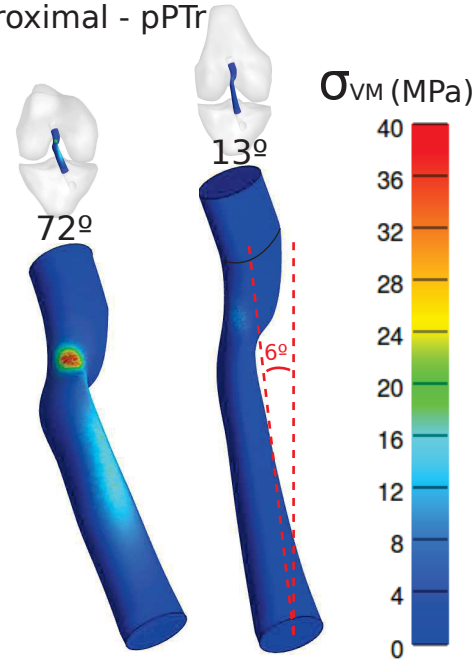
Distal - dPTr

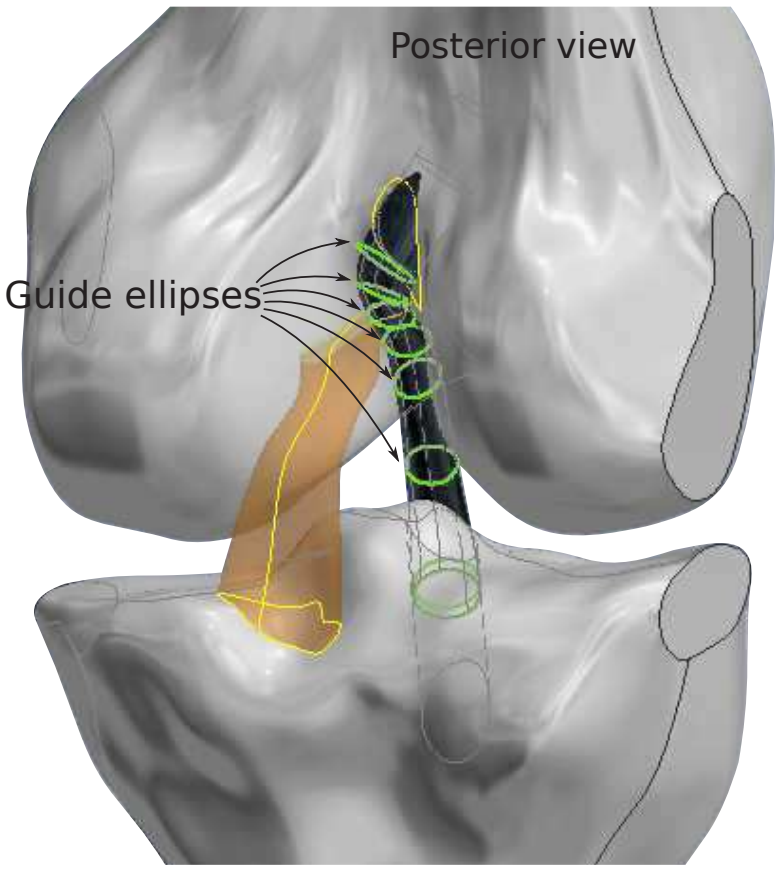
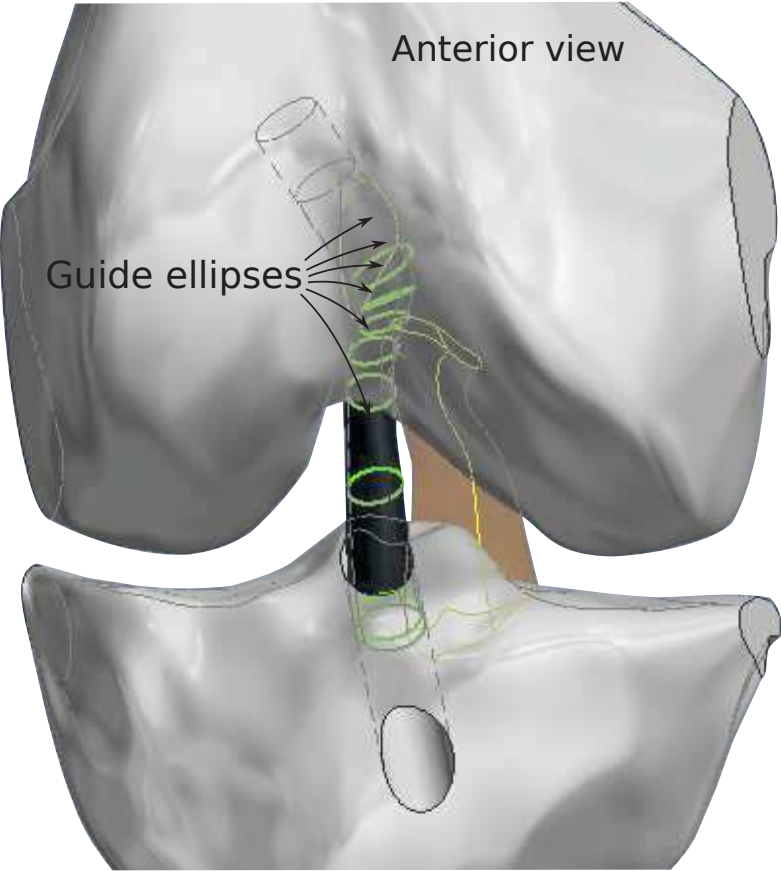


Anterior - aPTr

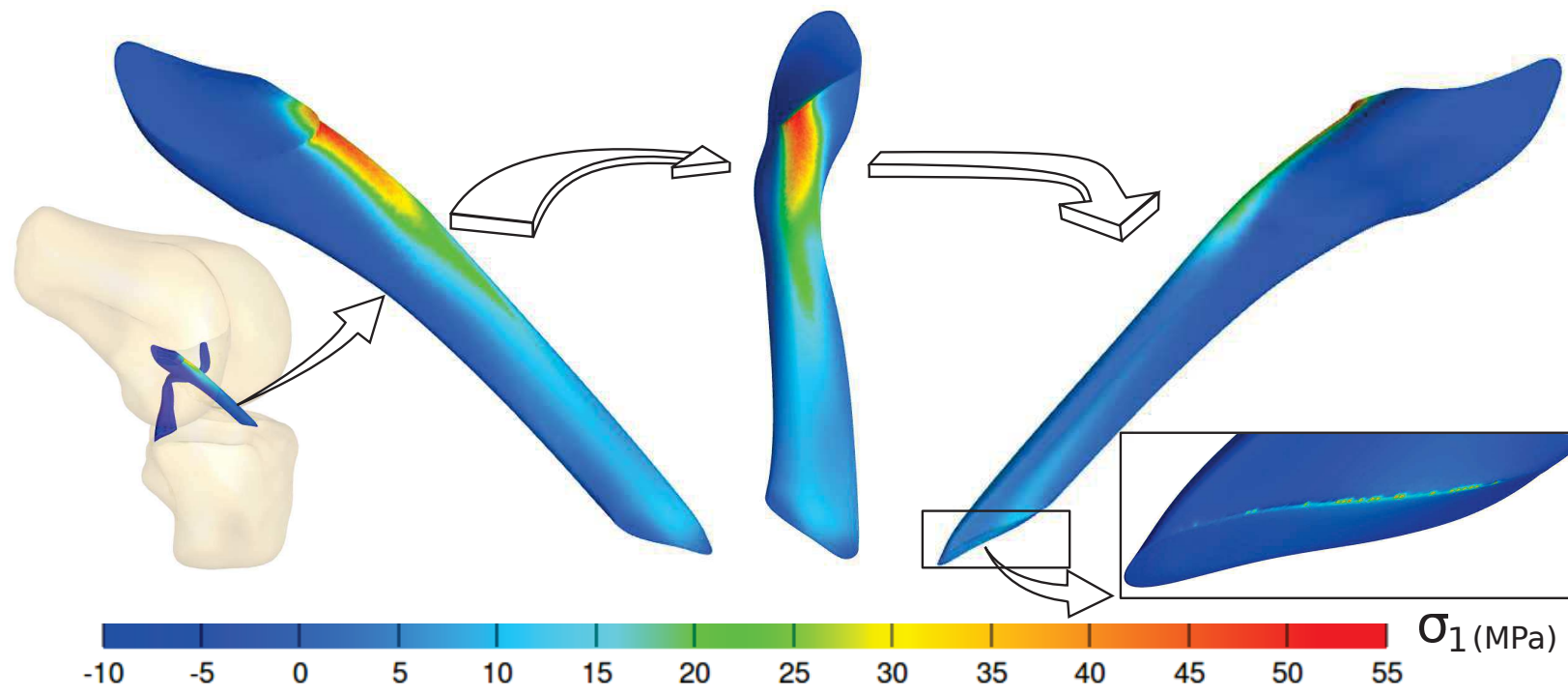


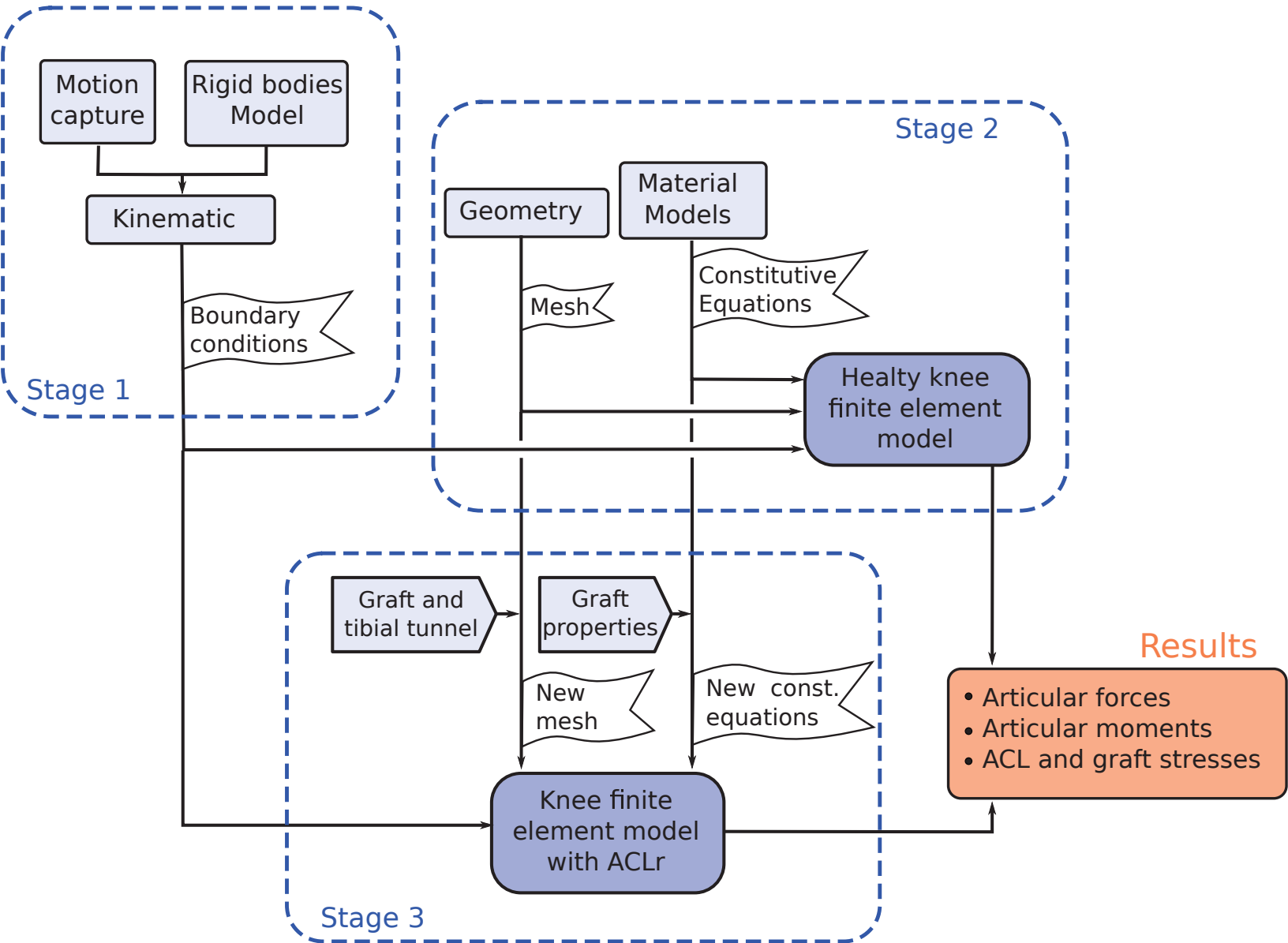
Proximal - pPTr

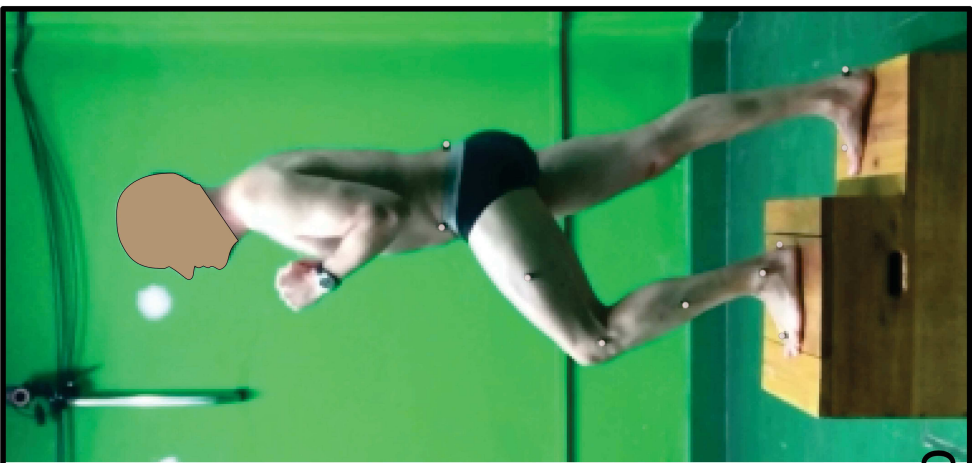
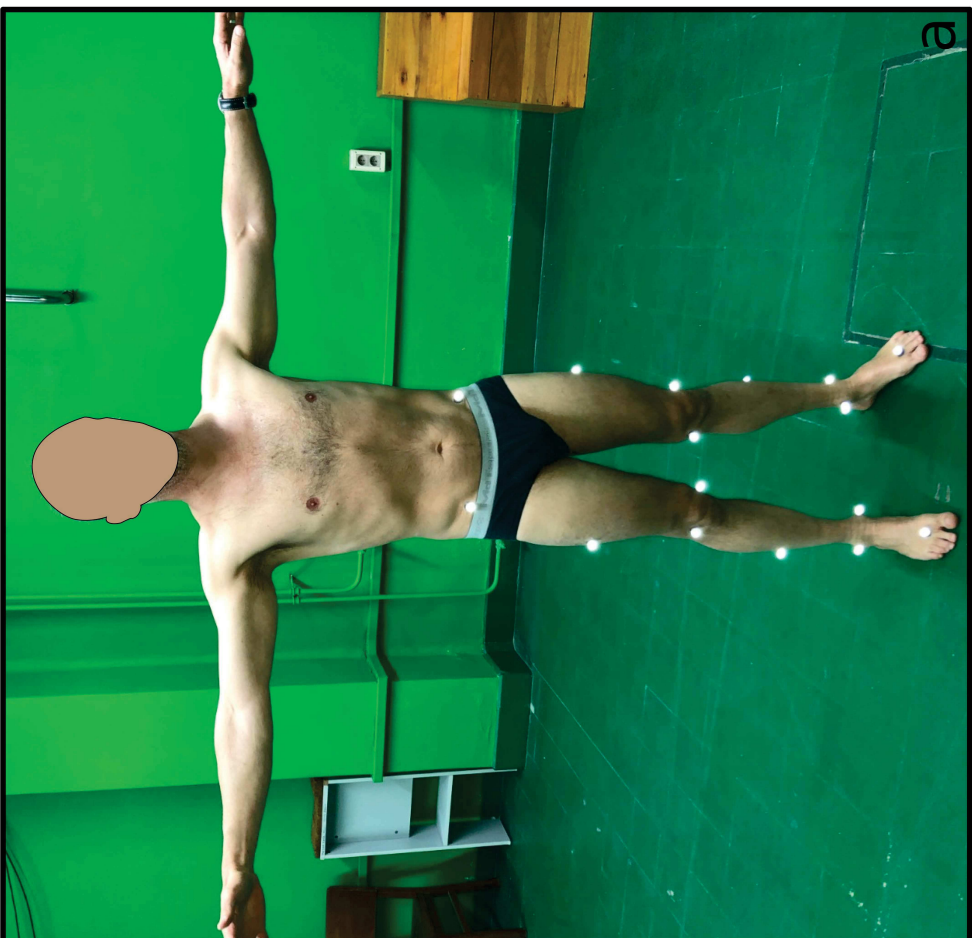


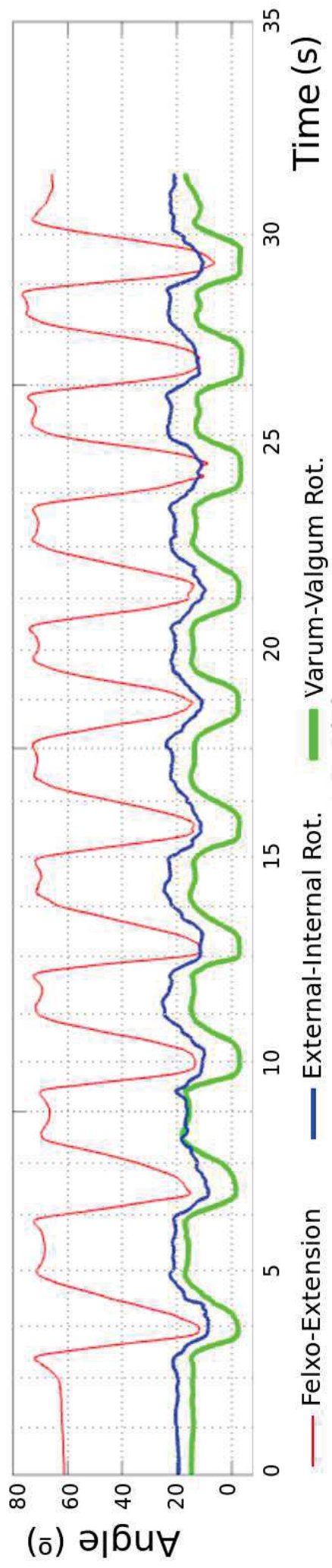


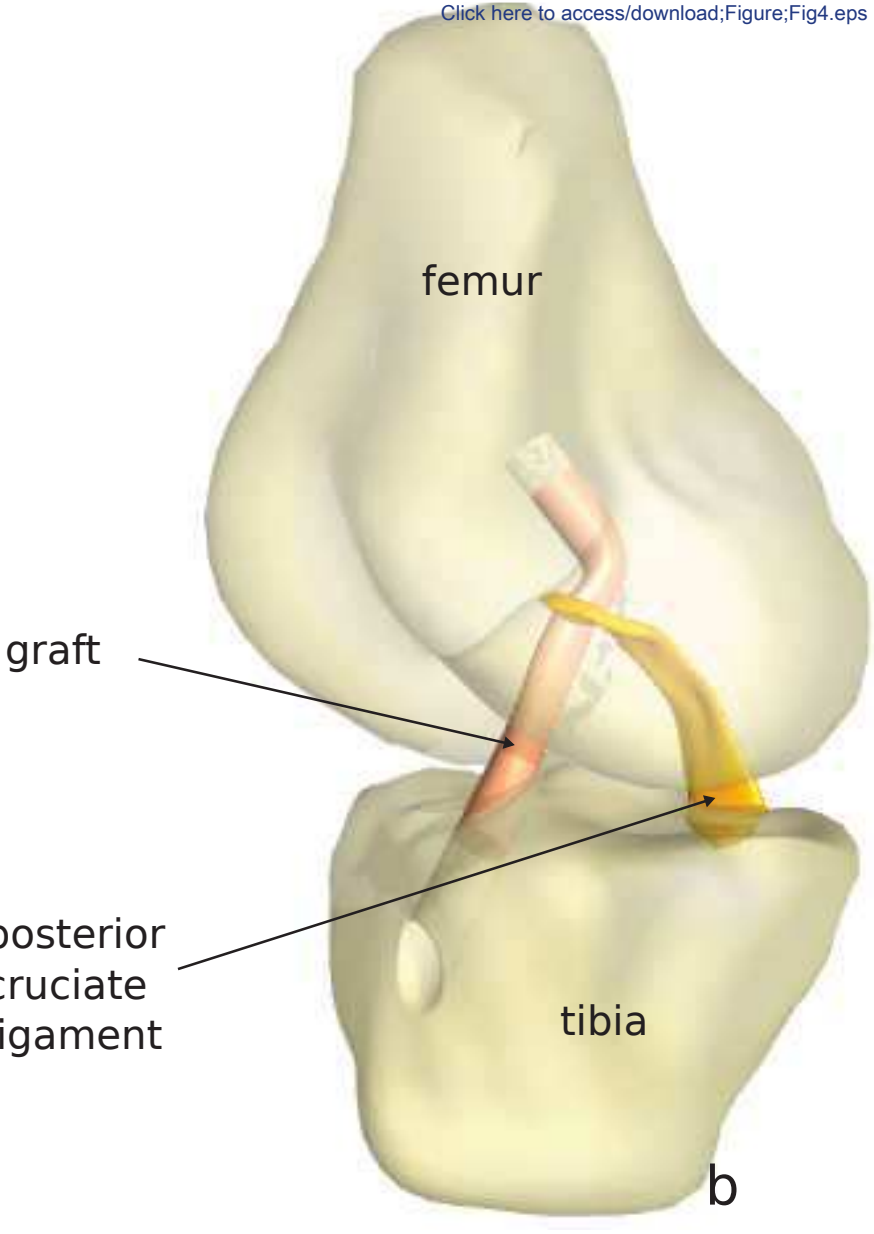
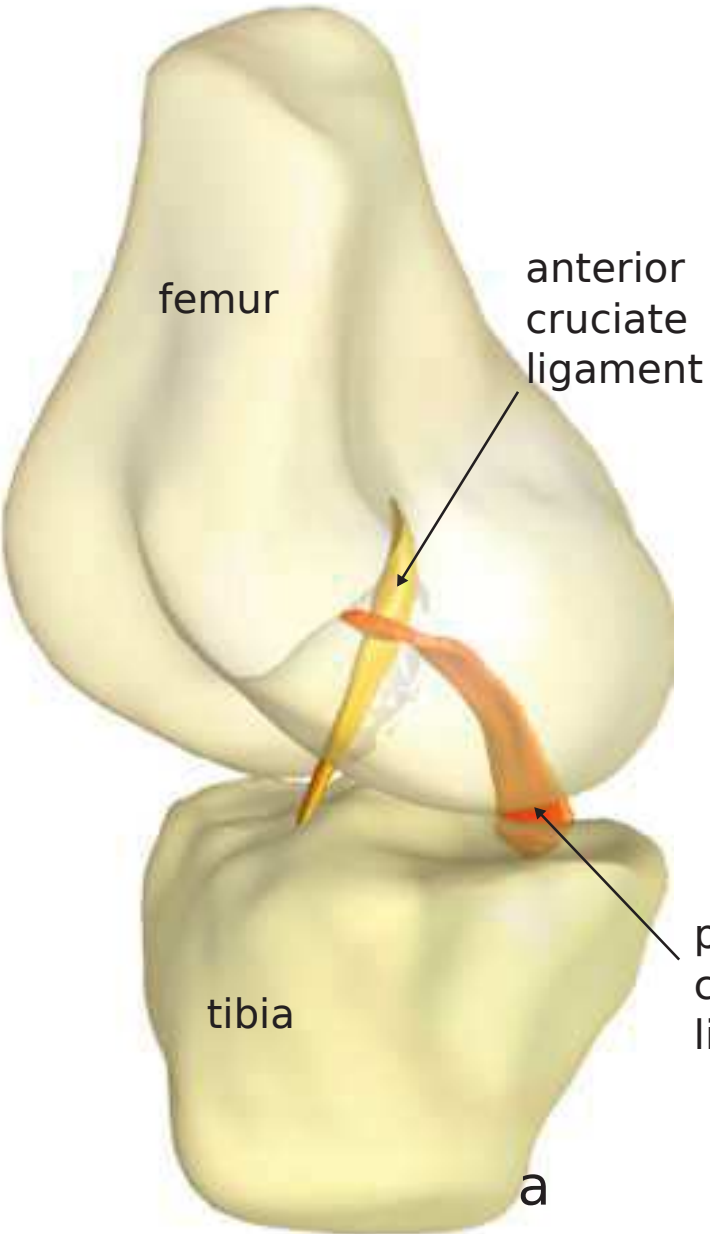
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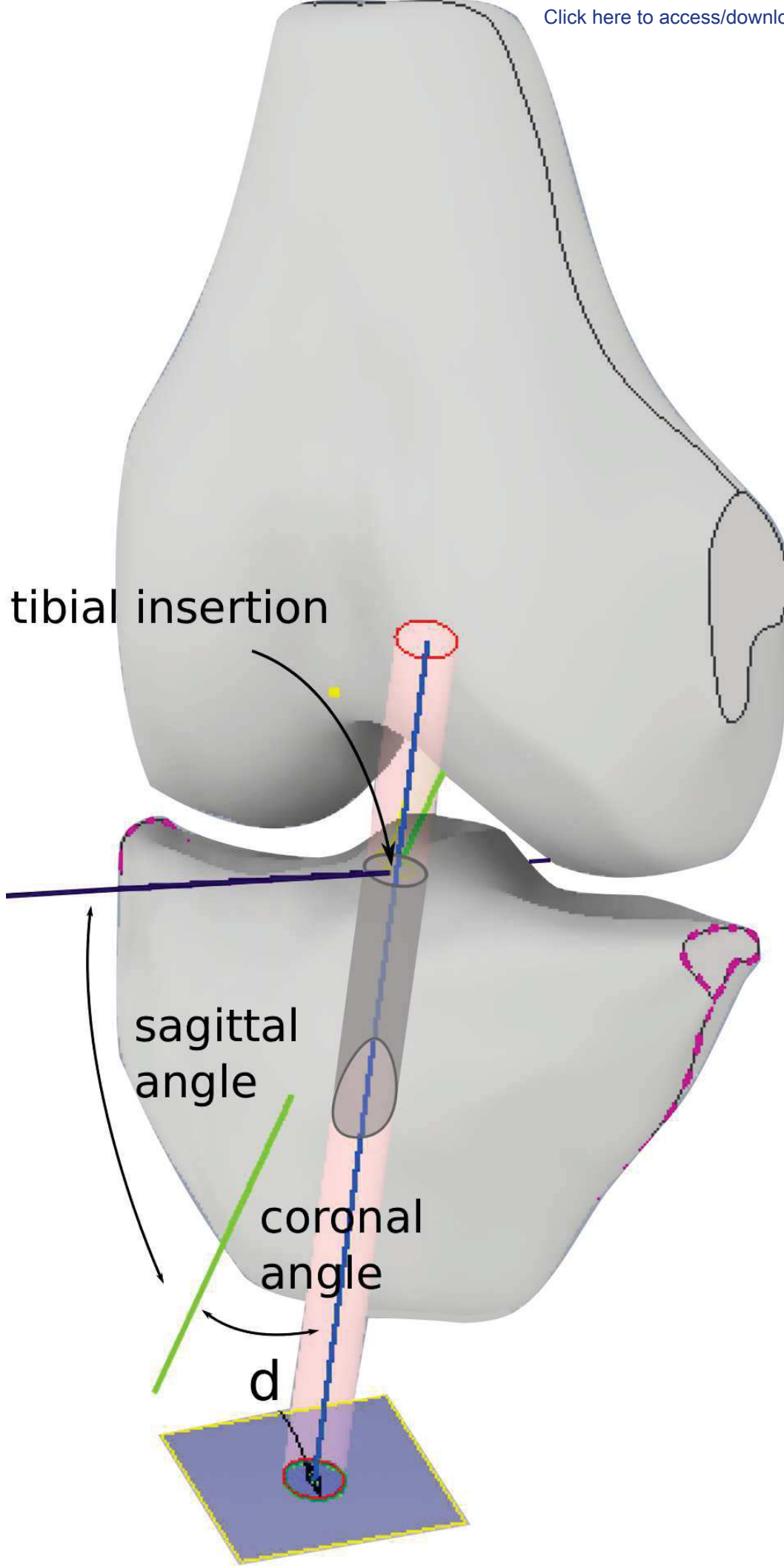


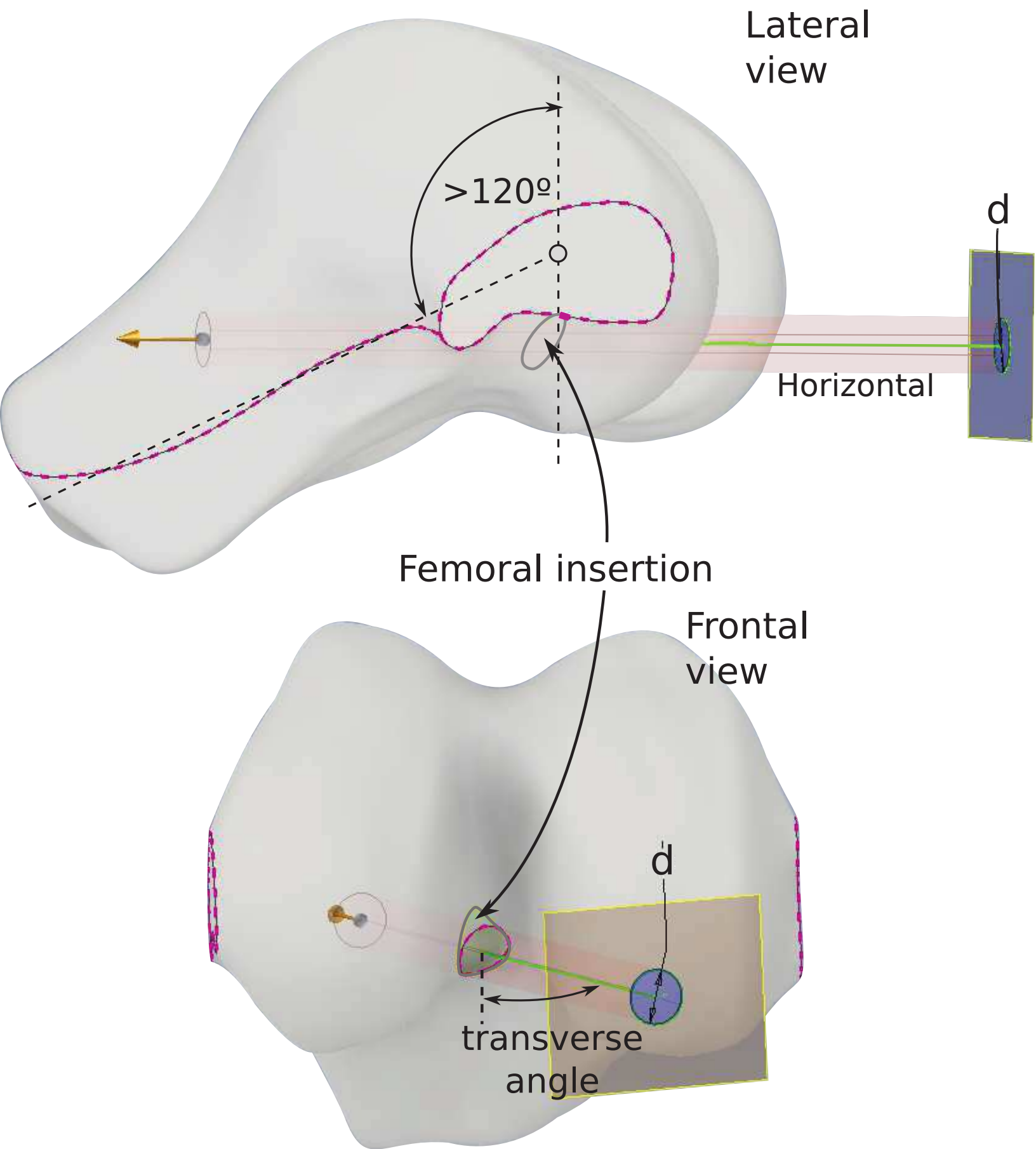












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